

Inelastic neutron scattering

Part 1: Triple Axis Spectrometer

*Watching the ballet of atoms
and magnetic moments*

Ketty Beauvois
Milan Klicpera

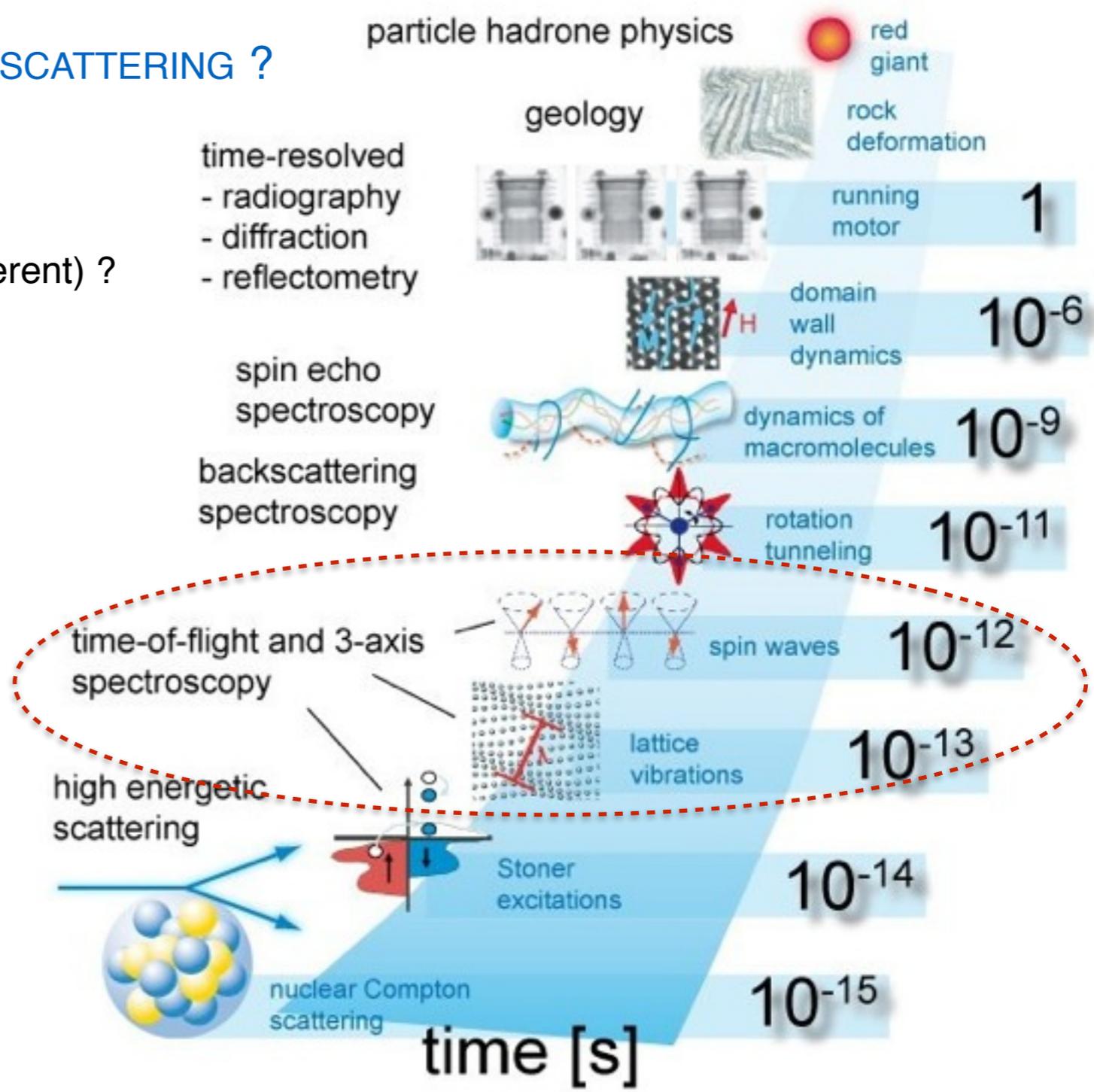
May 12th 2015

Introduction

Neutrons show where atoms are (elastic scattering) and what they do (inelastic scattering)

WHAT DO WE MEASURE IN INELASTIC NEUTRON SCATTERING ?

How Atoms or Magnetic moments are correlated
 In Space and Time
 With each other (Coherent) or with themselves (Incoherent) ?



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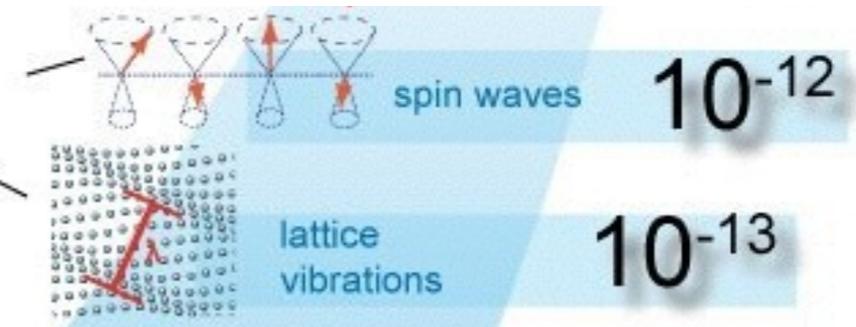
How Atoms or Magnetic moments are correlated

In Space and Time

With each other (Coherent) or with themselves (Incoherent) ?

Energy range of the TAS and the TOF spectrometer
=
Energy range of some collective excitations
=
1 to 100 meV (25meV = 300K)

time-of-flight and 3-axis spectroscopy



Time (s)

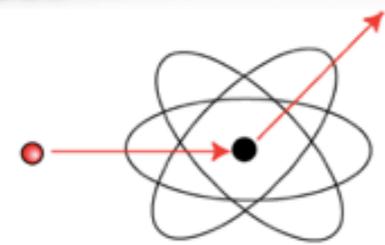
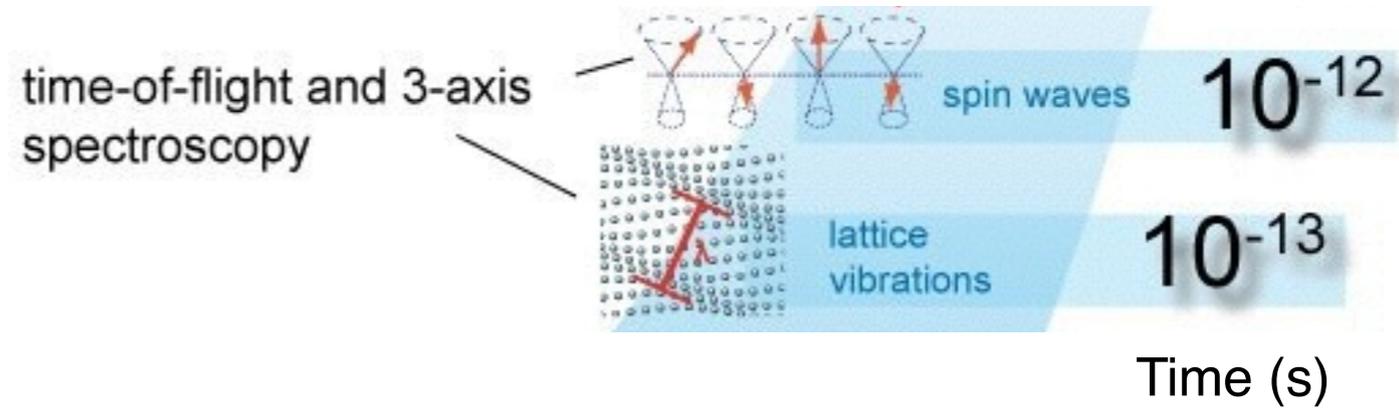
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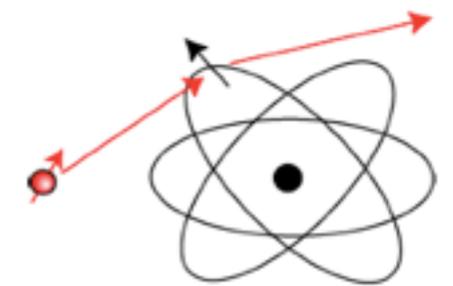
Energy range of the TAS and the TOF spectrometer
= Energy range of some collective excitations
= 1 to 100 meV (25meV = 300K)



Nuclear scattering

Watching the ballet of atoms / Lattice vibrations / Phonons

Watching the ballet of magnetic moments / Spin waves / Magnons



Magnetic dipole scattering

Introduction

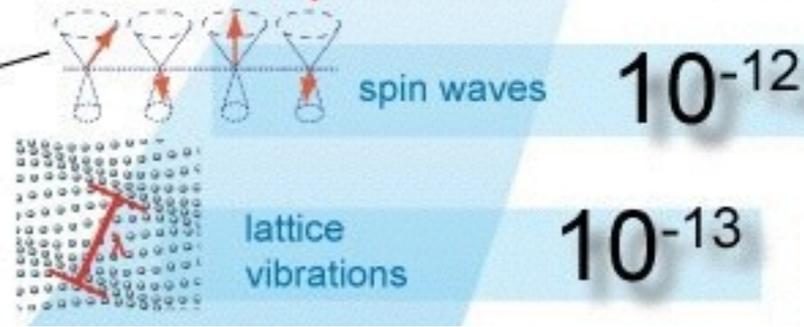
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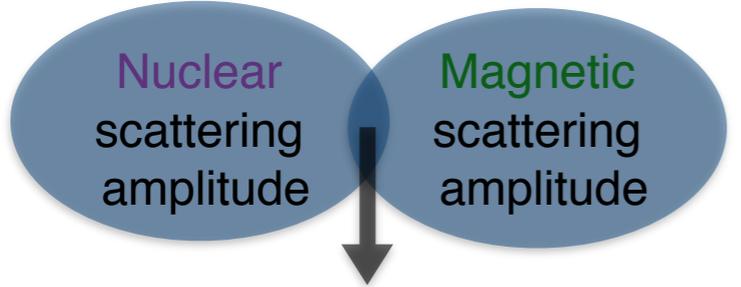
How **Atoms** or **Magnetic moments** are correlated
In **Space** and **Time**
With each other (Coherent) or with themselves (Incoherent) ?

Energy range of the **TAS** and the **TOF** spectrometer
= Energy range of some collective excitations
= 1 to 100 meV (25meV = 300K)

time-of-flight and 3-axis spectroscopy



Time (s)



Interferences between scattering amplitude



Polarized TAS

Outline

I. INSTRUMENTATION

- Inelastic neutron scattering
- Triple Axis Spectrometer
- Polarized TAS

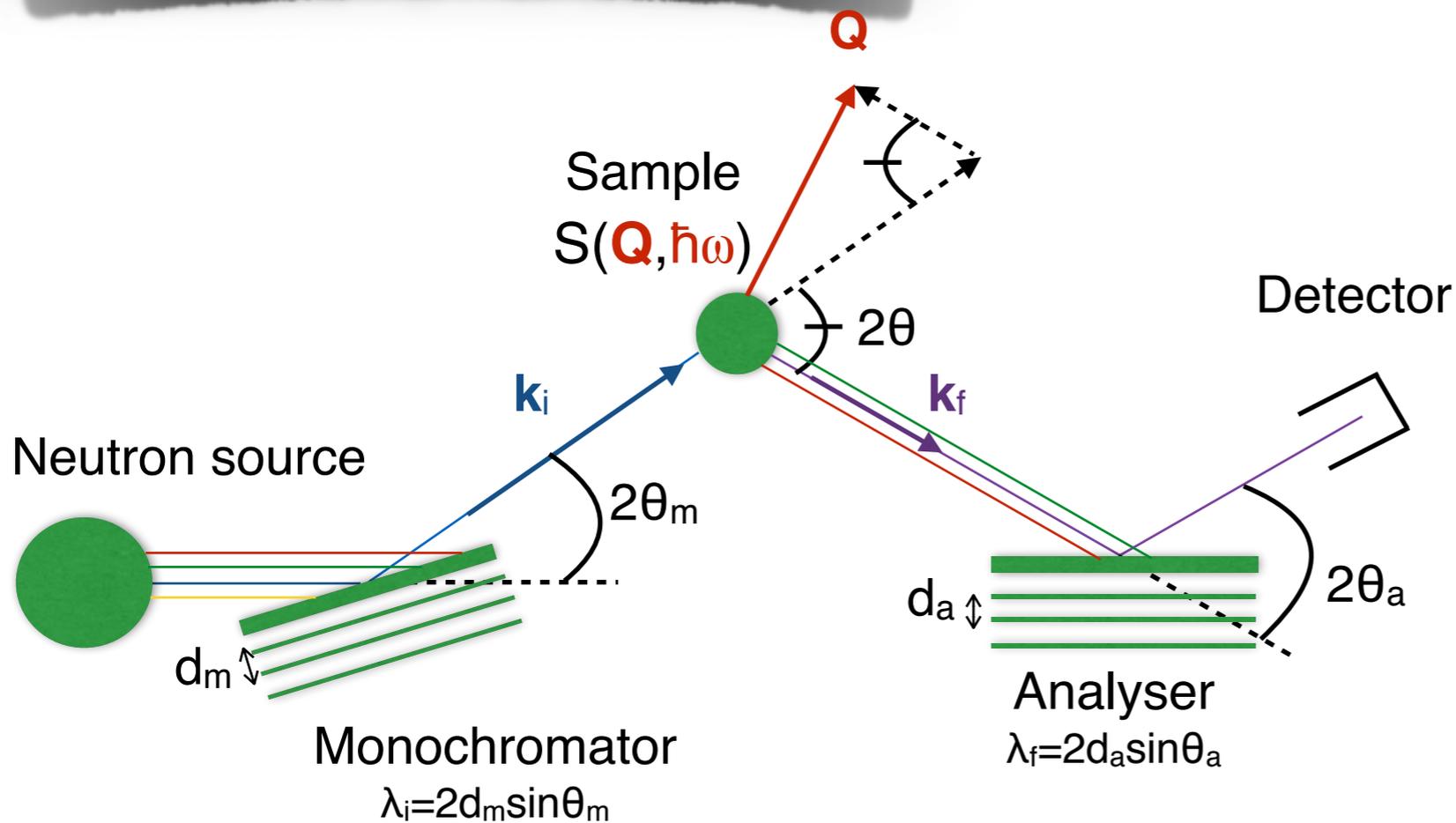
II. PHONONS AND MAGNONS

- Physical description
- How do we measure them with neutrons ?
- Examples

Inelastic neutron scattering

Triple Axis Spectrometer

$$\left(\frac{d^2\sigma}{d\Omega dE_f} \right)_{k_i \rightarrow k_f} = \mathbf{k}_f / \mathbf{k}_i S(\mathbf{Q}, \hbar\omega)$$



The conservation laws:

- Momentum conservation:

$$\mathbf{k}_i - \mathbf{k}_f = \mathbf{Q}$$

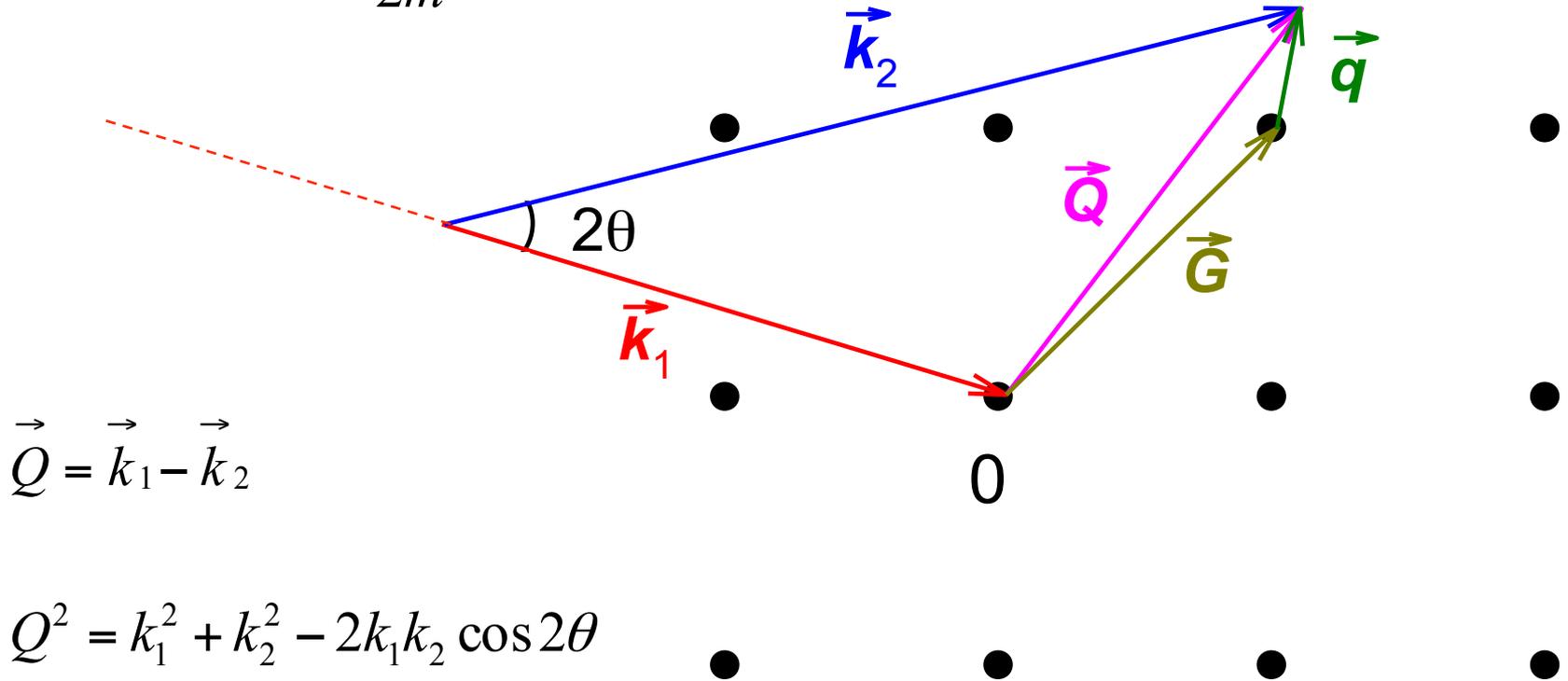
$$Q^2 = k_i^2 + k_f^2 - 2\mathbf{k}_i \mathbf{k}_f \cos(2\theta)$$

- Energy conservation:

$$\hbar\omega = E_i - E_f = \frac{\hbar^2 k_i^2}{2m_n} - \frac{\hbar^2 k_f^2}{2m_n}$$

Scattering triangle

$$\hbar\omega = E_1 - E_2 = \frac{\hbar^2}{2m} (k_1^2 - k_2^2)$$



$$\vec{Q} = \vec{k}_1 - \vec{k}_2$$

$$Q^2 = k_1^2 + k_2^2 - 2k_1k_2 \cos 2\theta$$

$$\cos 2\theta = \frac{k_1^2 + k_2^2 - Q^2}{2k_1k_2}$$

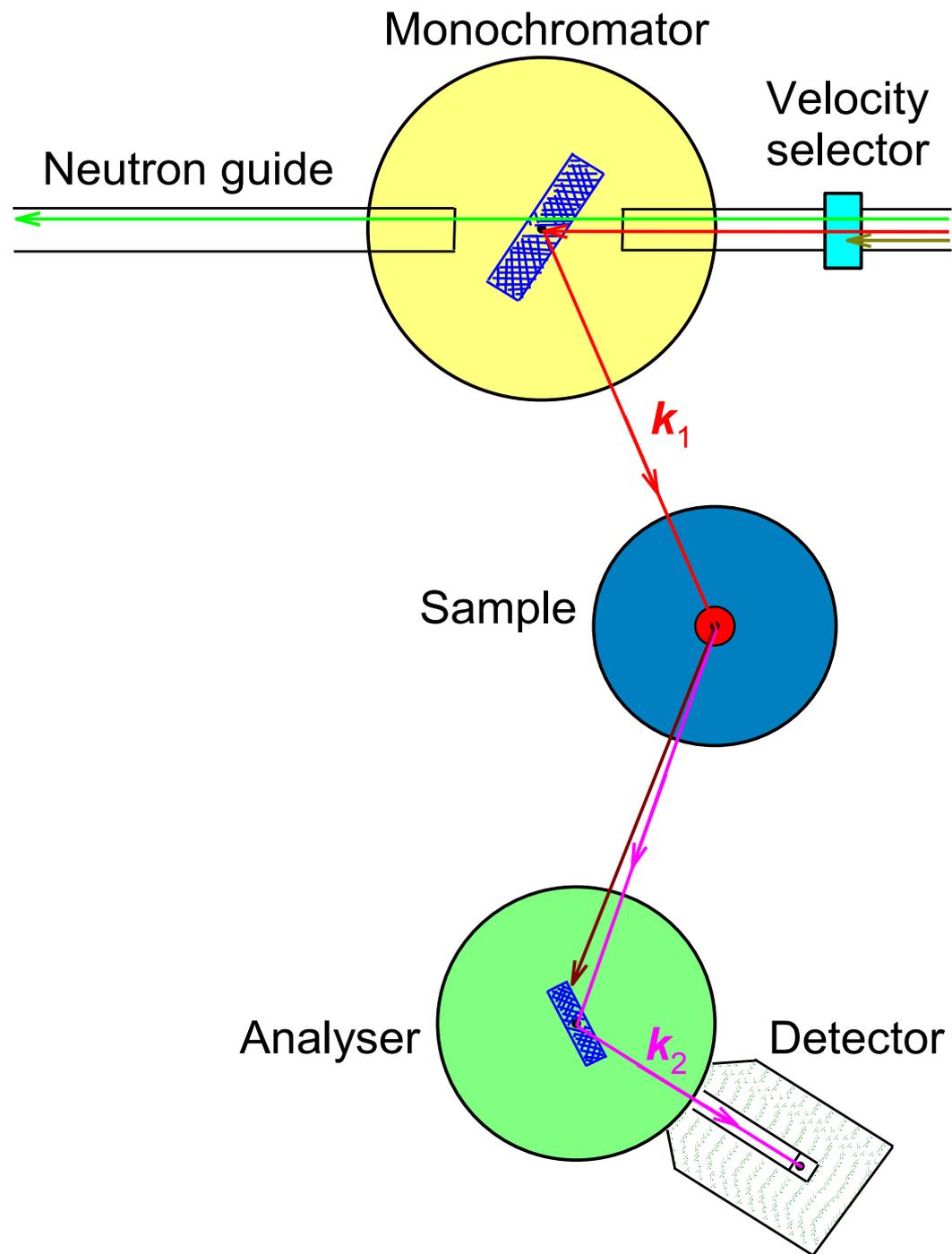
→ Scattering function: $S(\vec{Q}, \hbar\omega)$

Theory

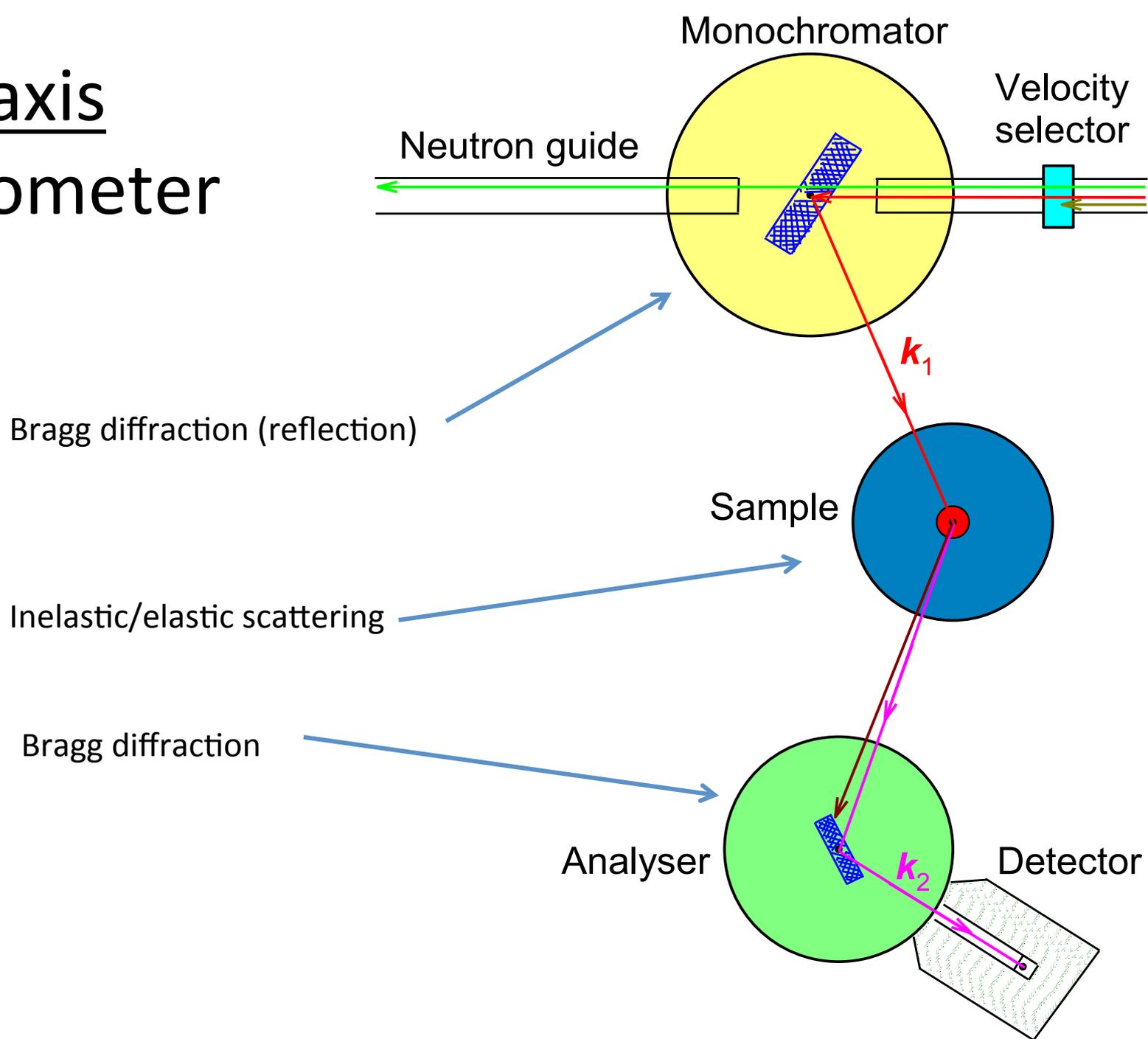
$$S(\vec{Q}, \omega) = \frac{1}{2\pi\hbar} \sum_{\lambda_i} p(\lambda_i) \sum_{j, j'=1}^N b_j b_{j'}^* \int_{-\infty}^{\infty} dt \langle \lambda_i | e^{-i\vec{Q} \cdot \vec{R}_{j'}^0} e^{i\vec{Q} \cdot \vec{R}_j(t)} | \lambda_i \rangle e^{-i\omega t}$$

$S(\vec{Q}, \hbar\omega)$ - defined everywhere in reciprocal space (= $(\vec{Q}, \hbar\omega)$ space)
- if Born approximation is valid

Triple axis spectrometer



Triple axis spectrometer



Triple-axis spectrometer - animation



<http://www.ill.eu/about/movies/animations/instrument-animations/three-axis-neutron-spectrometer/>

Experiment

$S(\vec{Q}, \hbar\omega)$ - defined everywhere in reciprocal space (= $(\vec{Q}, \hbar\omega)$ space)
- if Born approximation is valid

Typically - experimental configuration with \vec{k}_1 (or \vec{k}_2) fixed

$$\hbar\omega = E_1 - E_2 = \frac{\hbar^2}{2m} (k_1^2 - k_2^2)$$

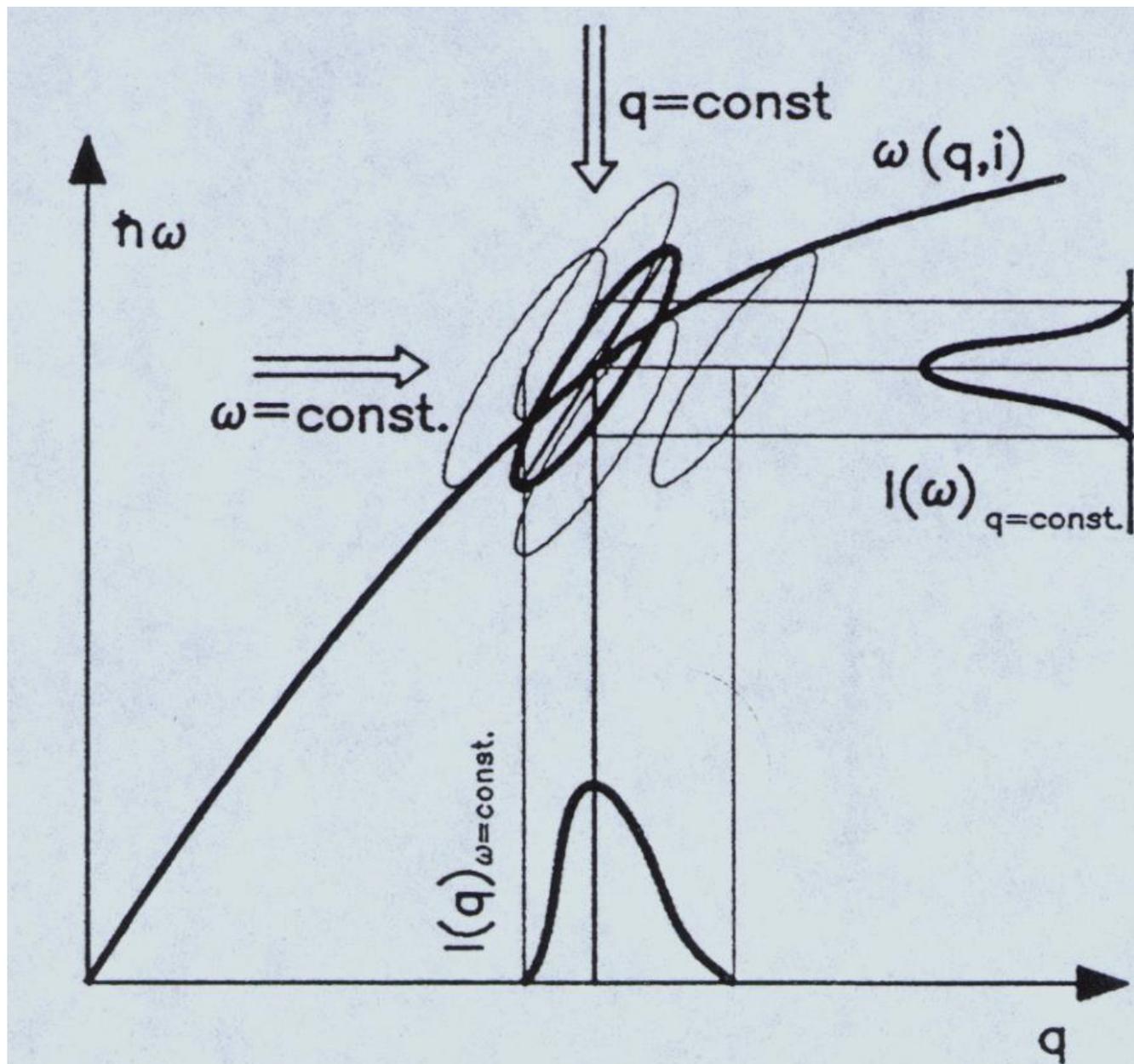
$$\cos 2\theta = \frac{k_1^2 + k_2^2 - Q^2}{2k_1 k_2}$$



Restricted places in reciprocal space

Example: elastic scattering $\rightarrow k_1 = k_2 \rightarrow$ if $2\theta = \pi$ (backscattering) $\rightarrow Q = 2 k_1 =$ maximal

Dispersion



TAS simulator

vTAS: Three Axis Spectrometer simulator 4.1d19

Scattering Plane

Diagram showing the scattering plane with incident vector K_i (purple), scattered vector K_f (red), and scattering vector Q (blue). The ILL logo is present in the top left corner of the diagram area.

Experiment Parameters

$|K_i|$ \AA^{-1}
 $|K_f|$ \AA^{-1}
 ΔE meV
 $|Q|$ \AA^{-1}
 Q rlu

A r.l.u.
 B r.l.u.

Instrument Preview (ILL IN14)

Legend: ■ Source, ■ Sample, ■ Detector

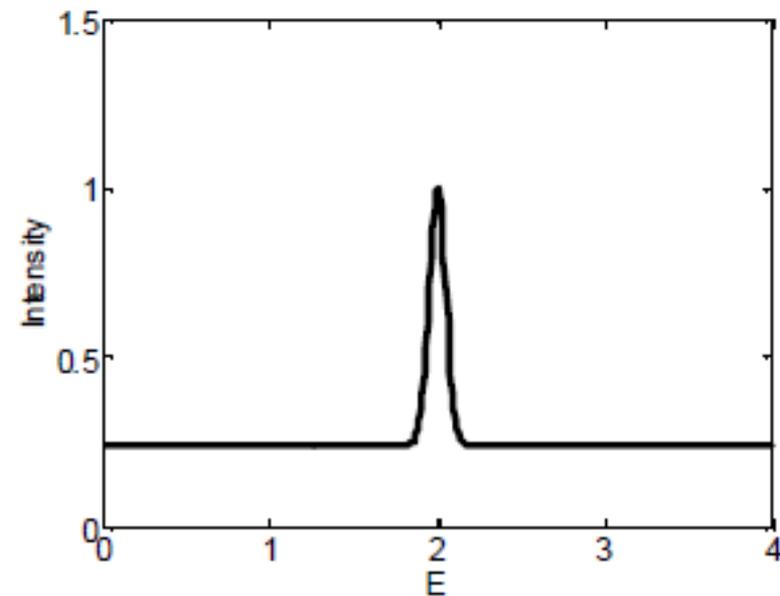
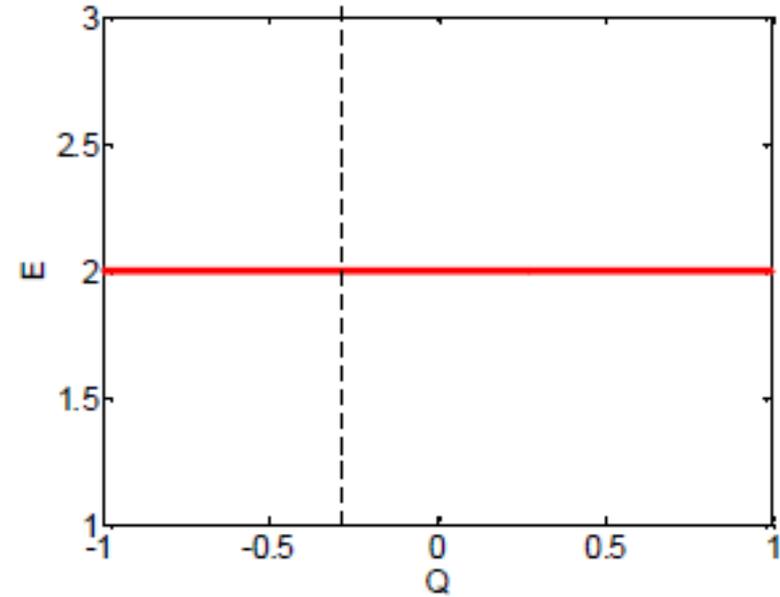
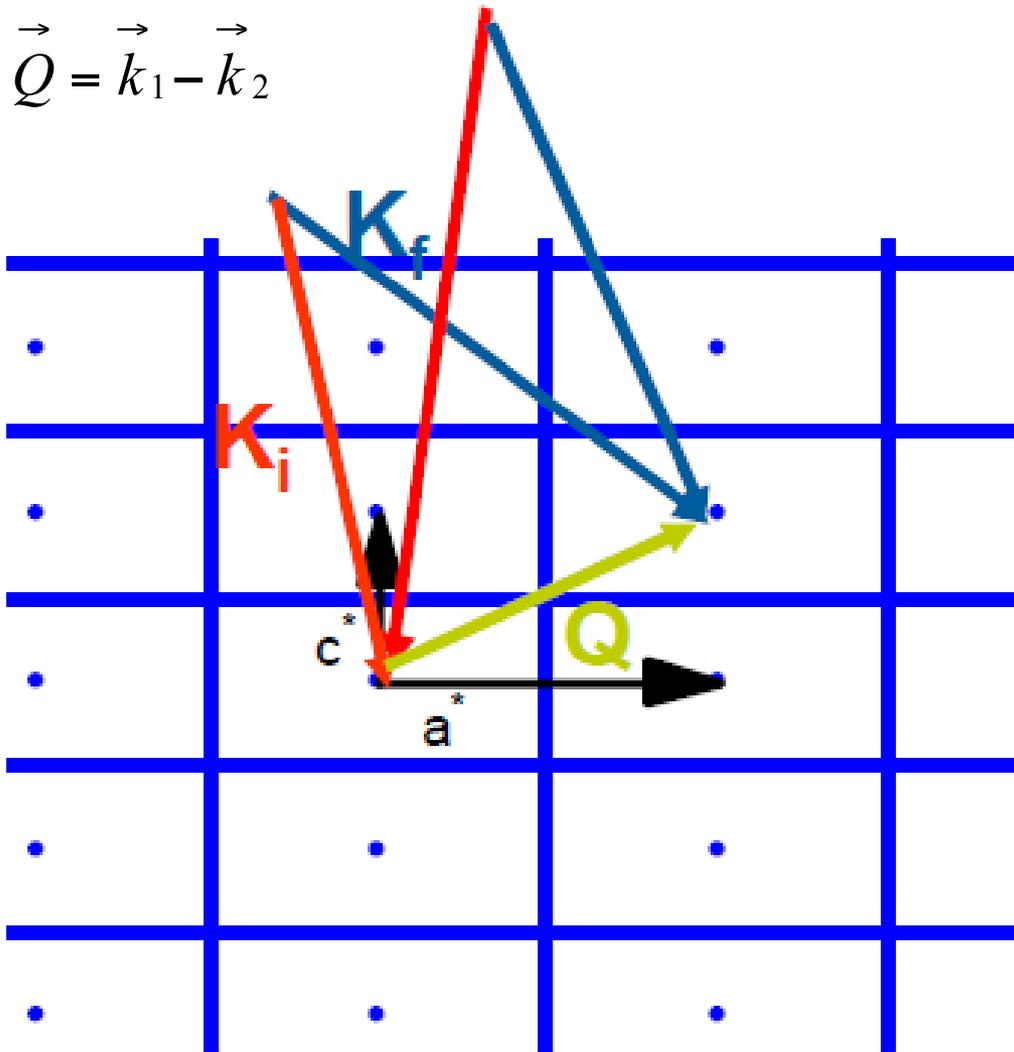
Status

Experiment	Angles	Sample
ILL IN14		Cubic (Germanium)
DM : 3.355 \AA	A1 : 37.876°	a : 5.658 \AA a* : 1.111 \AA^{-1}
DA : 3.355 \AA	A2 : 75.752°	b : 5.658 \AA b* : 1.111 \AA^{-1}
$ K_i $: 1.525	A3 : -43.26°	c : 5.658 \AA c* : 1.111 \AA^{-1}
$ K_f $: 1.525	A4 : 93.474°	α : 90.0° α^* : 90.0°
Q : (-2.0, 0.0, 0.0)	A5 : -37.881°	β : 90.0° β^* : 90.0°
ΔE : 0.001	A6 : -75.763°	γ : 90.0° γ^* : 90.0°
K_i : (1.111, 1.045)	SM : 1	v : 181.081 \AA^3 v* : 0.006 \AA^{-3}
K_f : (-1.11, 1.045)	SS : 1	
	SA : -1	A : (1.0, 0.0, 0.0)
		B : (0.0, 1.0, 0.0)

Conventional measurements, constant-Q

$$\hbar\omega = E_1 - E_2 = \frac{\hbar^2}{2m} (k_1^2 - k_2^2)$$

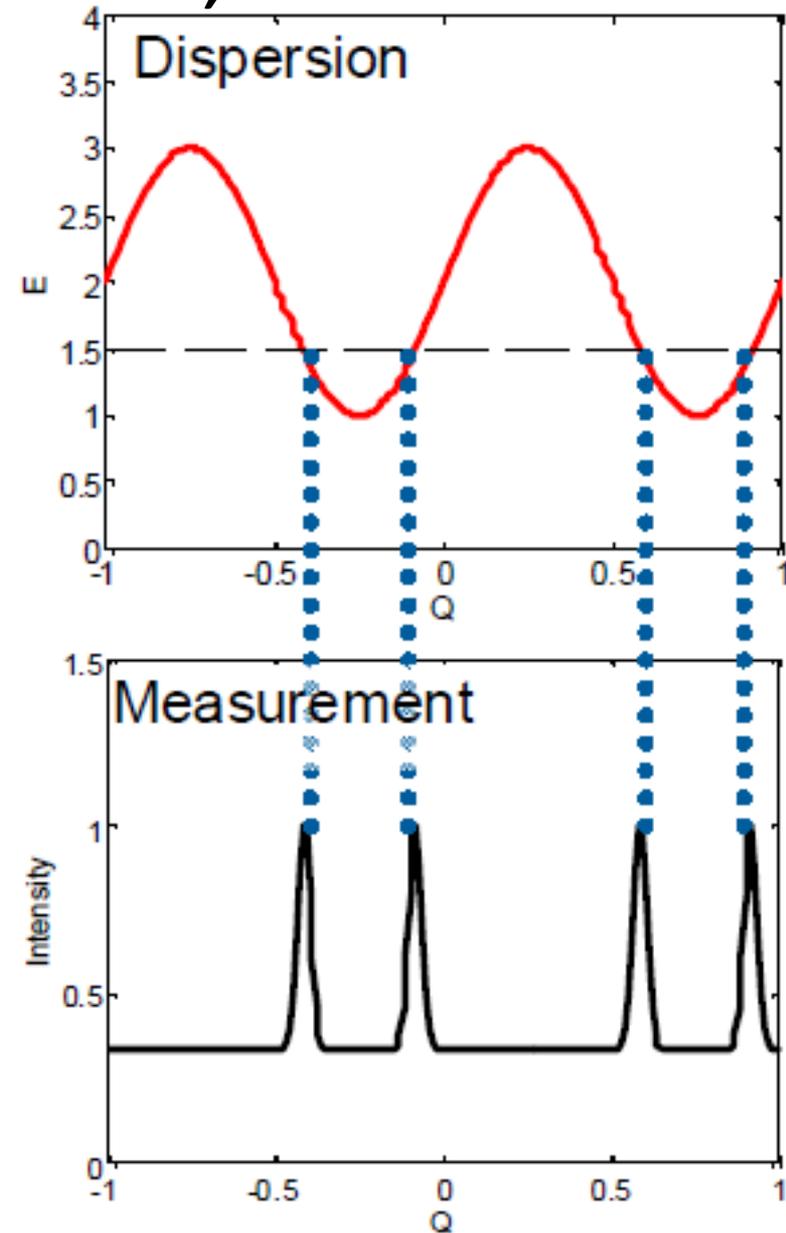
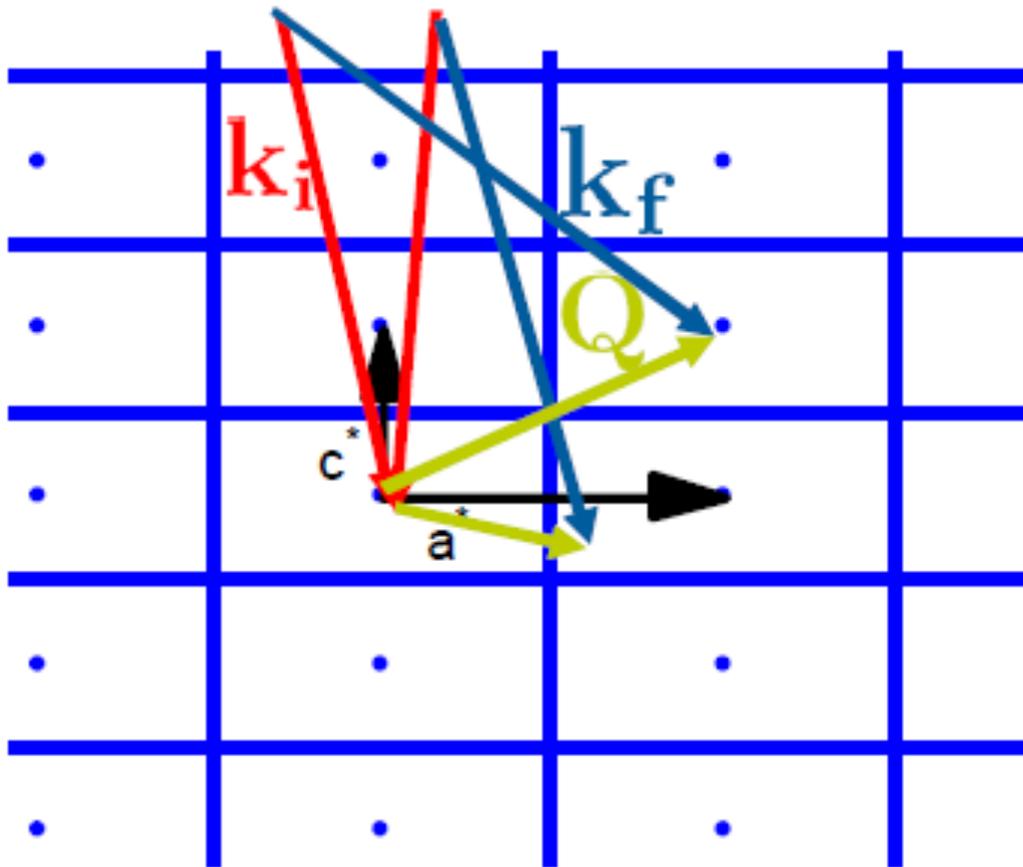
$$\vec{Q} = \vec{k}_1 - \vec{k}_2$$



Conventional measurements, constant- E

$$\hbar\omega = E_1 - E_2 = \frac{\hbar^2}{2m} (k_1^2 - k_2^2)$$

$$\vec{Q} = \vec{k}_1 - \vec{k}_2$$



Resolution

Q and ω defined only to a certain level of precision

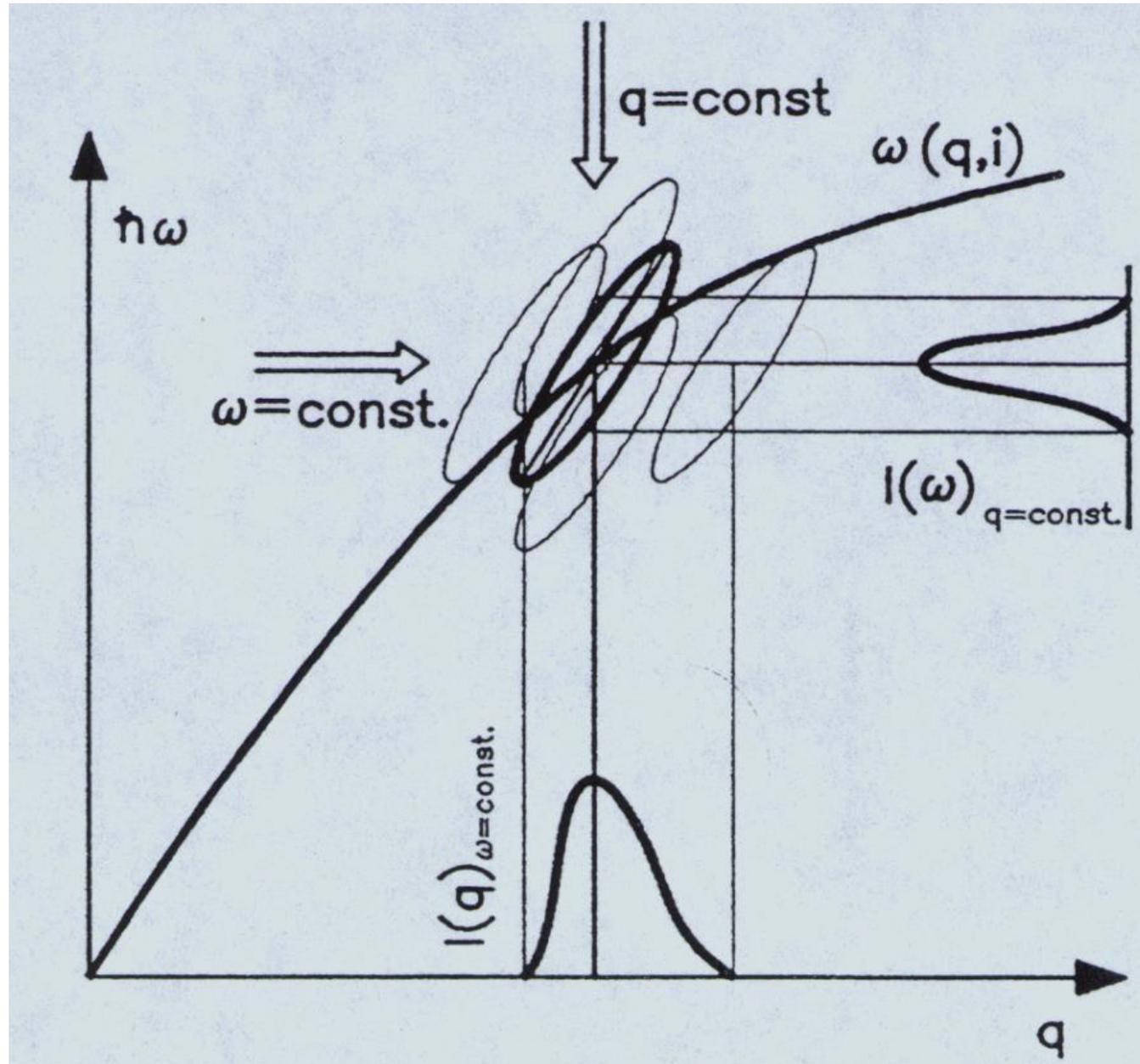
Reducing these uncertainties leads to a **better resolution**

BUT

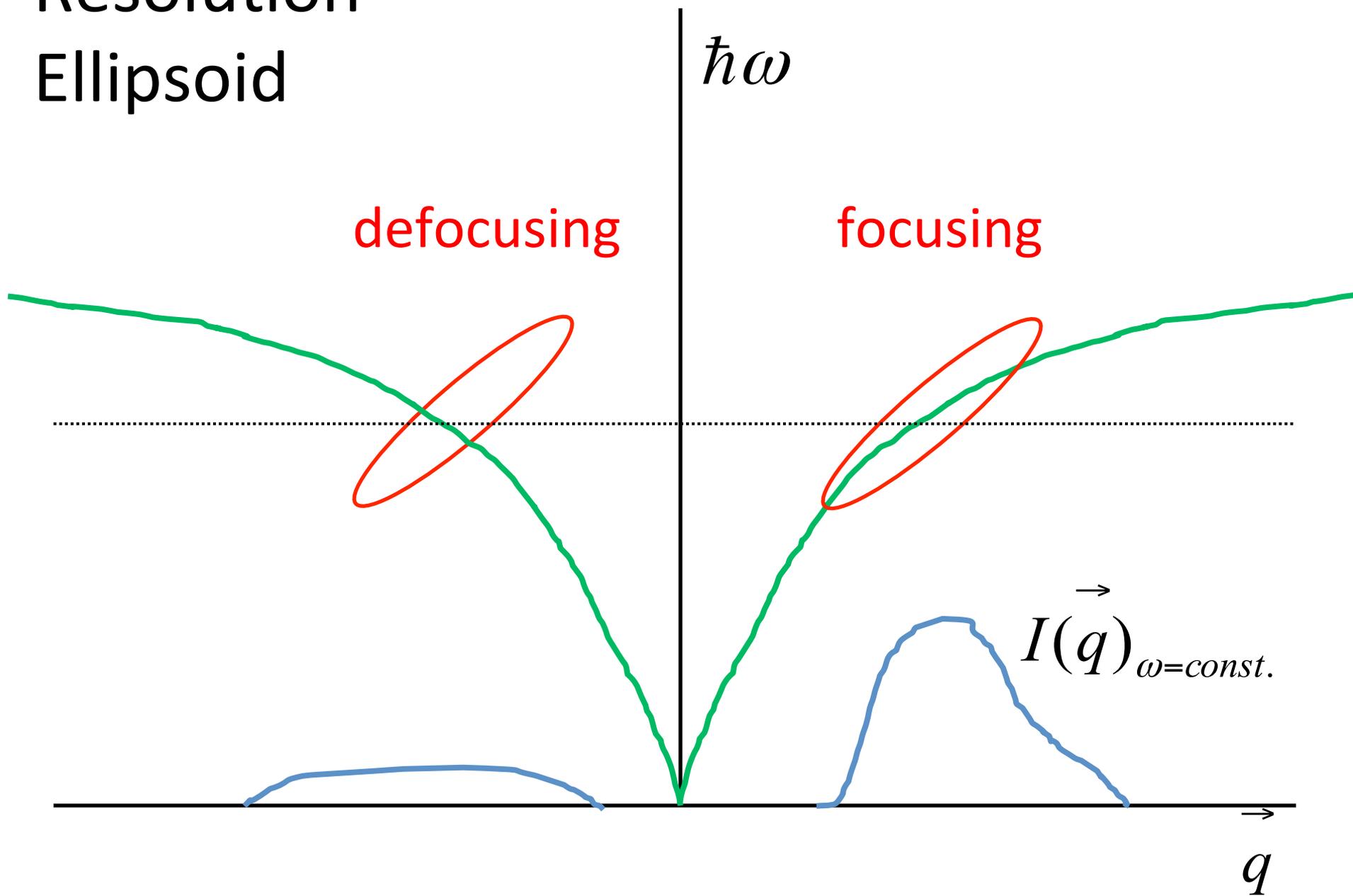
it also leads to **lower counts**

→ resolution volumes, with distinct orientations
in $(\vec{Q}, \hbar\omega)$ space → ***resolution ellipsoid***

Resolution Ellipsoid



Resolution Ellipsoid



Convolution of resolution function with scattering function $S(\vec{Q}, \hbar\omega)$

The measured intensity is the convolution:

$$I_{measured} = \int S(\vec{Q}, \omega) R(\vec{Q} - \vec{Q}_0, \omega - \omega_0) d\vec{Q} d\omega$$



peak at (\vec{Q}_0, ω_0) instrument position
/ decreases with $(\Delta Q_0, \Delta\omega_0)$
purely instrumental property

Restrax

<http://neutron.ujf.cas.cz/restrax>

By J. Saroun and J. Kulda

restraxGUI 5.2.0
File Settings Exec Window Tools Help

Config

- TAS settings
- Scan parameters
- vTAS

Components

- Source
- n-Guide
- 1st collimator
- Monochromator
- 2nd collimator
- Sample
- 3rd collimator
- Analyzer
- 4th collimator
- Detector

Commands

Raytracing
n-events: 30000

Resolution function

Ellipsoid
Events map
Selected
All
Profile
h

S(Q,E) Flat-con
Fit Log-Y

Results

Ray-tracing is on
Optimized crystal curvatures (analytical)
horizontal vertical
Monochromator 0.37433 0.30289
Analyzer 0.67856 1.3889

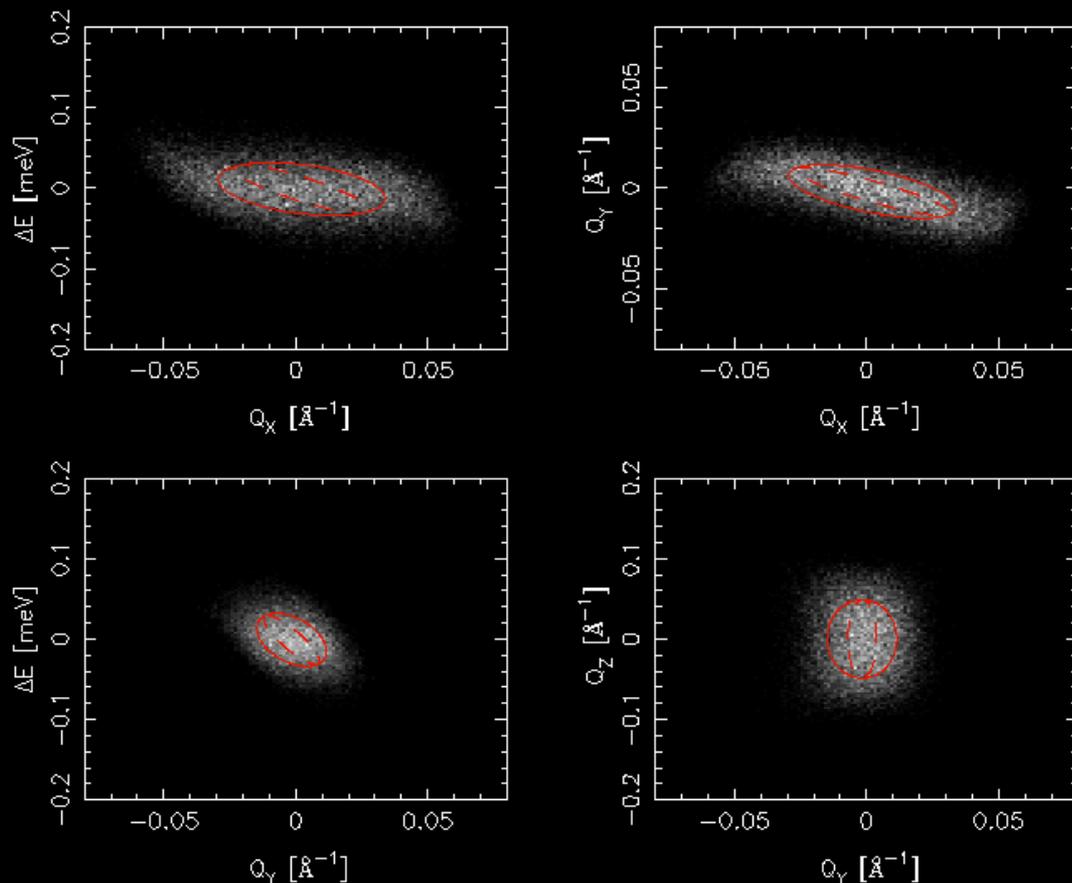
End of simulation
Events = 30000, Time = 0.75 s, Efficiency = 0.0000

command MBRAG
Resolution widths in C&N coord
radial tangential vertic
Bragg 0.026725 0.010817 0.0970
Vanad 0.063438 0.026485 0.09704

m-Fwhm
Resolution width in direction (100.2
Å⁻¹
Bragg 0.0286 0.04
Elastic "Vanad" (dE=0) 0.059667 0.08
Inelastic "Vanad" 0.063881 0.08

Console

Projections of the Resolution Function



Instrument Configuration: default.cfg

DM = 3.35500	DA = 3.35500	ETAG = 30.00	
ETAM = 30.00	ETAA = 35.00	SA = 1.	
SM = 1.	SS = -1.		
KFX = 1.14998	FX = 2.		
ALF1 = 800.00	ALF2 = 80.00	ALF3 = 500.00	ALF4 = 500.00
BET1 = 600.00	BET2 = 600.00	BET3 = 600.00	BET4 = 600.00
AS = 10.1889	BS = 9.4130	CS = 8.6540	
AA = 90.0000	BB = 112.6228	CC = 90.0000	
AX = 0.0000	AY = 0.0000	AZ = -1.0000	
BX = -1.0000	BY = 0.0000	BZ = 0.0000	
OH = 1.1996	OK = 0.0000	OL = 0.2000	EN = 0.2000
A3 = 7.7772	PSI = 0.0000	AA = -43.8004	DH = 0.0500
DK = 0.0000	DL = 0.0000	DE = 0.0000	DA3 = 0.0000
DPSI = 0.0000	DA4 = 0.0000	GH = 0.0500	GK = 0.0000
GL = 0.00	GMOD = 0.00		

TAS - ILL

Cold – ThALES, IN12 (0.05 – 10 meV), IN22 (0.5 – 25 meV)
Thermal – IN20, IN8 (2 – 100 meV)
Hot – IN1 (few 100 meV)

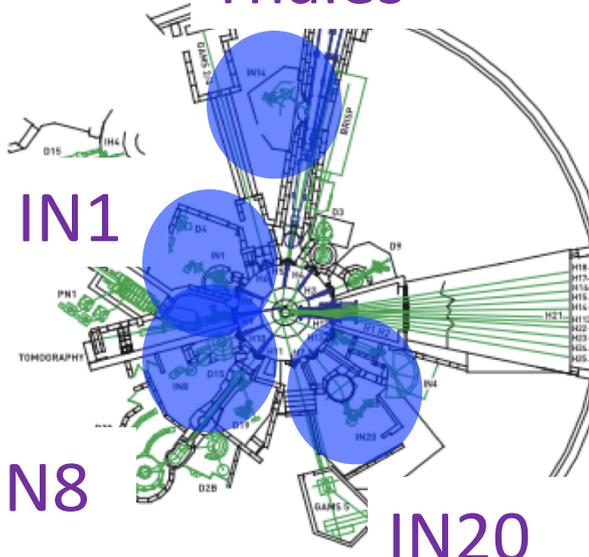


Neutron guide hall
ILL 22



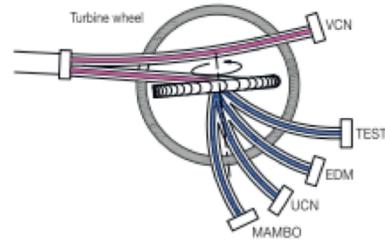
Reactor hall
Inclined guide H

Thales

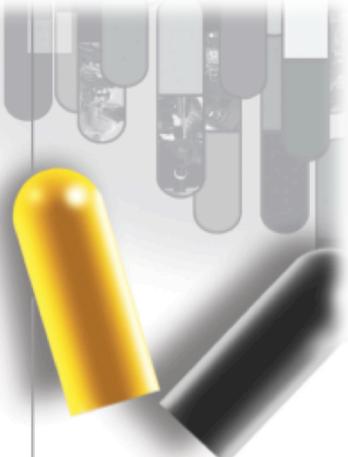
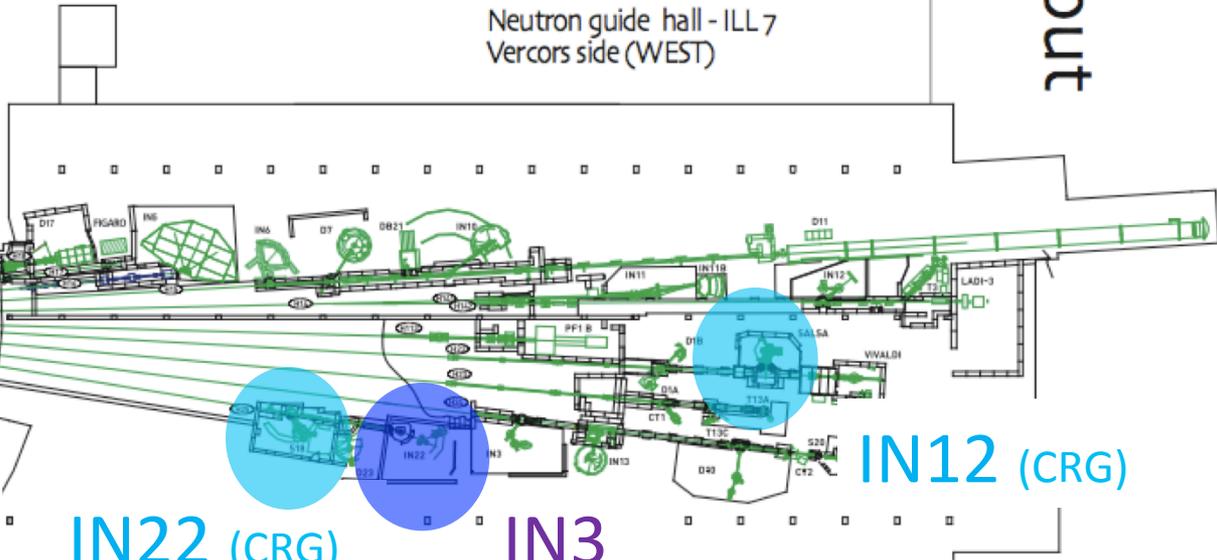


Experimental facilities at the ILL

Reactor operational level (D)



Neutron guide hall - ILL 7
Vercors side (WEST)



Layout

IN8

IN20

IN22 (CRG)

IN3

IN12 (CRG)

Multiplex-detectors in ILL

FlatCone

Lagrange

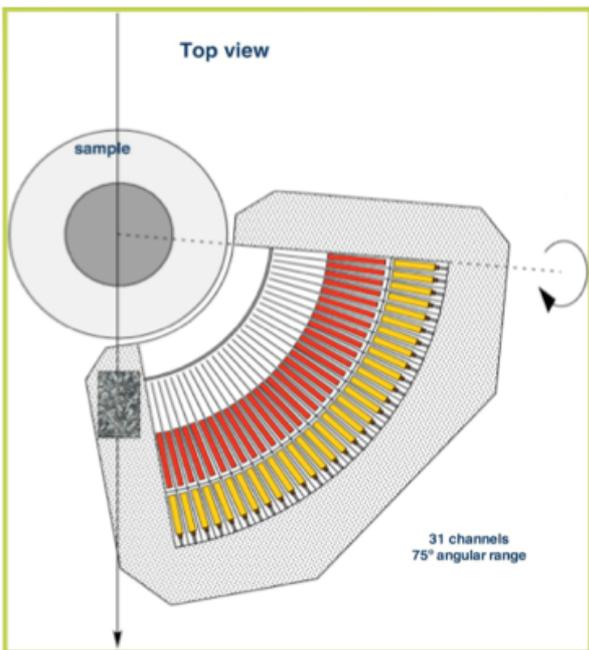
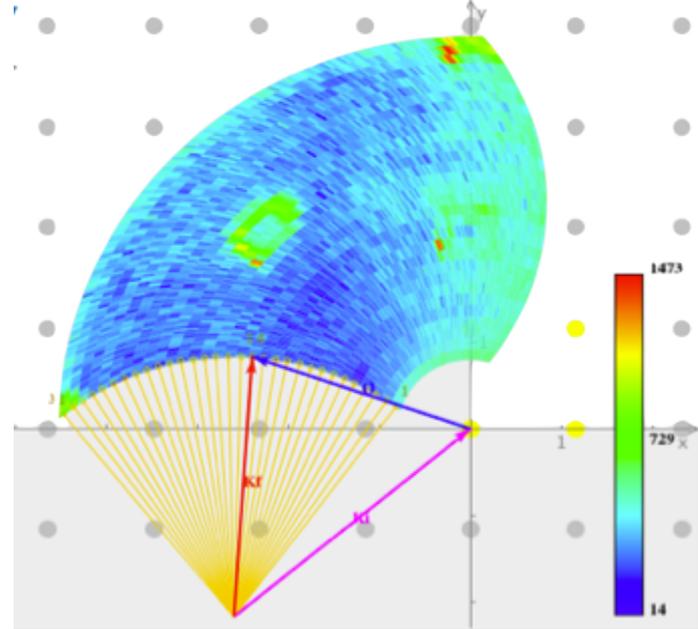
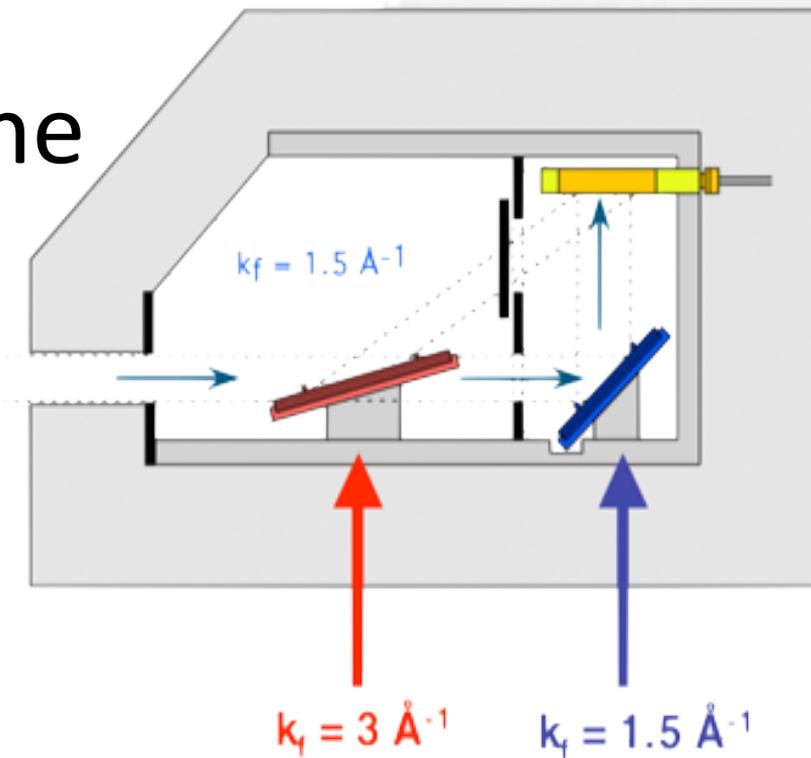
IMPS

UFO

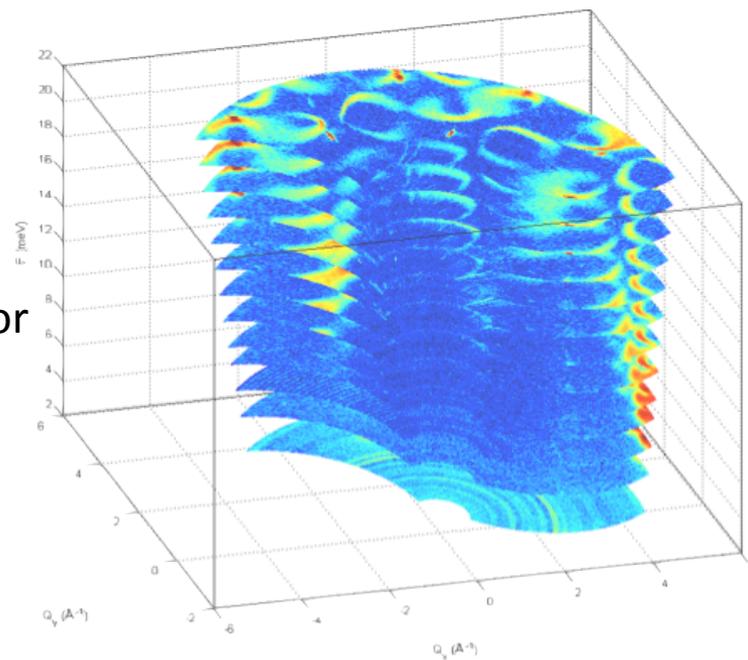
- 'Mapping'

- Broad Q regions at constant energy transfers

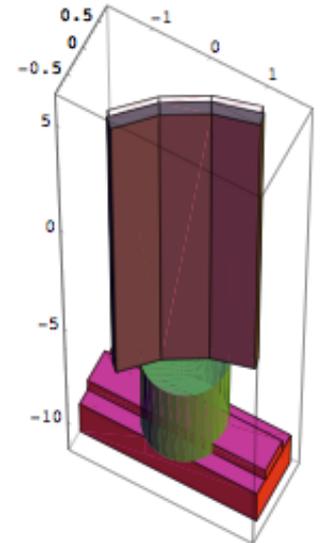
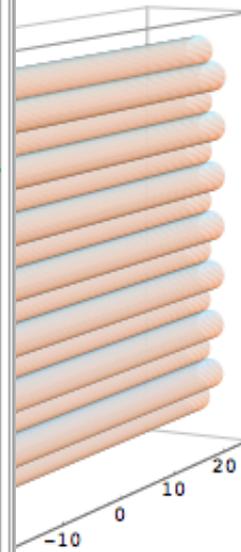
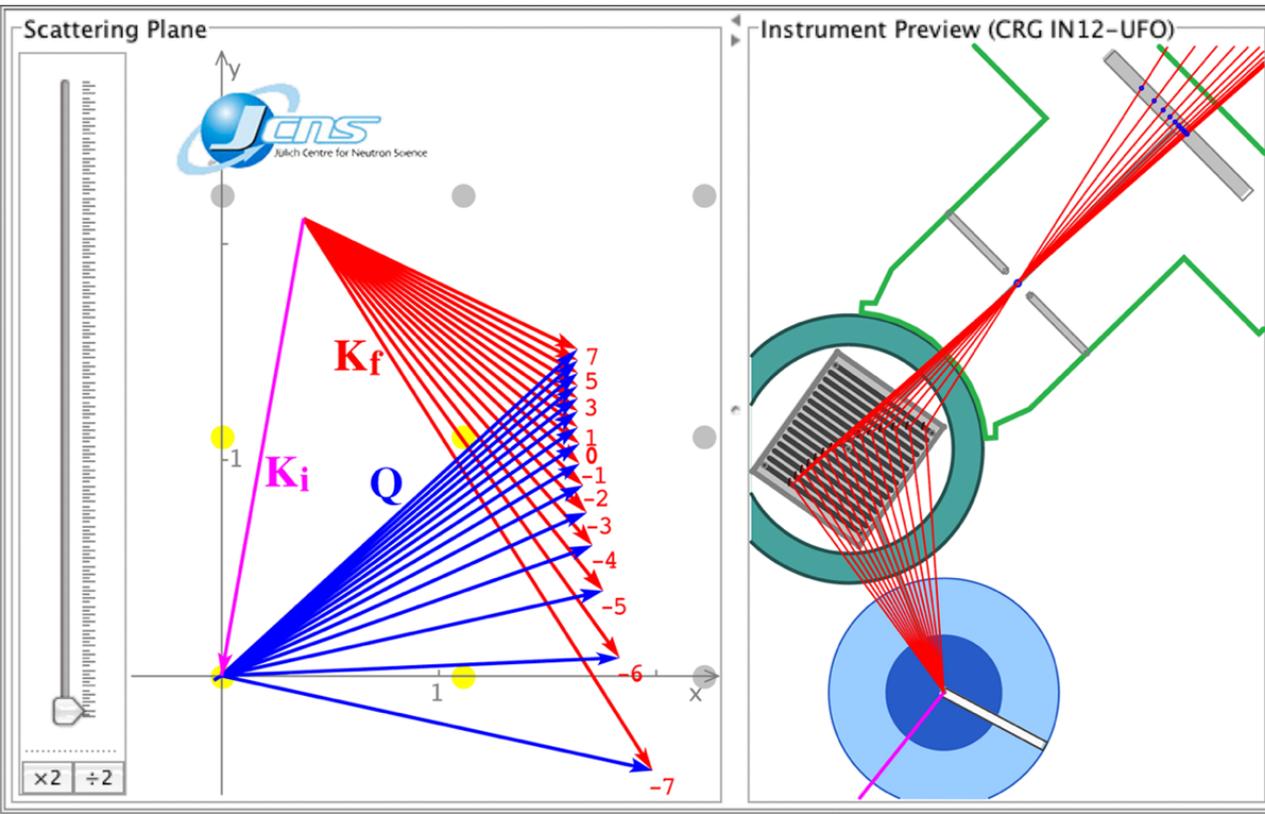
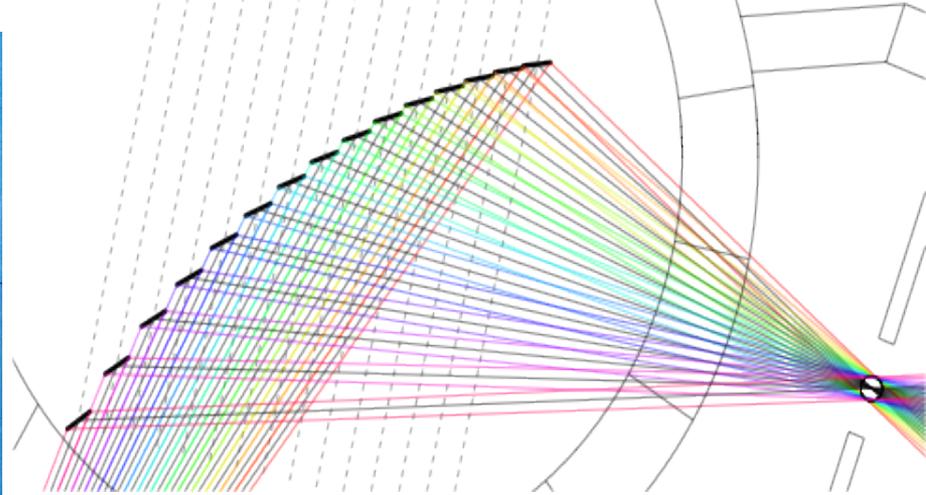
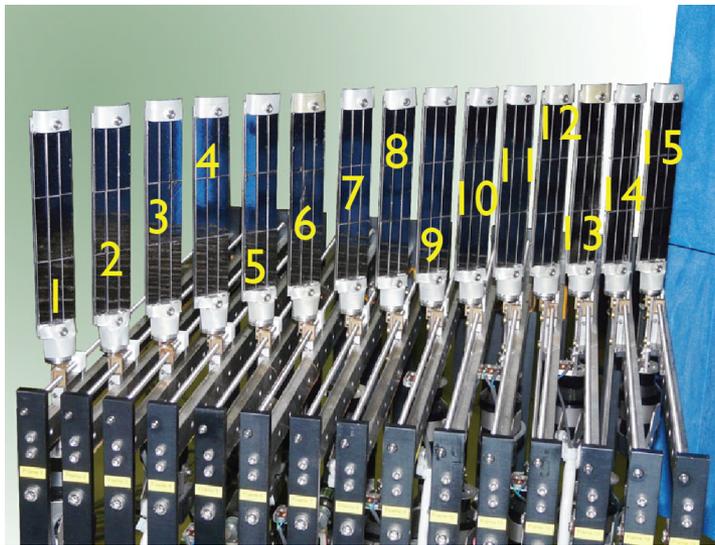
FlatCone



31 individual analyser-detector channels
Fixed k_f (1.5 and 3 \AA^{-1})
Solid angle: 0.0042
Angular coverage single channel: 2.5 degrees



UFO



Polarized neutron scattering

Polarized neutron beam

- spin $s = \frac{1}{2}$
- angular momentum $\pm \frac{1}{2} \hbar$
- spin vector \vec{S}_n

Polarization of a neutron beam = ensemble average over all the neutron spin vectors, normalized to their modulus:

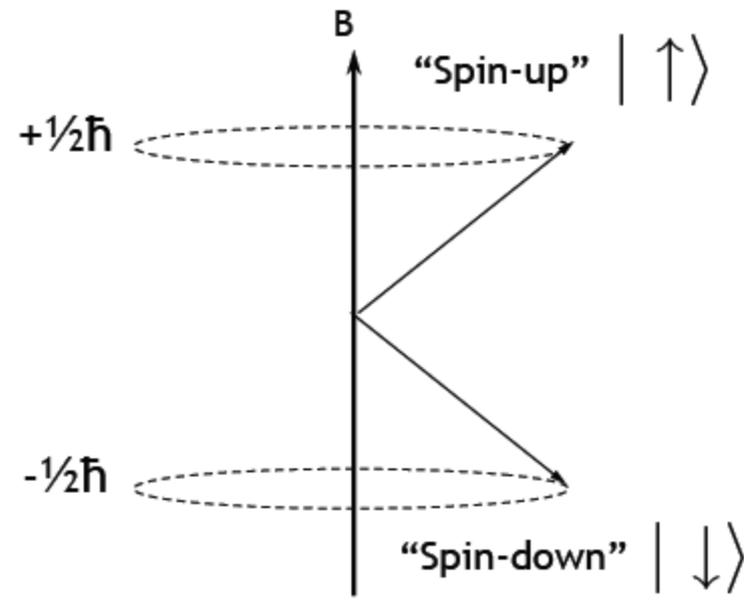
$$P = \langle \vec{S}_n \rangle / \frac{1}{2} = 2 \langle \vec{S}_n \rangle$$

Application of external field \mathbf{B} :

- ONE quantization axis

→ spin-up X spin-down

→ polarization:
$$P = \frac{N_+ - N_-}{N_+ + N_-}$$



Polarized neutron beam

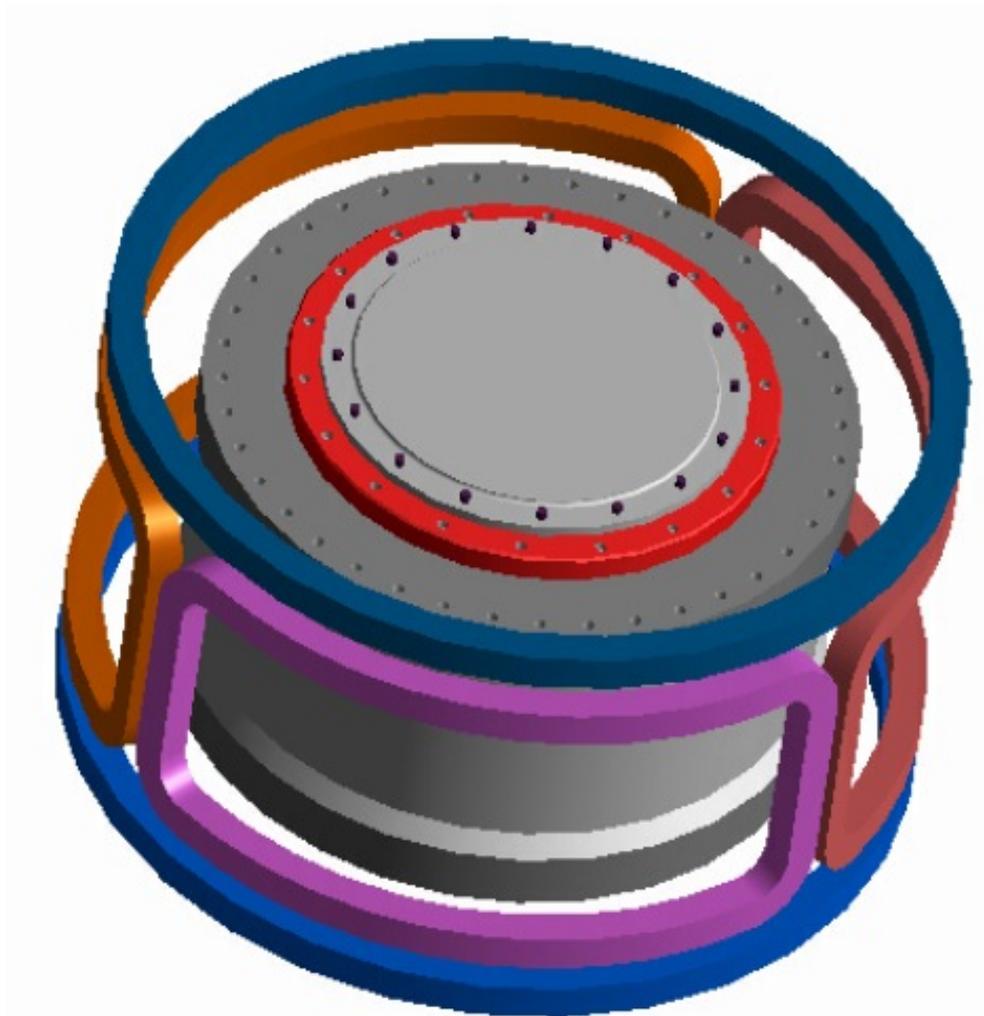
$$P = \frac{N_+ - N_-}{N_+ + N_-} = \frac{\frac{N_+}{N_-} - 1}{\frac{N_+}{N_-} + 1} = \frac{F - 1}{F + 1}$$

Flipping ratio $F = \frac{N_+}{N_-}$ is measurable quantity

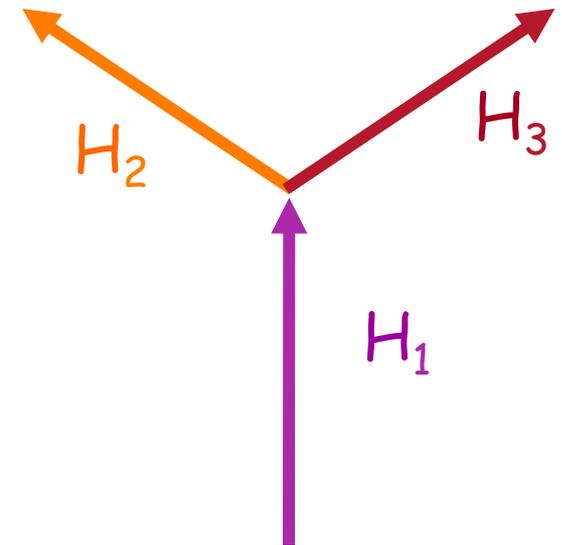
- description for experiments with only 1 quantization axis
 - *Longitudinal polarization analysis*
- in case of 3 dimensions
 - *Vector (spherical) polarization analysis*

Define a neutron spin quantization axis

- small magnetic fields



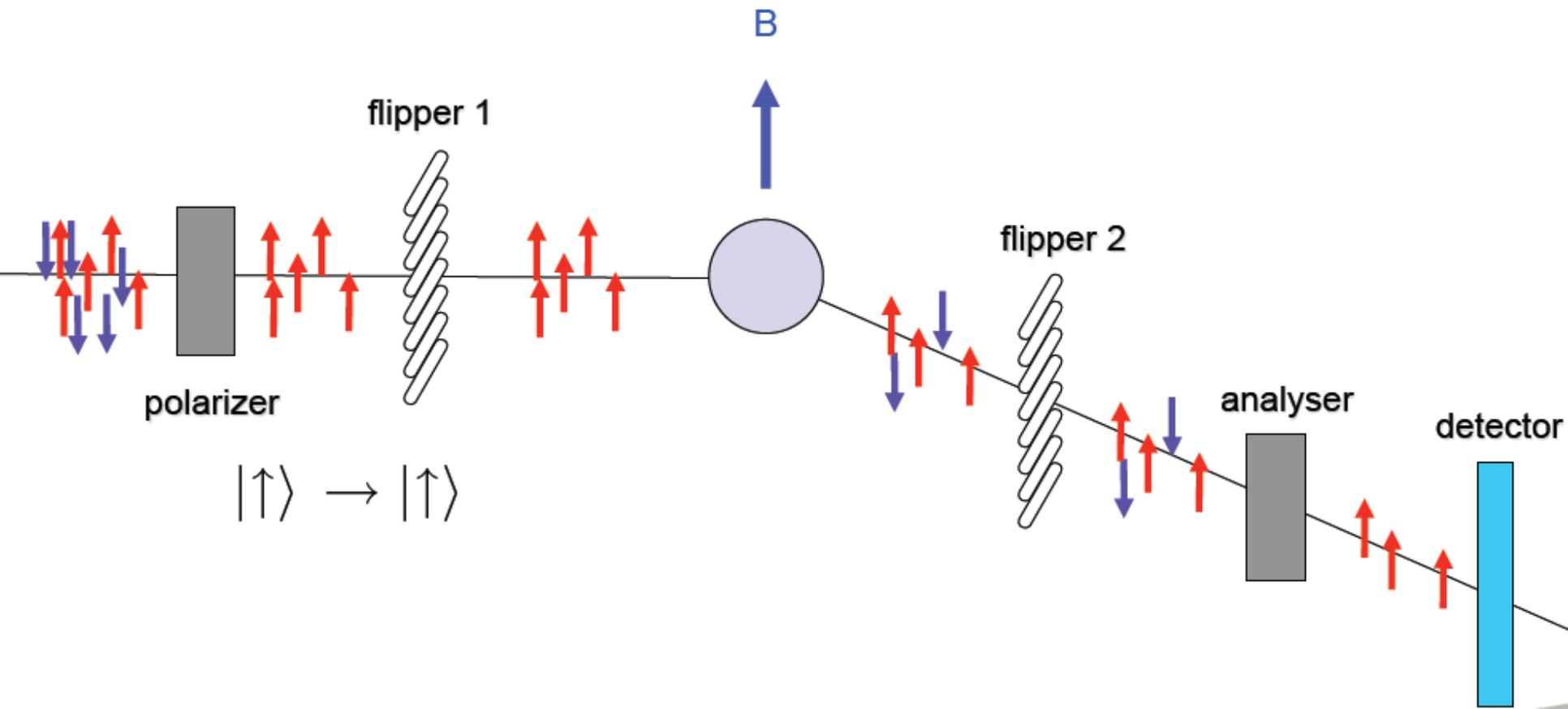
Horizontal field:



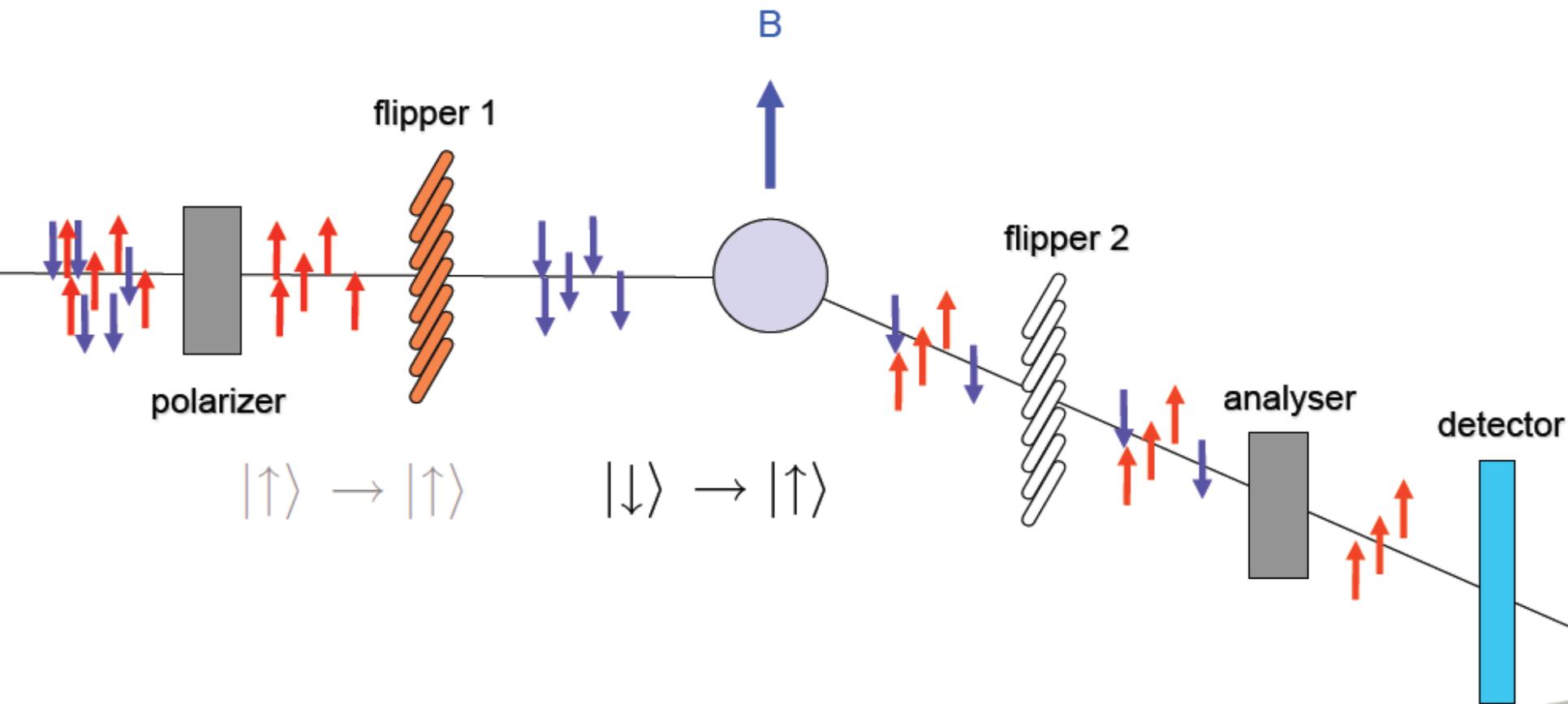
Vertical field:



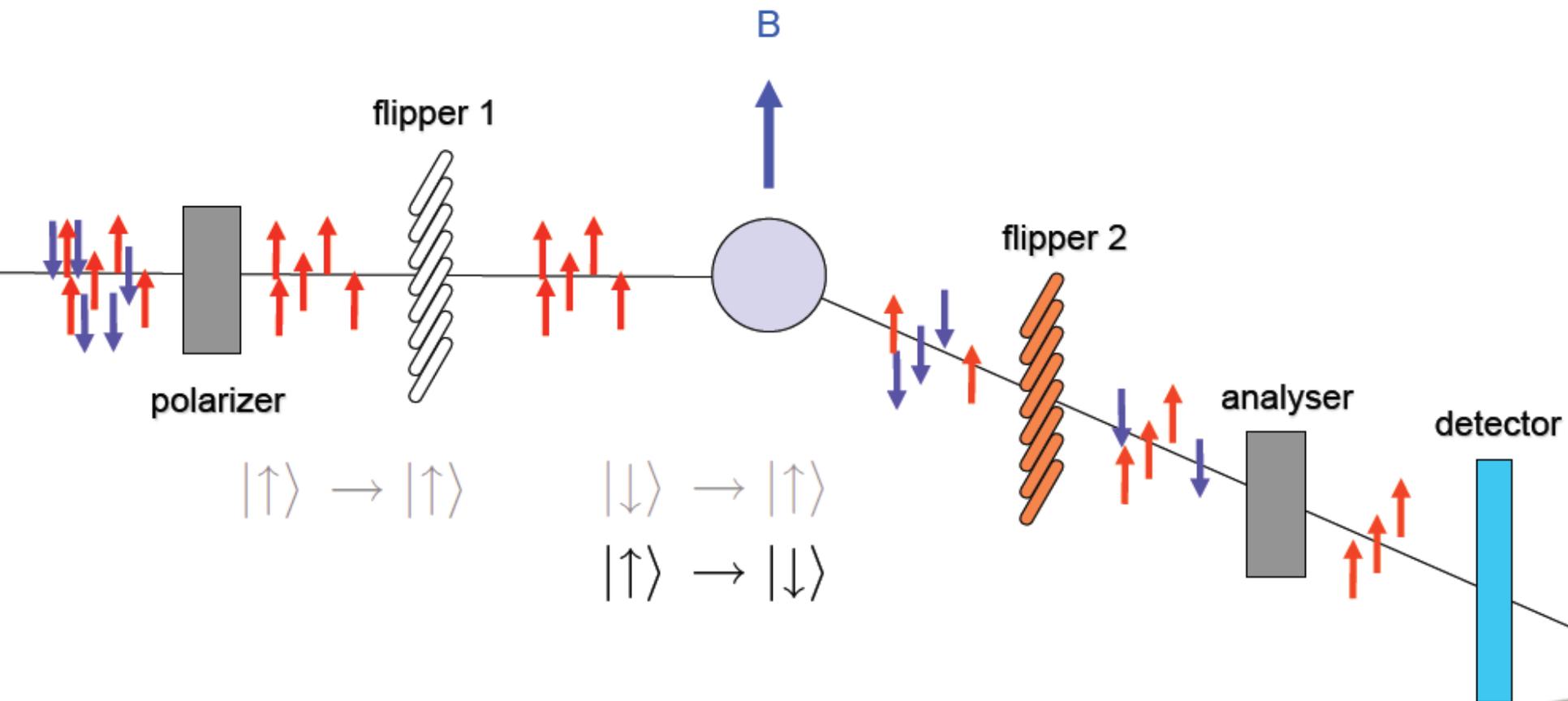
Uniaxial experiment



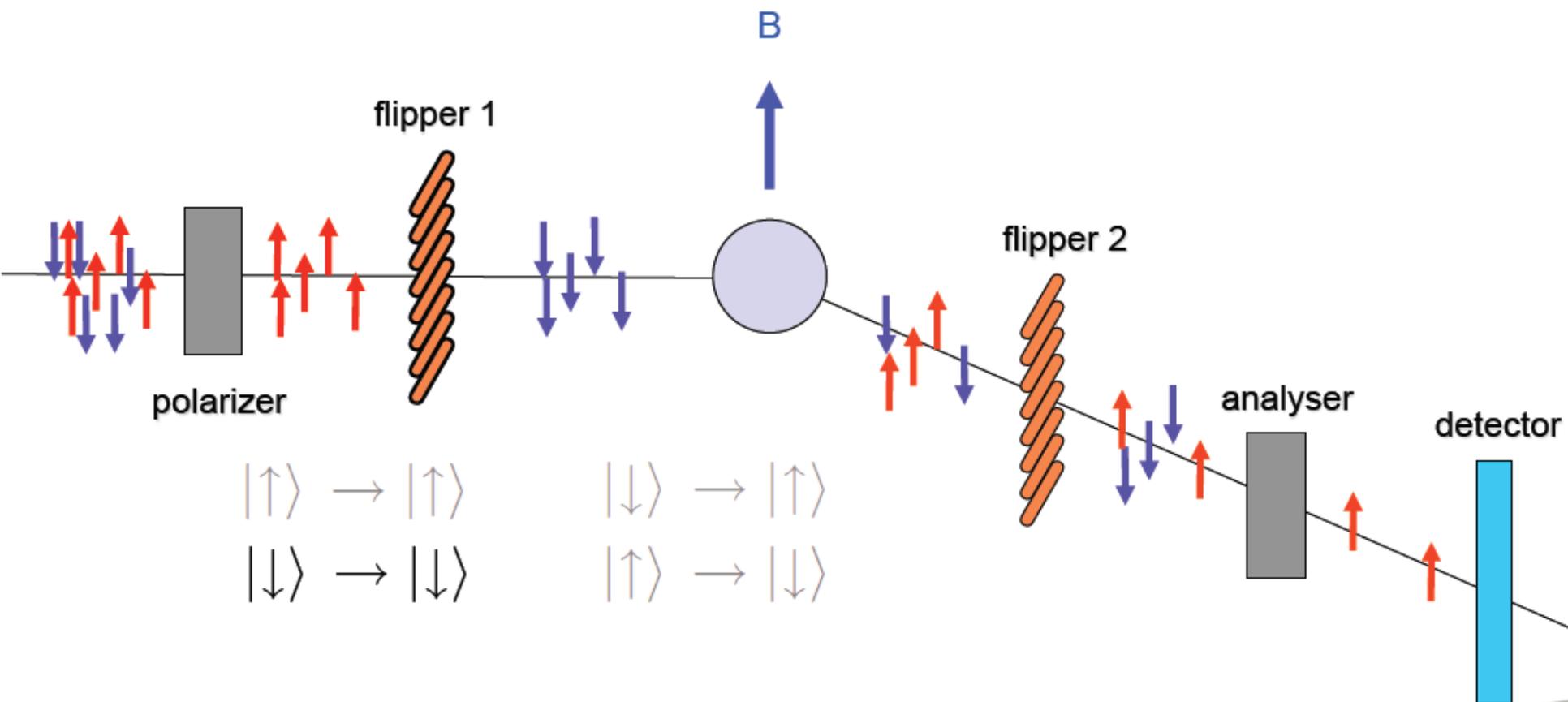
Uniaxial experiment



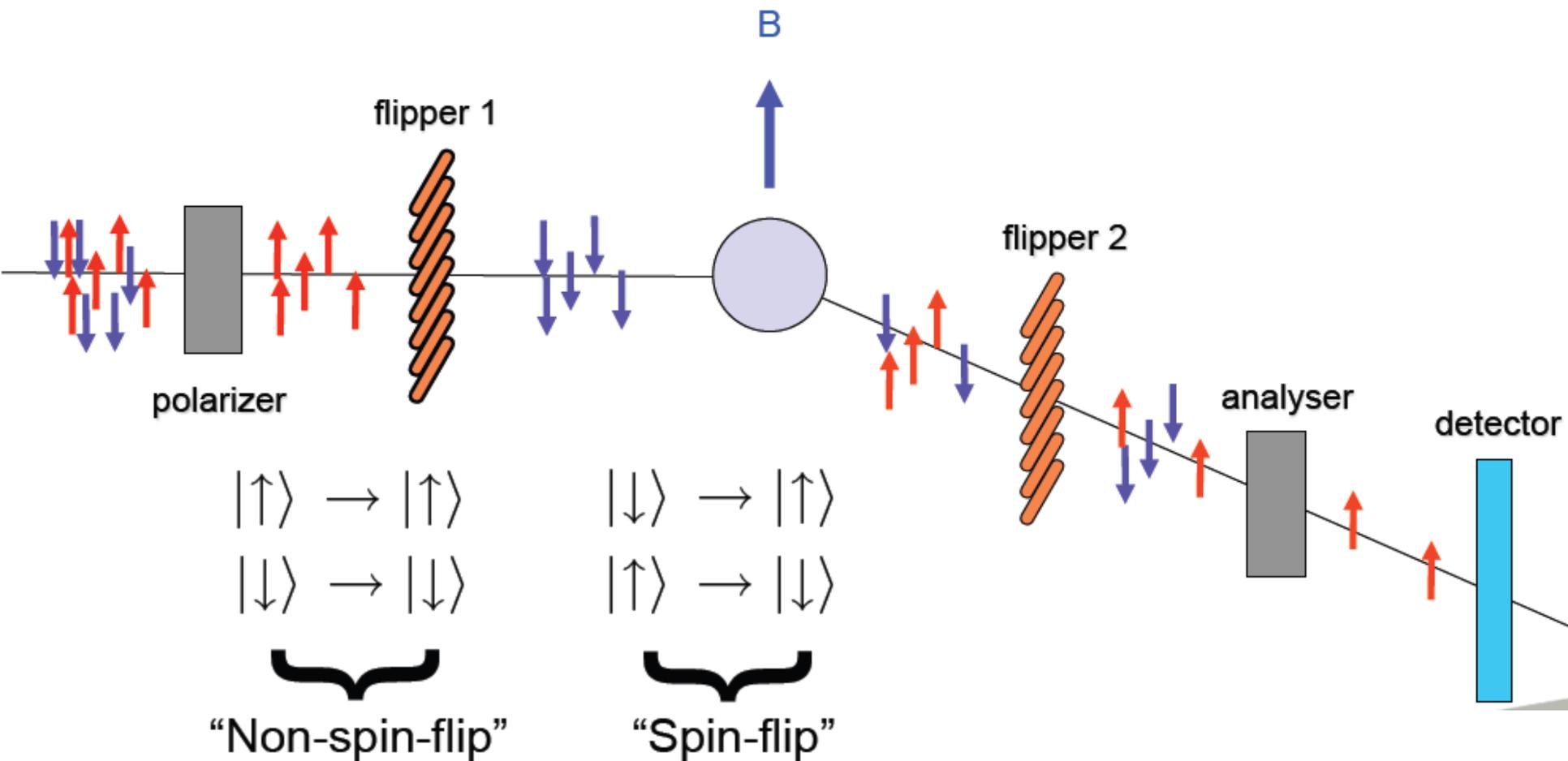
Uniaxial experiment



Uniaxial experiment



Uniaxial experiment

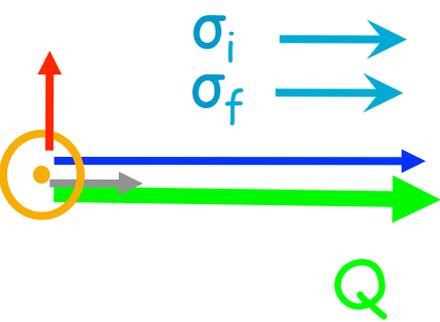


polarized $||x$, analysis $Q||x$

$H || x$

Non-Spin Flip

$$\sigma^{xx} = \sigma^{\bar{x}\bar{x}} = NN^* + \frac{1}{3}NSI + BG_{NSF}$$

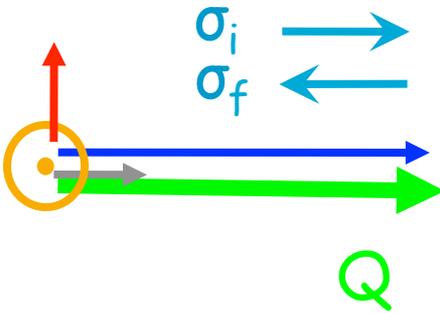


or



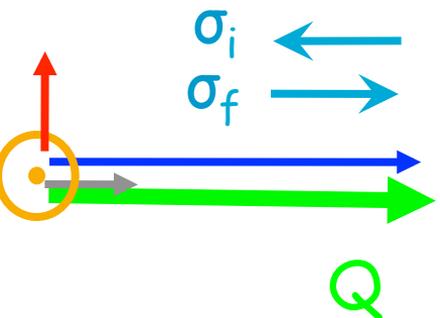
Spin Flip

$$\sigma^{x\bar{x}} = \mathbf{M}_{\perp}^* \cdot \mathbf{M}_{\perp} + i(\mathbf{M}_{\perp}^* \times \mathbf{M}_{\perp}) \cdot \hat{\mathbf{Q}} + \frac{2}{3}NSI + BG_{SF}$$



Spin Flip

$$\sigma^{\bar{x}x} = \mathbf{M}_{\perp}^* \cdot \mathbf{M}_{\perp} - i(\mathbf{M}_{\perp}^* \times \mathbf{M}_{\perp}) \cdot \hat{\mathbf{Q}} + \frac{2}{3}NSI + BG_{SF}$$



NSF/SF along 3 “quantization” axes

$H \parallel x$

$$\sigma^{xx} = \sigma^{\bar{x}\bar{x}} = NN^* + \frac{1}{3}\text{NSI} + \text{BG}_{NSF}$$

$$\frac{1}{2}\sigma^{x\bar{x}} + \frac{1}{2}\sigma^{\bar{x}z} = + M_{\perp}^{y*} M_{\perp}^y + M_{\perp}^{z*} M_{\perp}^z + \frac{2}{3}\text{NSI} + \text{BG}_{SF}$$

$H \parallel y$

$$\frac{1}{2}\sigma^{yy} + \frac{1}{2}\sigma^{\bar{y}\bar{y}} = NN^* + M_{\perp}^{y*} M_{\perp}^y + \frac{1}{3}\text{NSI} + \text{BG}_{NSF}$$

$$\sigma^{y\bar{y}} = \sigma^{\bar{y}y} = + M_{\perp}^{z*} M_{\perp}^z + \frac{2}{3}\text{NSI} + \text{BG}_{SF}$$

$H \parallel z$

$$\frac{1}{2}\sigma^{zz} + \frac{1}{2}\sigma^{\bar{z}\bar{z}} = NN^* + M_{\perp}^{z*} M_{\perp}^z + \frac{1}{3}\text{NSI} + \text{BG}_{NSF}$$

$$\sigma^{z\bar{z}} = \sigma^{\bar{z}z} = + M_{\perp}^{y*} M_{\perp}^y + \frac{2}{3}\text{NSI} + \text{BG}_{SF}$$

References

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Collection SFN 13, 01002 (2014)
DOI: 10.1051/cfn/20141301002

ThALES

Three Axis Low-Energy Spectrometer

ThALES instrument construction



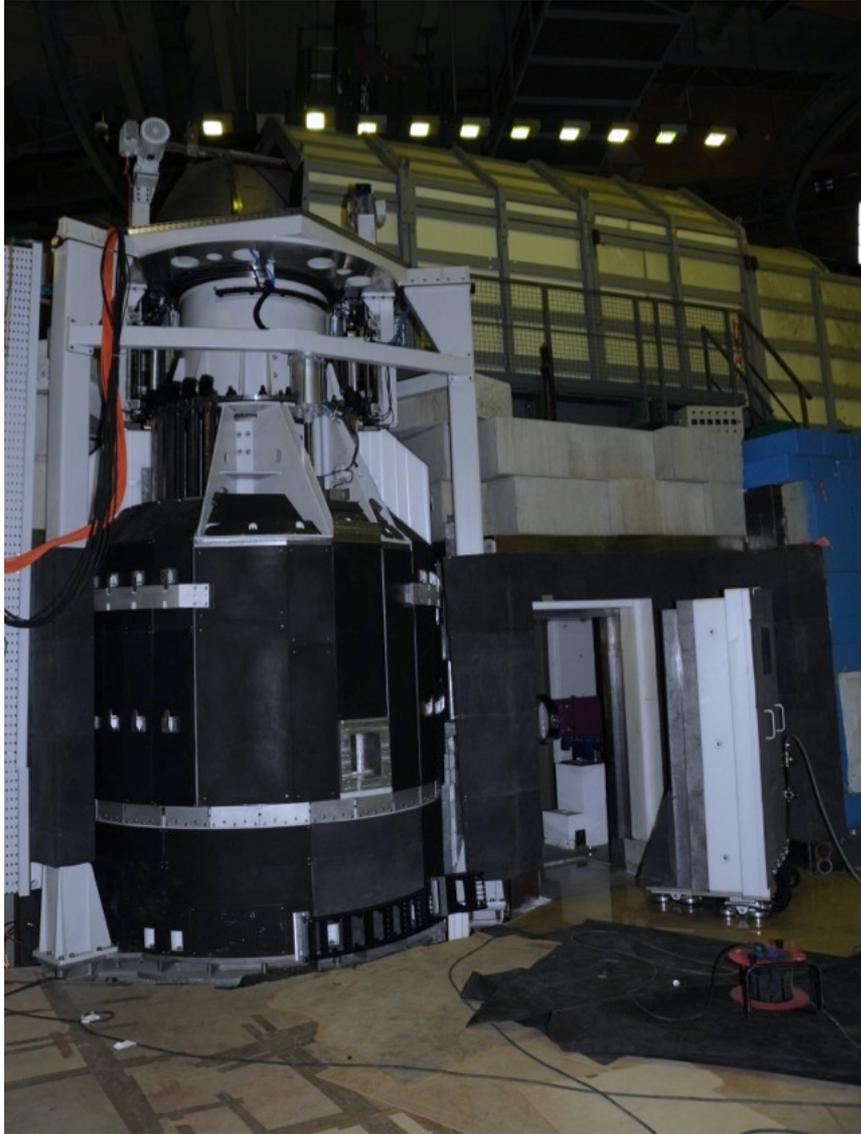
December 2013

ThALES – instrument construction

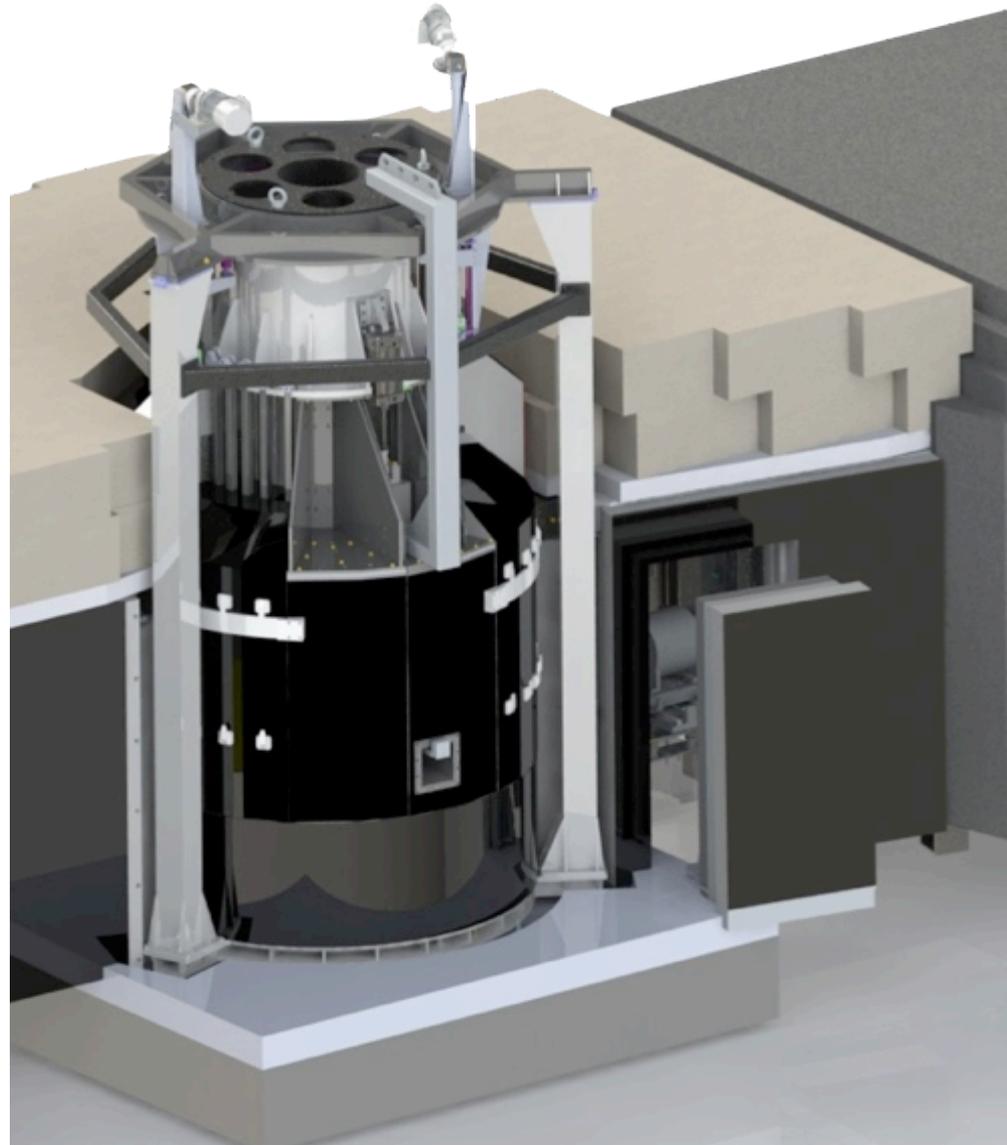
March 2014



ThALES – instrument construction



4 July 2014



after detailed design study, 2011

ThALES instrument construction

September 2014



ThALES



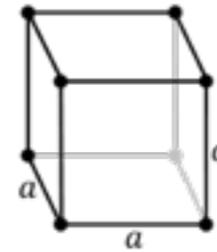
May 2015

Phonon

CLASSICAL DESCRIPTION

Crystal = Periodic lattice of atoms

Phonon = Collective vibration of the atoms in the crystal

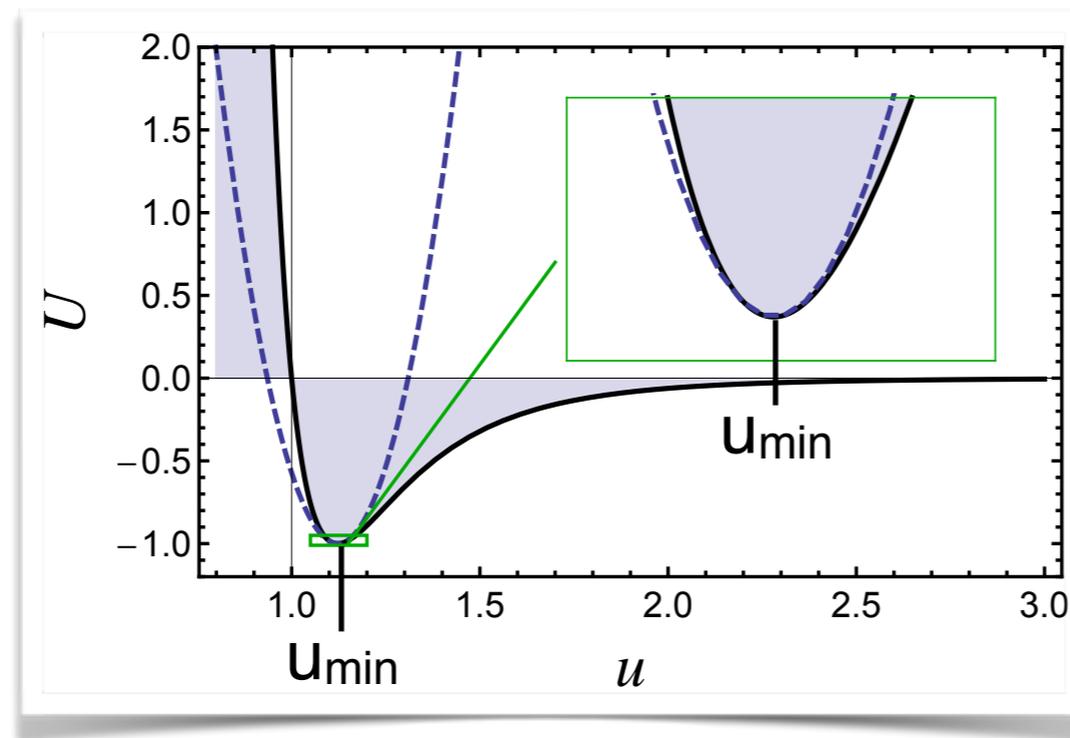


Crystal lattice

HYPOTHESIS

Harmonic approximation \rightarrow small displacement u of the atoms

Interaction between nearest neighbors



Interatomic potential

MODEL

Atoms connected by springs



Phonon

MONOATOMIC CHAIN

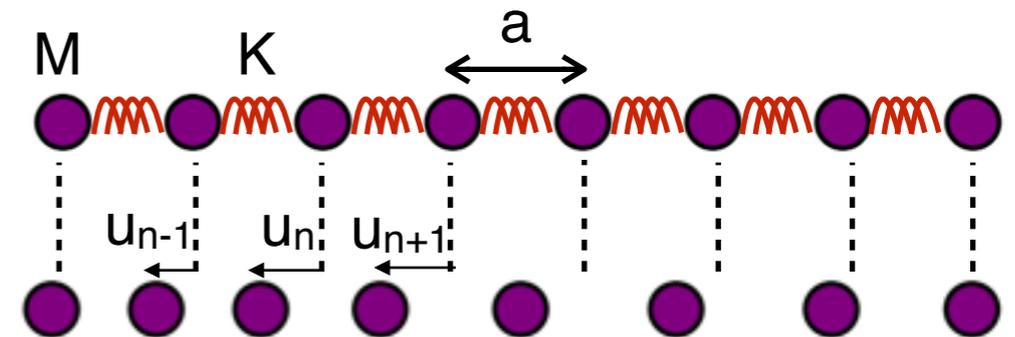
Force exerted on the atom n : $F = K(u(n+1) - u(n)) + K(u(n-1) - u(n))$

Fundamental principal of dynamics: $M \frac{\partial^2 u}{\partial t^2} = -\frac{\partial U}{\partial u} = F$

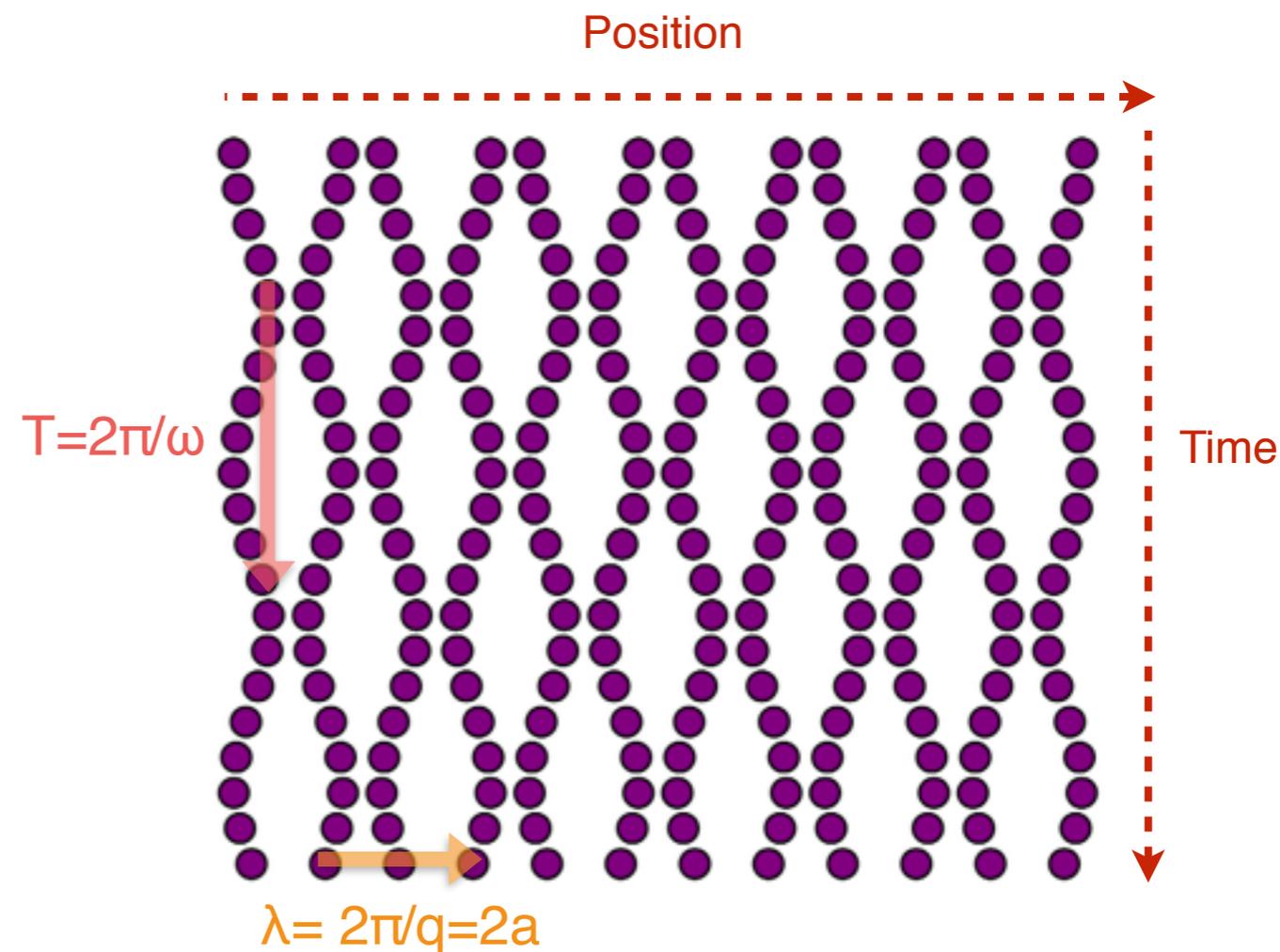
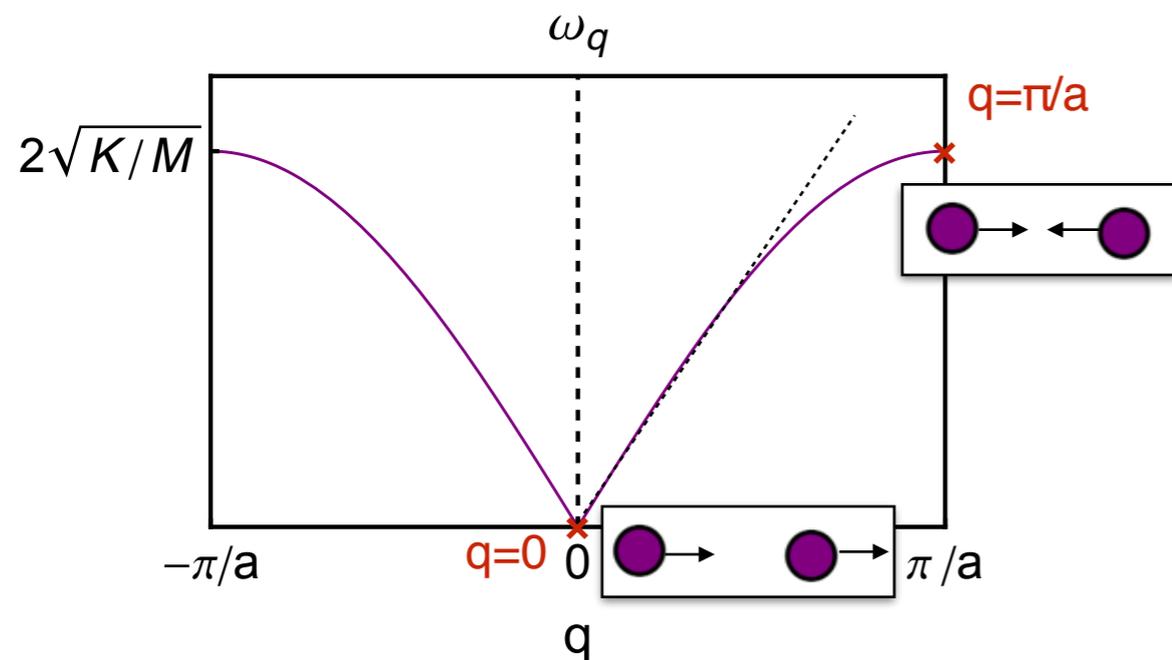
Solutions: collective waves $u(n) = u_0 \exp(i(qna - \omega t))$

Dispersion relation: $\omega_q = 2\sqrt{\frac{K}{M}} \left| \sin\left(\frac{qa}{2}\right) \right|$

For small qa : $\omega_q = \sqrt{\frac{K}{M}} qa$, $v = \frac{\partial \omega_q}{\partial q} = \sqrt{\frac{K}{M}} a$



One acoustic mode of vibration

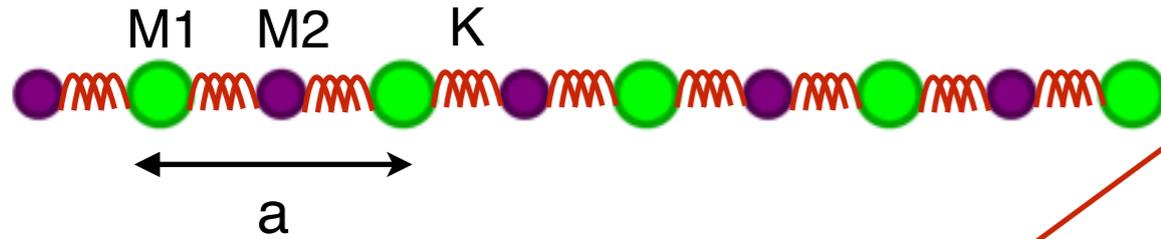


Phonon

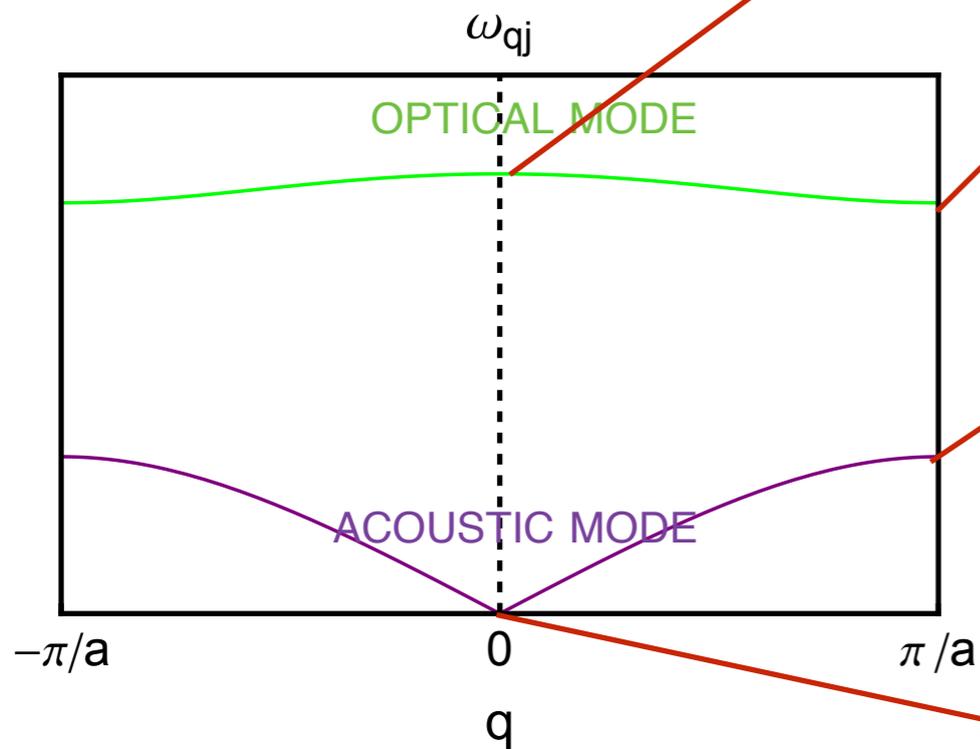
DIATOMIC CHAIN

Acoustic phonon: atoms vibrate in phase

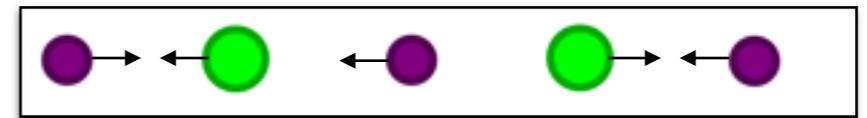
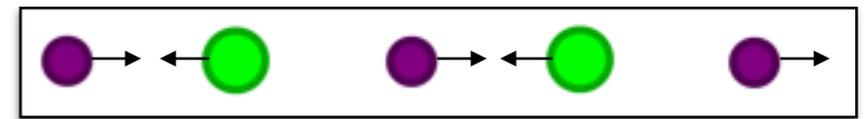
Optical phonon: atoms vibrate in opposite phase



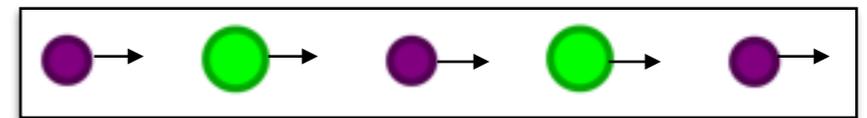
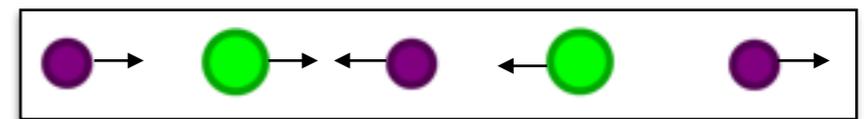
Two modes of vibration



OPTICAL MODE



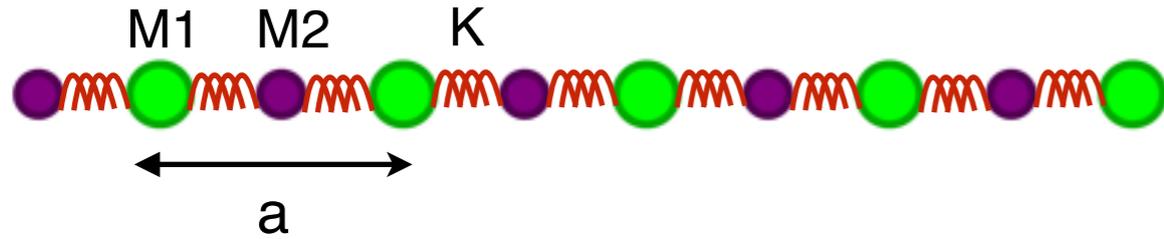
ACOUSTIC MODE



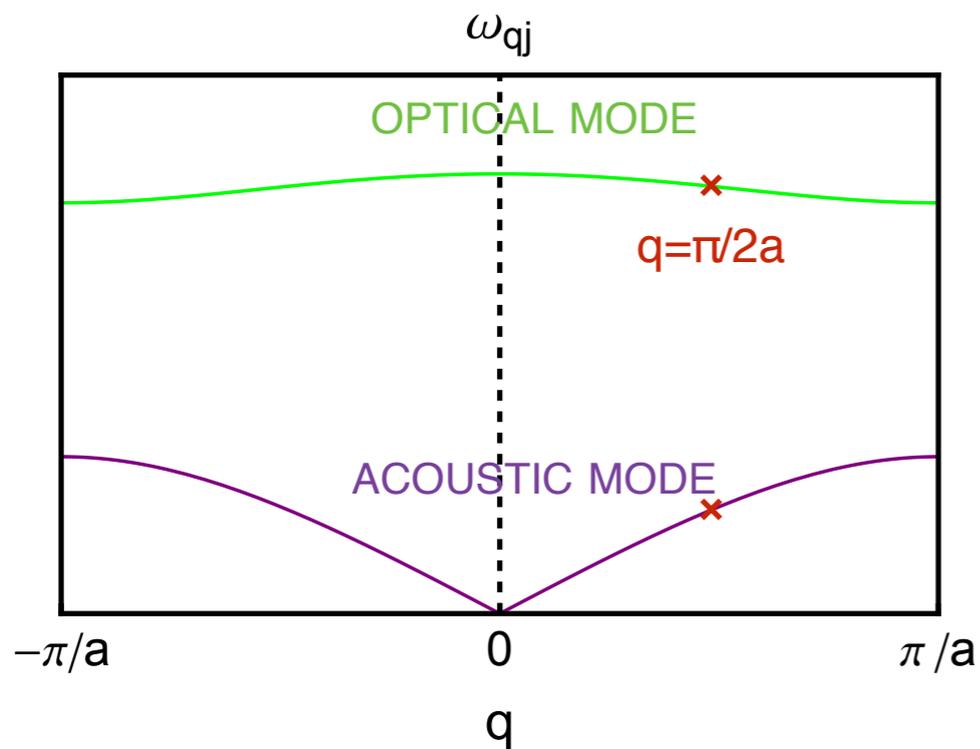
Phonon

DIATOMIC CHAIN

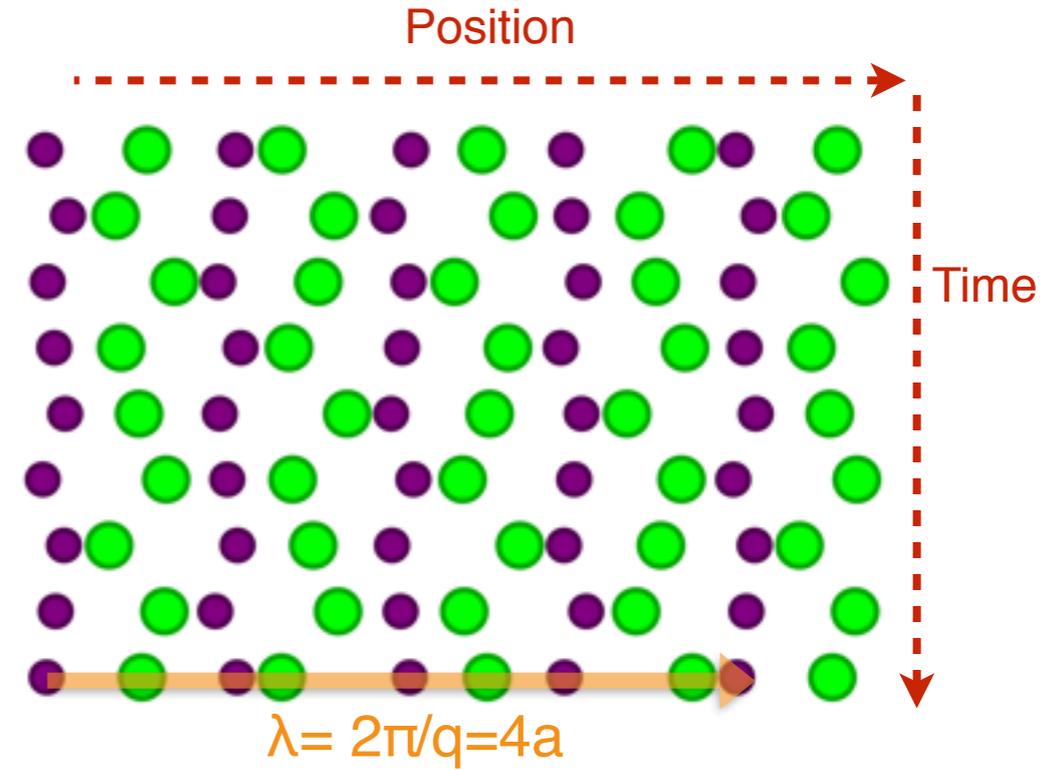
Acoustic phonon: atoms vibrate in phase
 Optical phonon: atoms vibrate in phase opposition



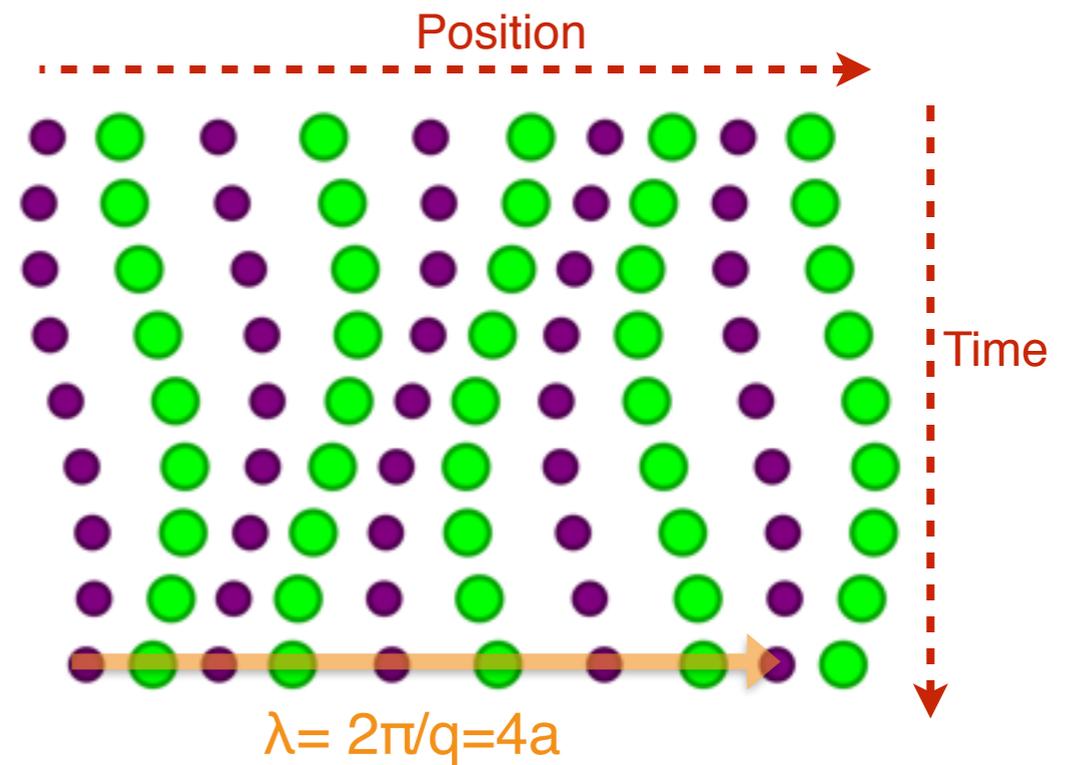
Two modes of vibration



OPTICAL MODE



ACOUSTIC MODE



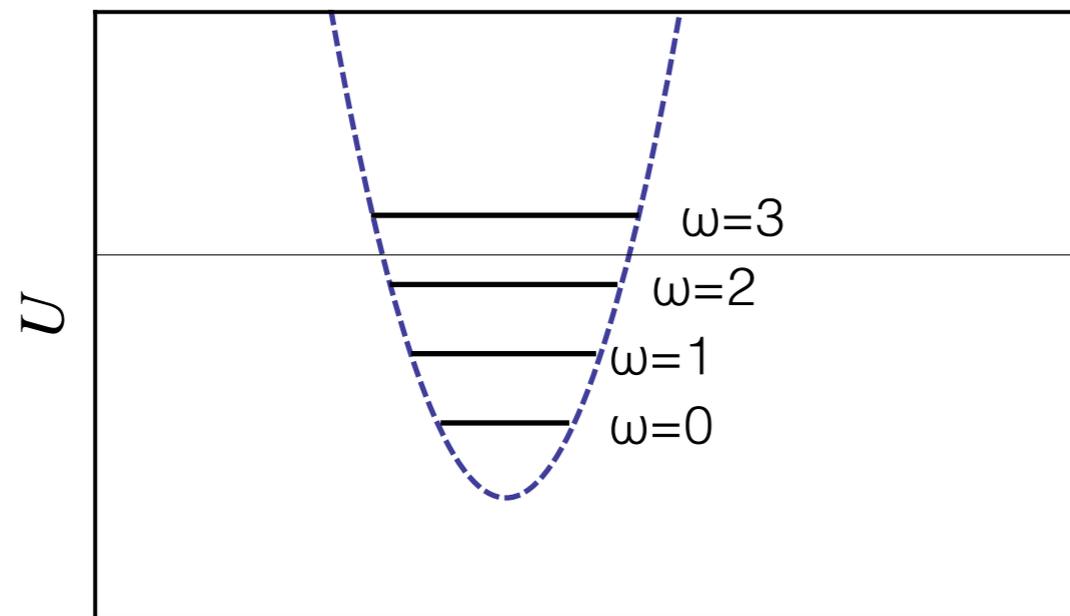
Phonon

QUANTUM DESCRIPTION

Each vibrational mode is associated to quasi particles called phonons. Their energy is quantized (analogous to the concept of photons, the quantum of electromagnetic radiation)

$$E_{qj} = \left(\langle n_{qj} \rangle + \frac{1}{2} \right) \hbar \omega_{qj} \quad \text{with} \quad \langle n_{qj} \rangle = \frac{1}{e^{\frac{\hbar \omega_{qj}}{k_B T}} - 1}$$

Phonon = quasi particle with an energy (**frequency ω**) and a momentum (**wave-vector q**)



INTERATOMIC POTENTIAL

Phonon

3D CRYSTAL

r atoms in unit cell

Each atom has 3 degrees of freedom (3 directions of polarisation \vec{e}

for 1 direction of propagation \vec{q} belonging to the first Brillouin zone) \Rightarrow $3r$ degrees of freedom

\Rightarrow $3r$ eigenvectors associated to $3r$ eigenvalues ω_j

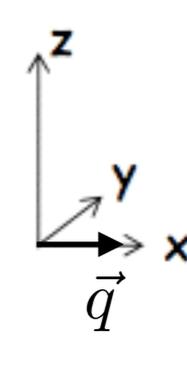
3 acoustic branches (one longitudinal and two transverse) + $(3r-3)$ optical branches

$\vec{e} // \vec{q}$: longitudinal mode (L mode)

$\vec{e} \perp \vec{q}$: transverse mode (T mode)

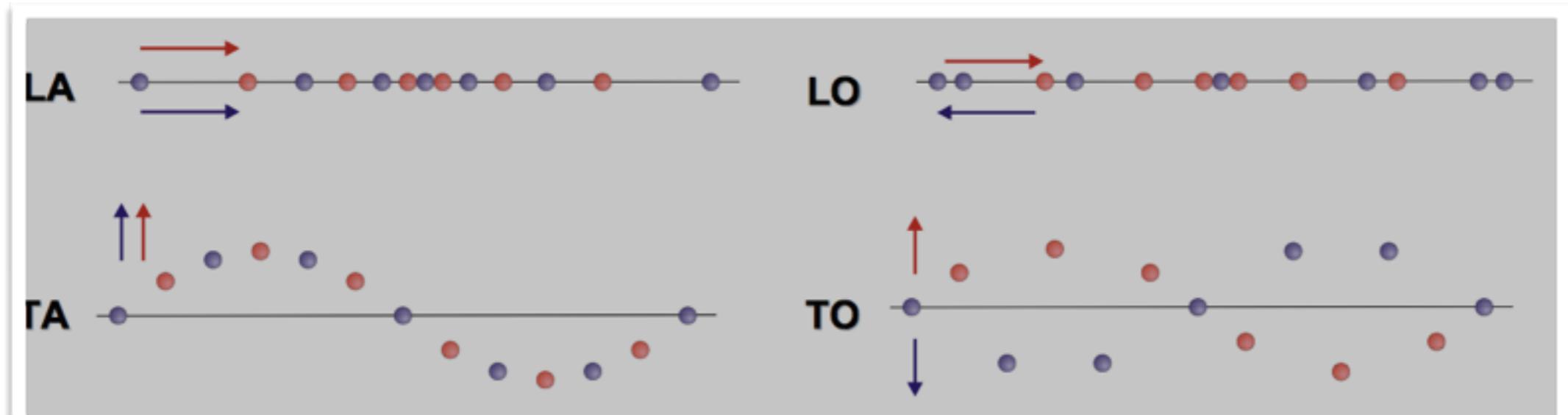
2 atoms in unit cell

The eigenvectors are:



$$e_{\pm}^x = \begin{pmatrix} \begin{pmatrix} +1 \\ 0 \\ 0 \end{pmatrix} \\ \begin{pmatrix} -1 \\ 0 \\ 0 \end{pmatrix} \end{pmatrix}$$

$$e_{\pm}^y = \begin{pmatrix} \begin{pmatrix} 0 \\ +1 \\ 0 \end{pmatrix} \\ \begin{pmatrix} 0 \\ -1 \\ 0 \end{pmatrix} \end{pmatrix} \quad e_{\pm}^z = \begin{pmatrix} \begin{pmatrix} 0 \\ 0 \\ +1 \end{pmatrix} \\ \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \end{pmatrix}$$



Phonon

COHERENT 1 PHONON DIFFERENTIAL SCATTERING CROSS SECTION

$$\left(\frac{d^2\sigma}{d\Omega dE_f} \right)_{\pm ph} = \frac{k_f}{k_i} \frac{(2\pi)^3}{V} \sum_{\vec{\tau}} \sum_s \frac{1}{\omega_s} \left| F_s(\vec{Q}) \right|^2 \langle n_{\pm} \rangle_T \delta(\omega \mp \omega_s) \delta(\vec{Q} \mp \vec{q} - \vec{\tau})$$

Intensity
Position

V: Volume of the unit cell

s: Double index (q,j) representing a mode s (s=1 to 3r)

\vec{Q} : Wave-vector of the mode s

j: Polarisation index of the mode s

r: Number of atoms per unit cell

ω_s : Angular frequency of mode s

$\vec{\tau}$: Reciprocal lattice vector

$$F_s(\vec{Q}) = \sum_{d=1}^r \frac{\bar{b}_d}{\sqrt{M_d}} e^{-W_d} (\vec{Q} \cdot \vec{e}_{ds}) e^{i\vec{Q} \cdot \vec{d}}$$

$F_s(\vec{Q})$: structure factor of the phonon of the mode s

d: Equilibrium position of the dth atom in the unit cell

\vec{e}_{ds} : Polarisation vector for the atom at position d for the mode s / Eigenvector of the phonon

M_d : Mass of the atom at the position d

e^{-W_d} : Debye-Waller factor

$e^{i\vec{Q} \cdot \vec{d}}$: phase factor

$\vec{Q} \cdot \vec{e}_{ds}$: polarisation factor

$\langle n_{\pm} \rangle_T$: Occupation number, Bose factor, average population of the phonons

\bar{b}_d : Mean value of the scattering length at the position d

Phonon

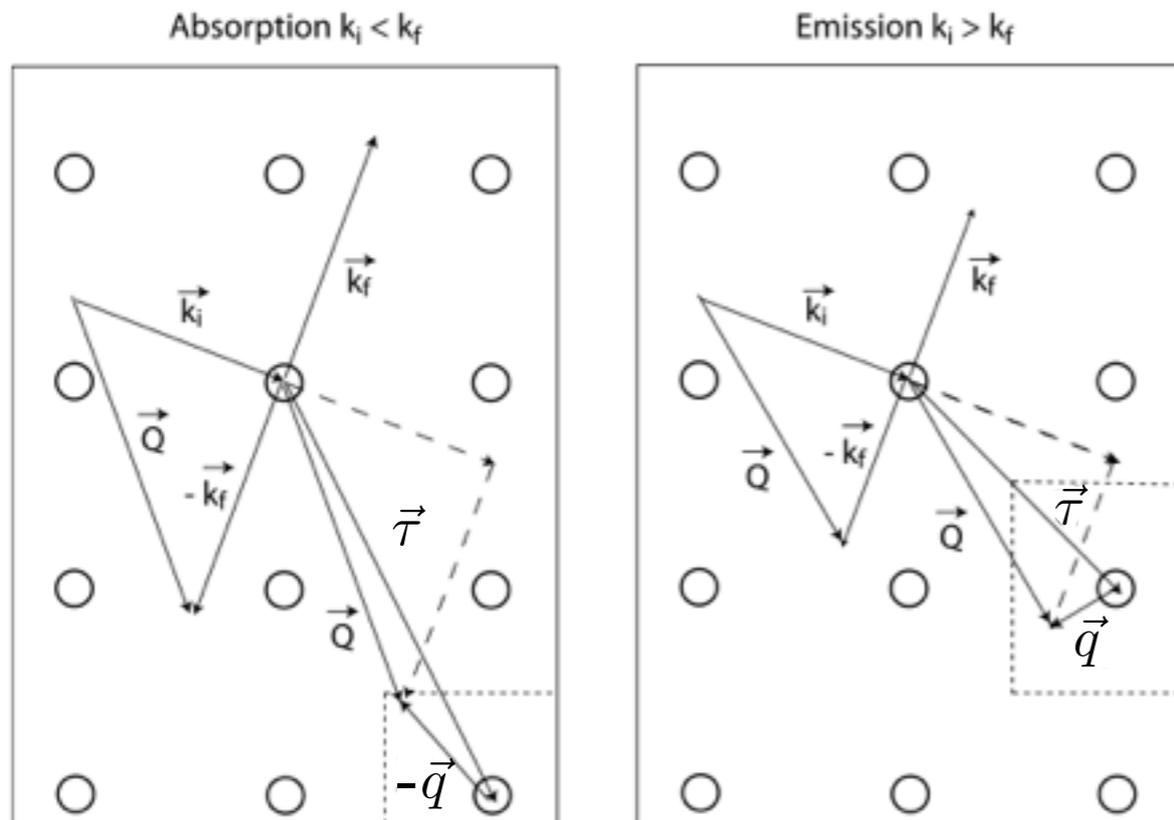
POSITION

$$\delta(\omega \mp \omega_s) \delta(\vec{Q} \mp \vec{q} - \vec{\tau})$$

Momentum and energy conservation

$$\vec{Q} = \vec{q} + \vec{\tau} \quad \omega = \omega_s > 0 \quad \text{Phonon creation (emission)}$$

$$\vec{Q} = -\vec{q} + \vec{\tau} \quad \omega = -\omega_s < 0 \quad \text{Phonon annihilation (absorption)}$$



INTENSITY

Thermal occupancy factor
= Mean number of phonons

Phonon creation

$$\langle n+ \rangle_T = \langle n_s + 1 \rangle_T = \frac{1}{1 - e\left(\frac{-\hbar\omega_s}{k_B T}\right)} \begin{matrix} \longrightarrow 1 \\ T=0 \\ \longrightarrow \infty \\ T=\infty \end{matrix}$$

Phonon annihilation

$$\langle n- \rangle_T = \langle n_s \rangle_T = \frac{1}{e\left(\frac{\hbar\omega_s}{k_B T}\right) - 1} \begin{matrix} \longrightarrow 0 \\ T=0 \\ \longrightarrow \infty \\ T=\infty \end{matrix}$$

Phonon

INTENSITY

$$F_s(\vec{Q}) = \sum_{d=1}^r \frac{\bar{b}_d}{\sqrt{M_d}} e^{-W_d} (\vec{Q} \cdot \vec{e}_{ds}) e^{i\vec{Q} \cdot \vec{d}}$$

Polarisation factor $\vec{Q} \cdot \vec{e}_{ds}$

Transverse phonons of the first Brillouin zone ($\vec{Q} = \vec{q}$ because $\vec{\tau} = 0$)

$$\vec{e}_{ds} \cdot \vec{q} = 0 \quad \forall d$$

$$\vec{Q} \cdot \vec{e}_{ds} = 0, F_s(\vec{Q}) = 0$$

⇒ The transverse modes do not give any signal in the first Brillouin zone

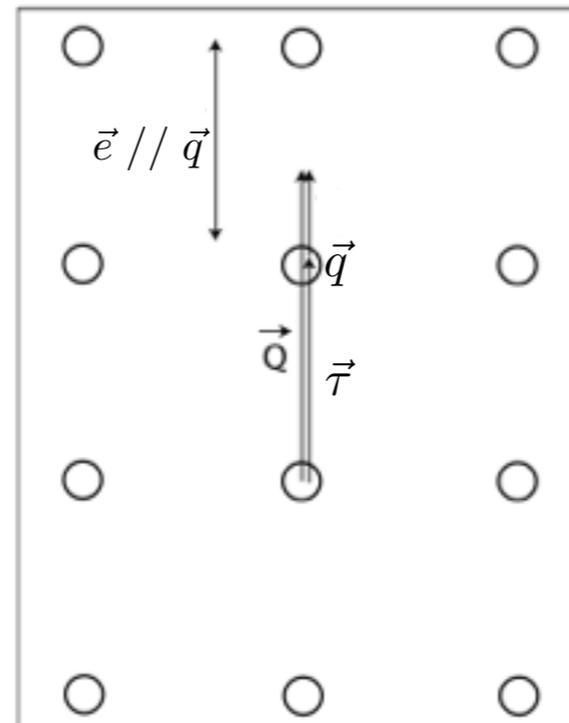
- Intensity $|F_s(\vec{Q})|^2$ min for $\vec{Q} \perp \vec{e}_{ds}$
- Intensity $|F_s(\vec{Q})|^2$ max for $\vec{Q} // \vec{e}_{ds}$

• Intensity increases with Q^2

⇒ For a wave vector \vec{q} given, choose $|\vec{Q}| = |\vec{\tau} \pm \vec{q}|$ the largest possible

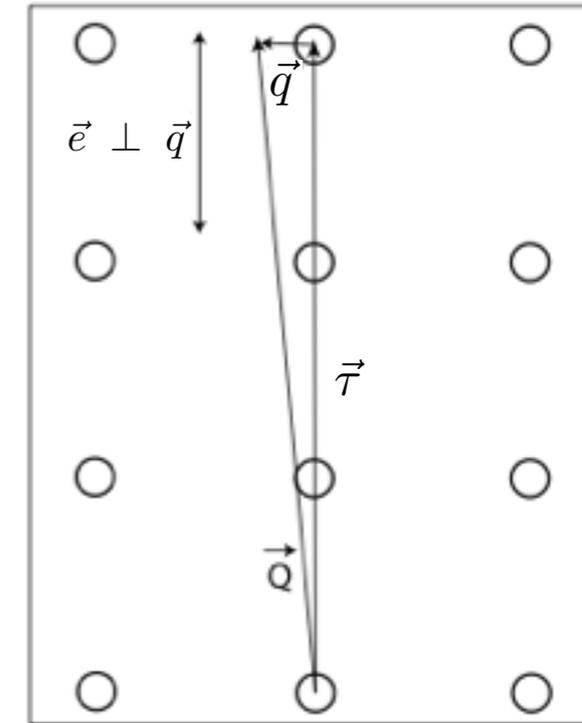
Longitudinal configuration

$$\vec{e} // \vec{q}$$



Transversal configuration

$$\vec{q} \text{ is more or less } \perp \text{ to } \vec{e}$$



Debye-Waller factor e^{-W_d}

$$e^{-2W_d} = e^{-\langle (\vec{Q} \cdot \vec{u}_d)^2 \rangle_T}$$

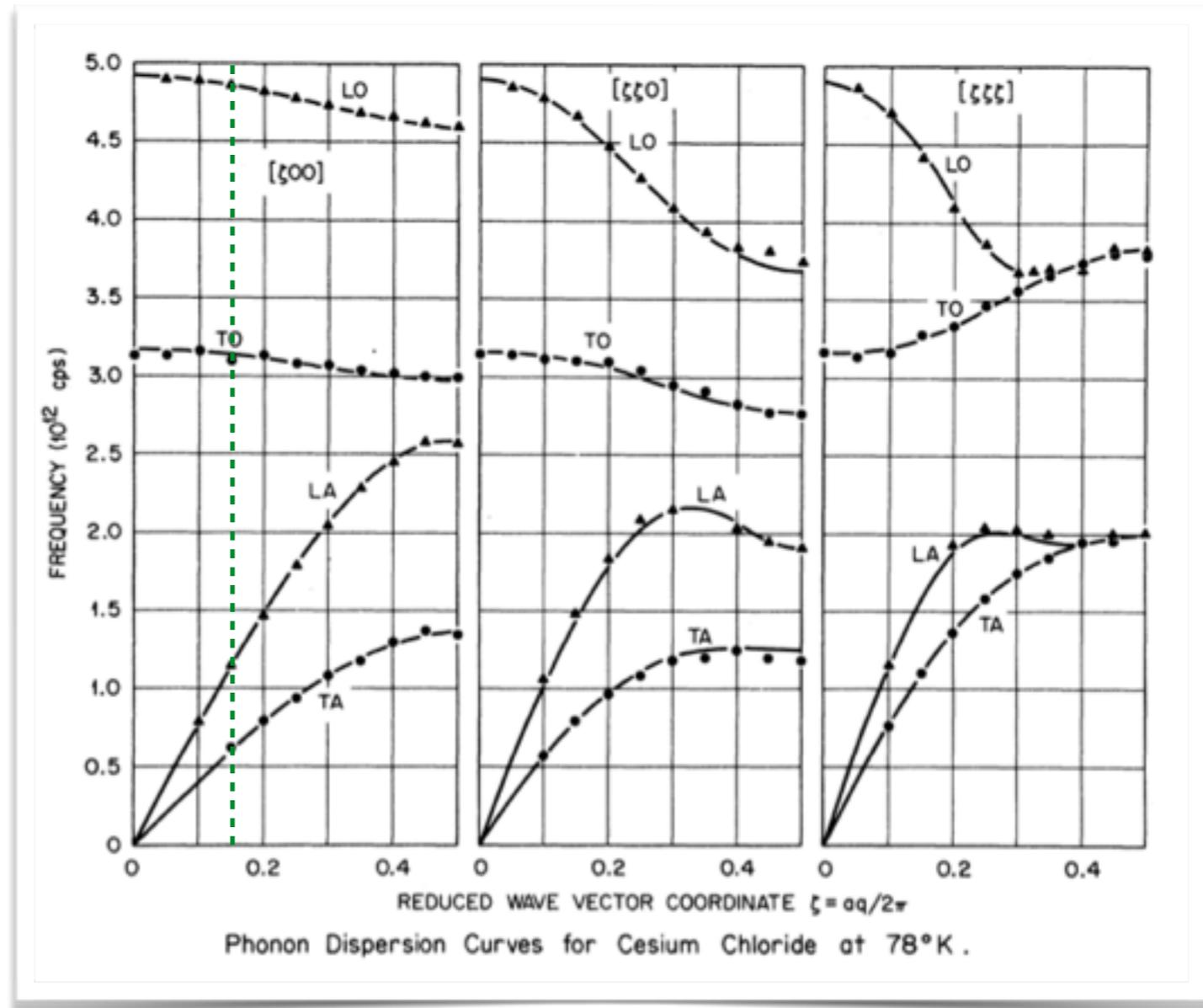
Scattering length \bar{b}_d

Phonon

PHONONS IN CsCl

- cc single crystal
2 atoms in unit cell $\Rightarrow 3 \times 2 = 6$ branches
- Measurements done with a TAS at Oak Ridge
- Point by point measurement in (Q, ω) space
 ω -scan at constant Q
- Only 4 branches are distinguishable
 \Rightarrow Transverse modes doubly degenerated
- Calculated frequencies based on the nature of the forces between atoms

$$1\text{THz} = (1/2\pi)10^{12} \text{ cps (counts s}^{-1}\text{)} = 4.13 \text{ meV} = 33.3 \text{ cm}^{-1}$$

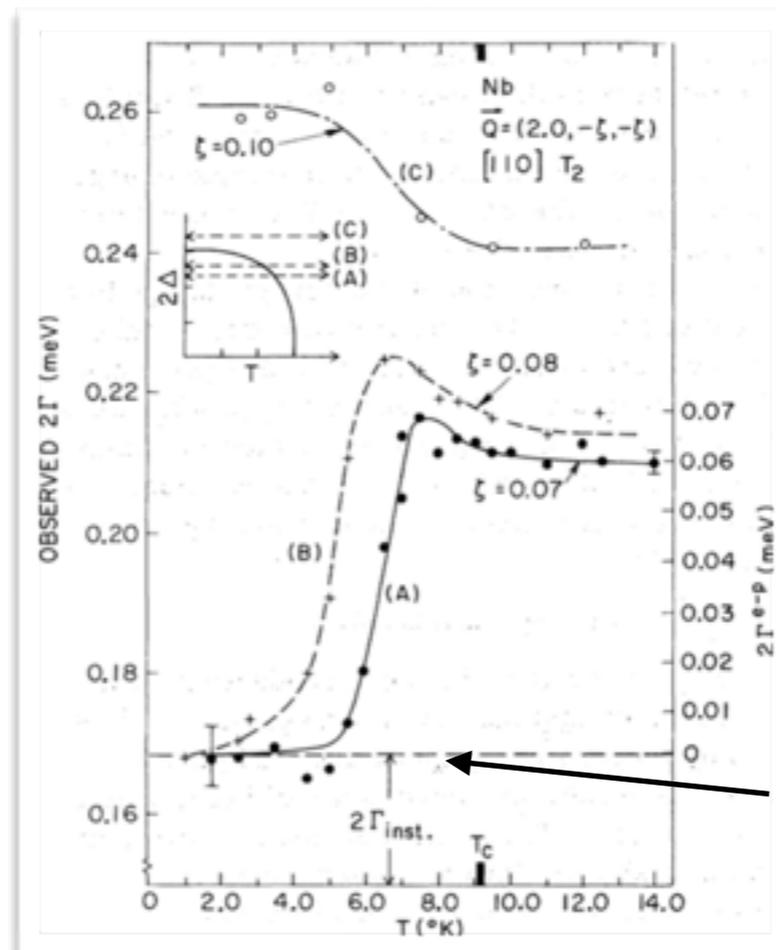
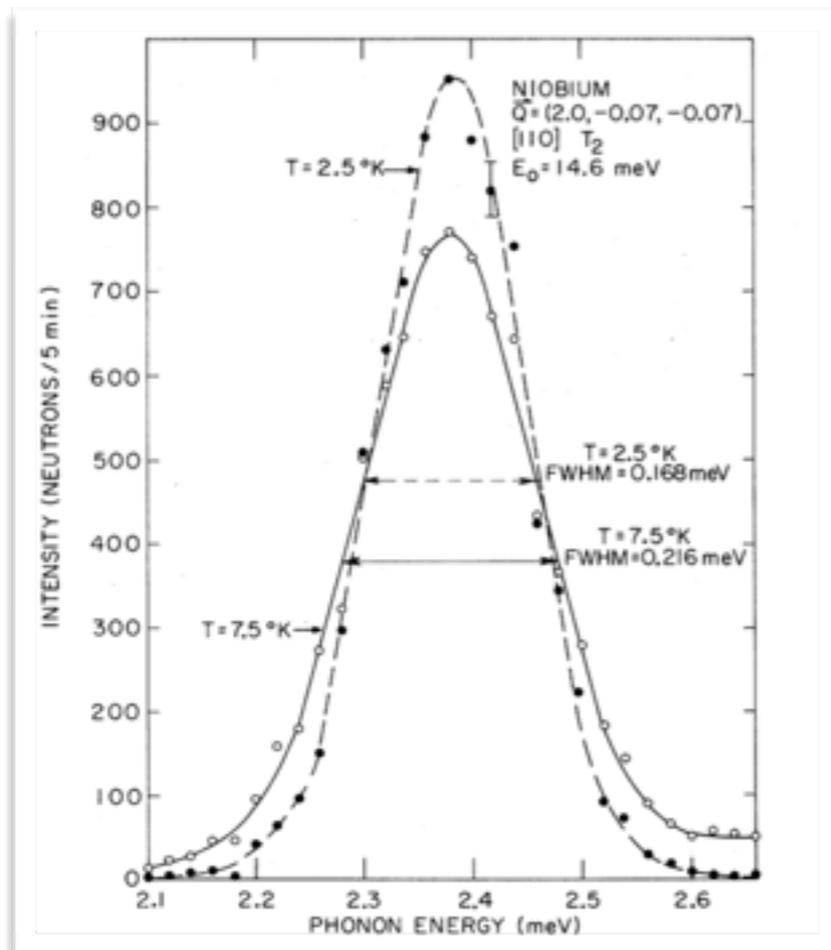


A. A. Z. Ahmad, H. G. Smith, N. Wakabayashi, and M. K. Wilkinson.
Phys. Rev. B **6**, 3956 (1972)

Phonon

PHONON IN Nb: A CONVENTIONAL SUPERCONDUCTOR ($T_c=9.2$ K)

- cc single crystal
- In real systems, phonon–phonon and electron–phonon interactions tend to give single phonons a finite lifetime
⇒ Damped harmonic oscillator model
- Triple axis spectrometer at Brookhaven (ω -scan at constant Q)
- $\text{FWHM}=2\Gamma$ ($\tau \propto \frac{1}{2\Gamma}$): the line width decreases below T_c (the lifetime increases)
- Resonant condition: $\hbar\omega_p = 2\Delta(T)$. (A) and (B): $\hbar\omega_p < 2\Delta(0)$, (C): $\hbar\omega_p > 2\Delta(0)$

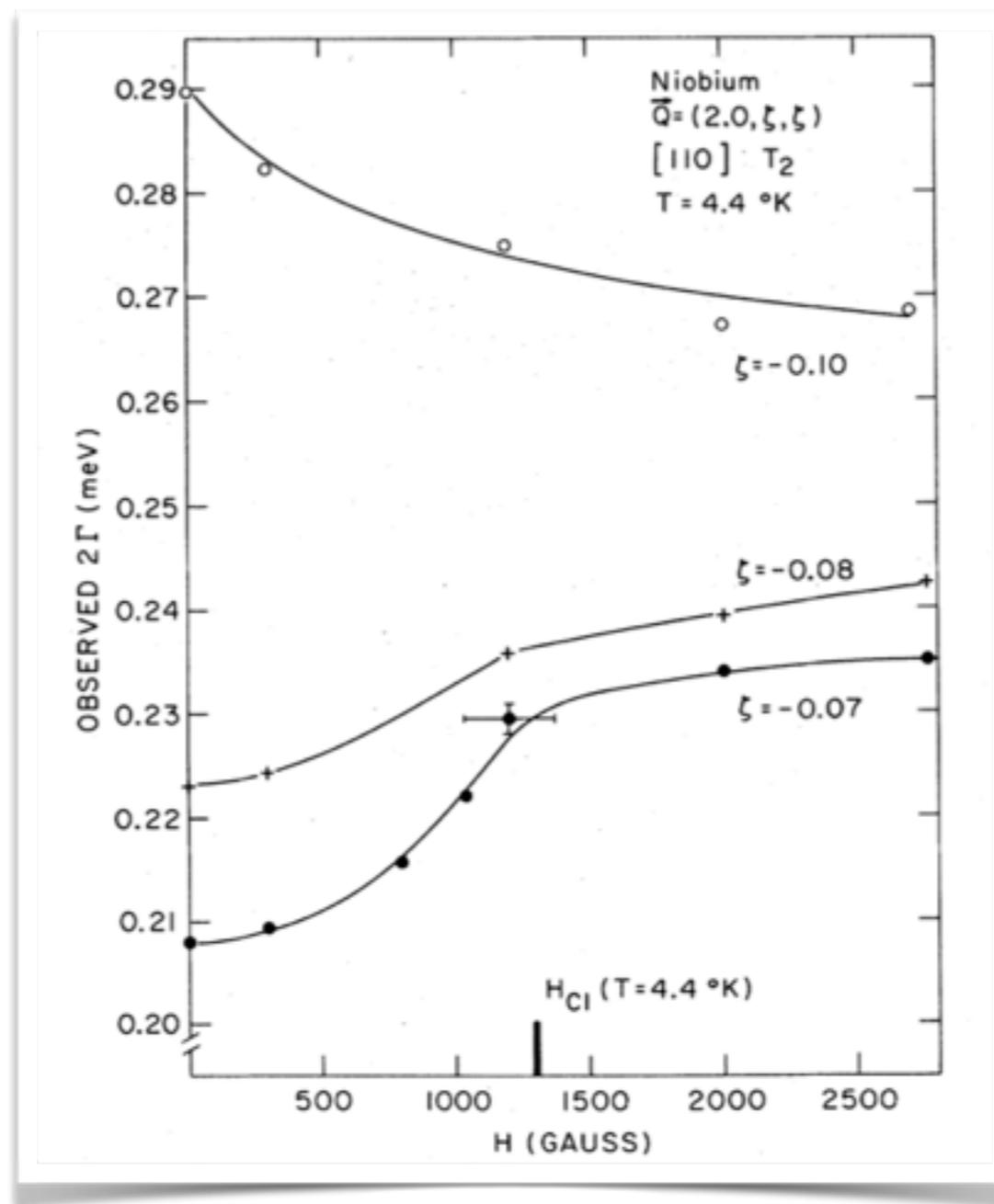


Line width of the instrumental resolution

Phonon

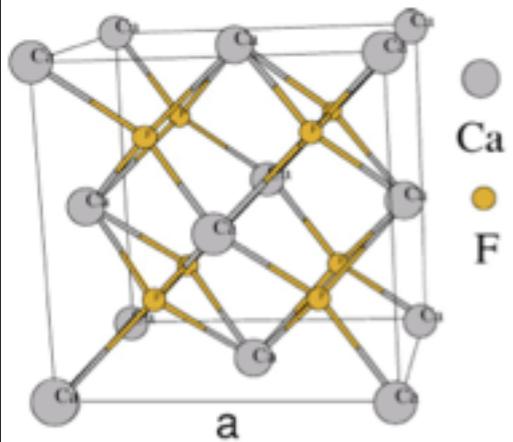
PHONON IN Nb: A CONVENTIONAL SUPERCONDUCTOR ($T_C=9.2$ K)

- H_{C1} = Critical field



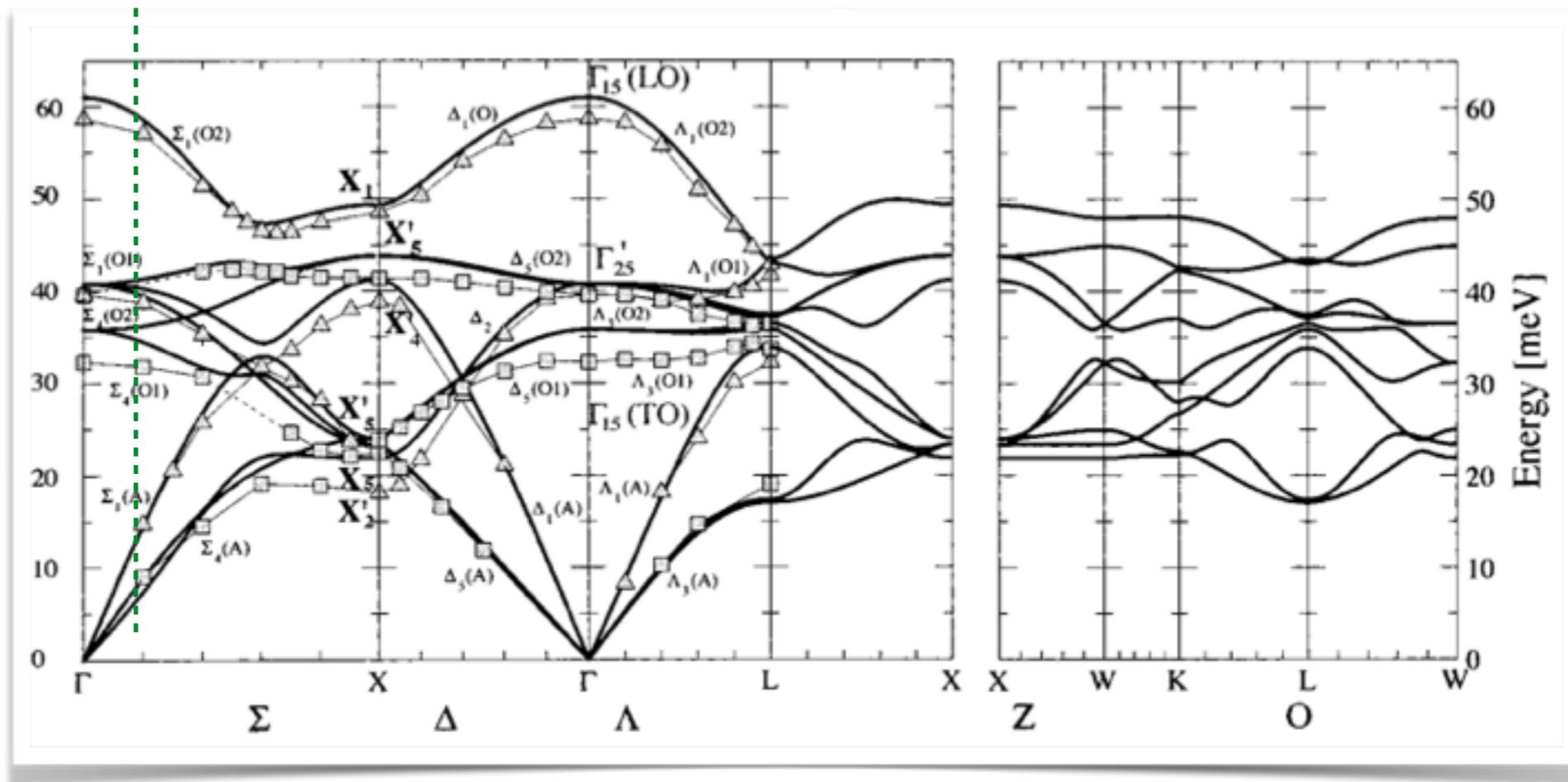
S. M. Shapiro, G. Shirane, and J. D. Axe. *Phys. Rev. B* **12**, 4899 (1975)

Phonon



PHONON IN CaF_2 : A SUPER-IONIC CONDUCTOR

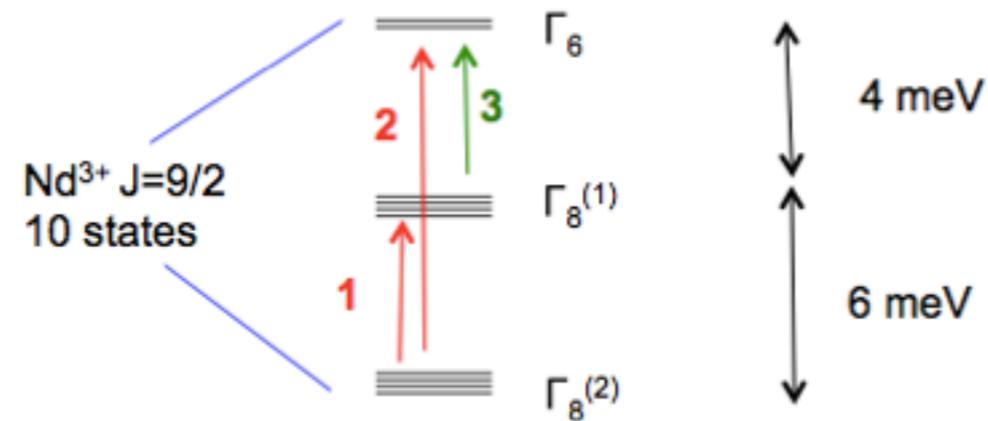
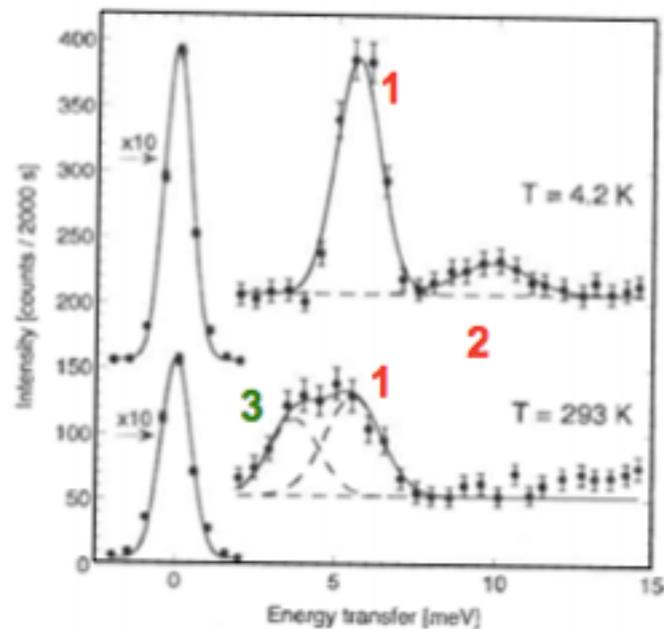
- fcc single crystal with 3 atoms in the unit cell $\Rightarrow 3 \times 3 = 9$ branches
- IN3 (preliminary) and Lagrange-IN1 (mostly) TAS at ILL (ω -scan at constant Q at room temperature)
- Symmetry directions $[001]$ (Δ), $[111]$ (Λ), and $[110]$ (Σ)
 - As the process of ionic conduction involves hopping over potential barriers, ionic motion is an anharmonic process
 - Along Σ , 3 branches are not seen because $\vec{Q} \perp \vec{e}_{ds}$
 - Calculation of the dispersion of phonon frequencies by density-functional perturbation theory



Magnetic excitations

LOCAL EXCITATION

Crystal field transition

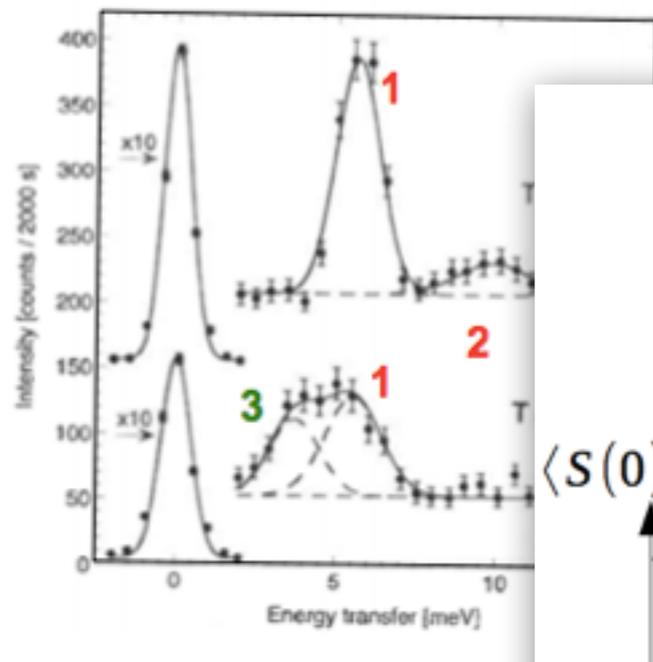


K. Kuwahara et al. *Phys. Rev. Lett.* **95**, 107003 (2005)

Magnetic excitations

LOCAL EXCITATION

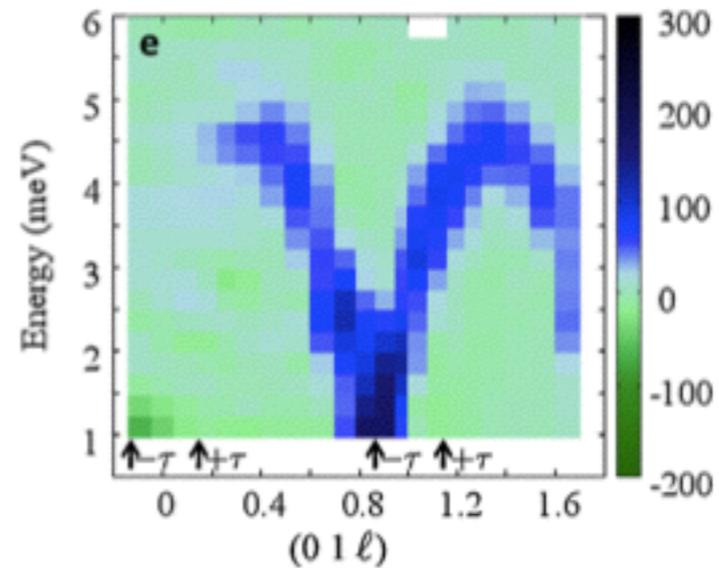
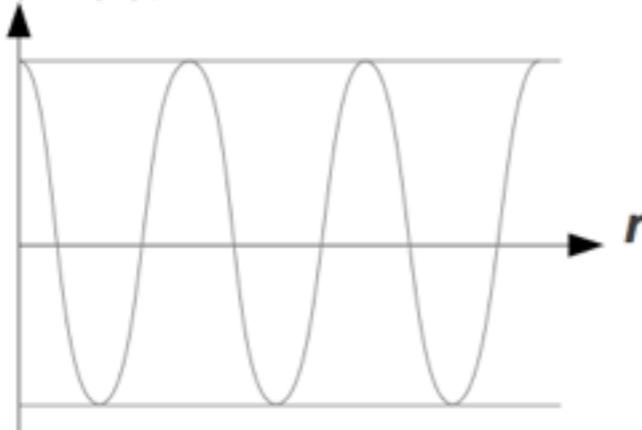
Crystal field transition



DISPERSIVE EXCITATION

Spin wave

$$\langle S(0) \cdot S(r) \rangle$$

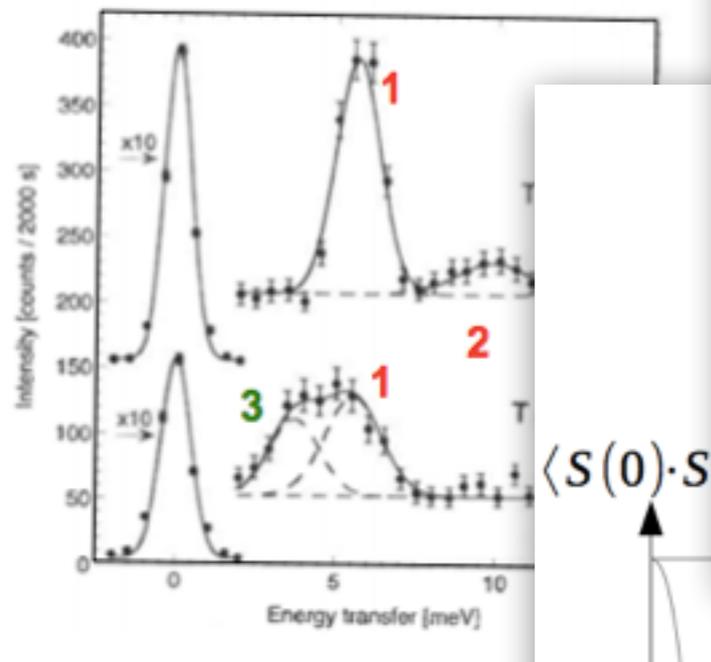


M. Loire et al. *PRL* **106**, 207201 (2011)

Magnetic excitations

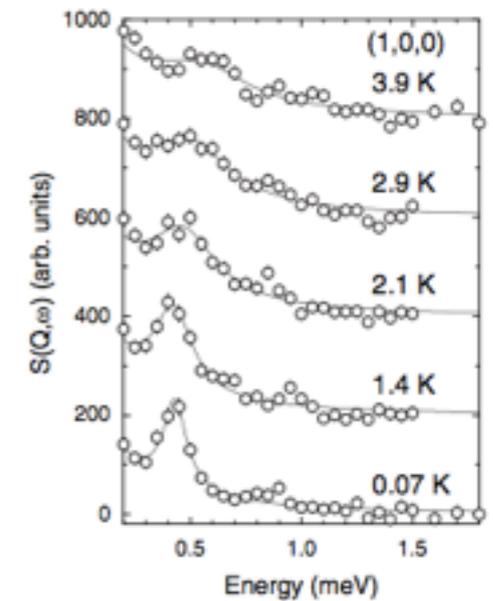
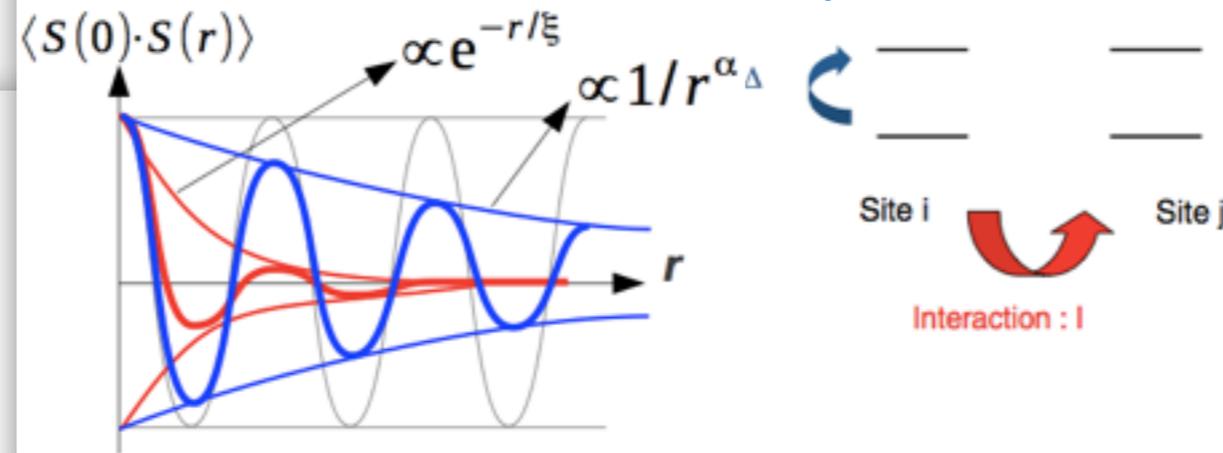
LOCAL EXCITATION

Crystal field transition



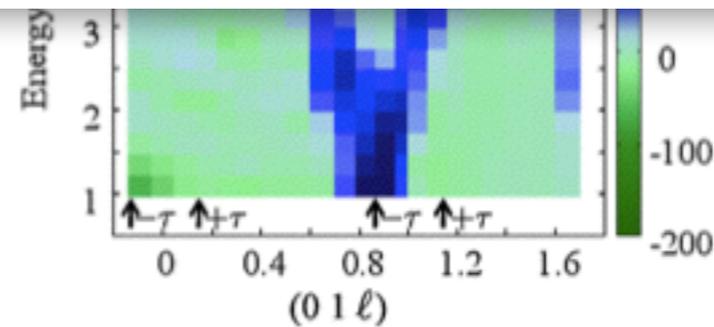
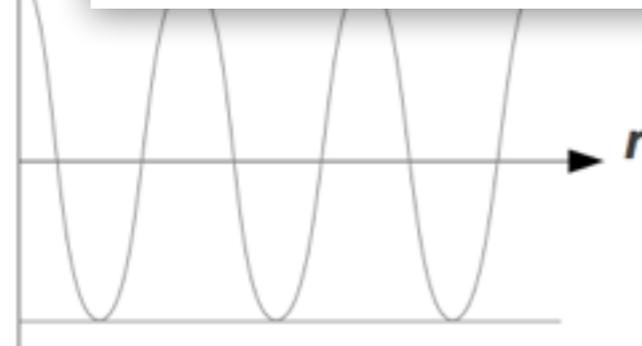
DIFFUSE SCATTERING

Crystal field exciton



K. Kuwahara et al. *PRL* **95**, 107003 (2005)

$\langle S(0) \cdot S(r) \rangle$

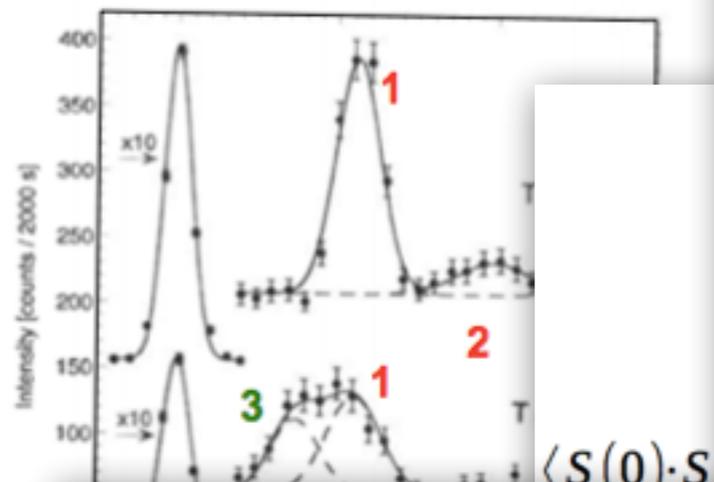


M. Loire et al. *PRL* **106**, 207201 (2011)

Magnetic excitations

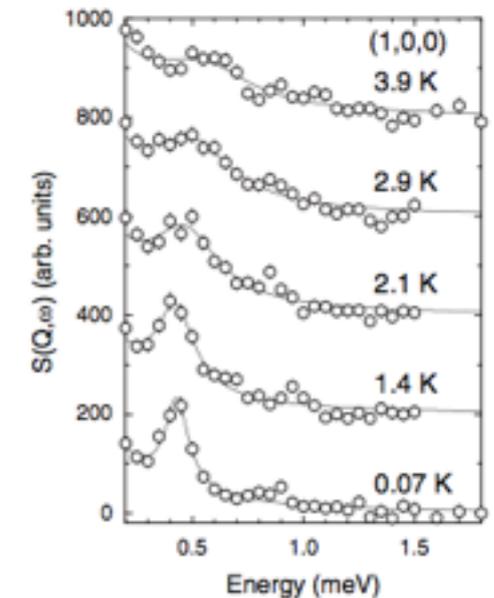
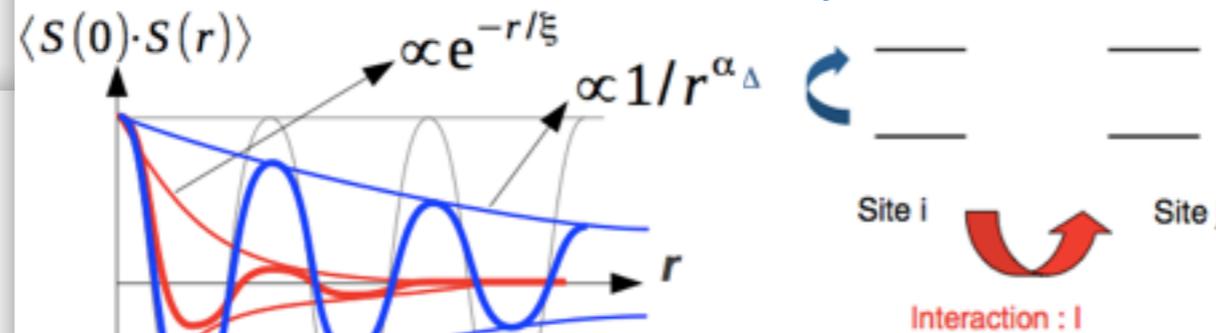
LOCAL EXCITATION

Crystal field transition



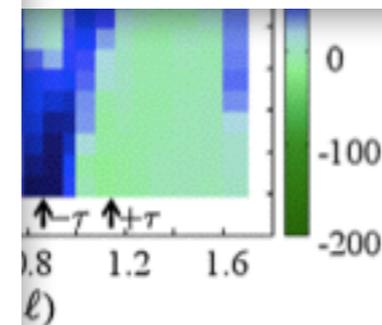
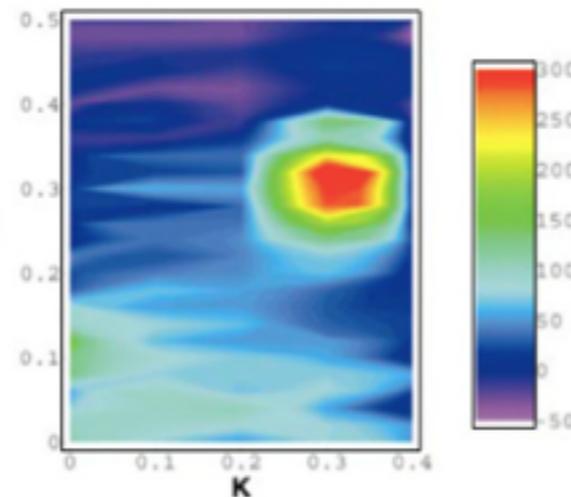
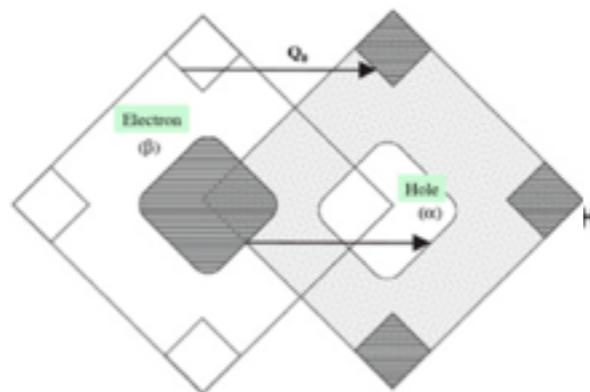
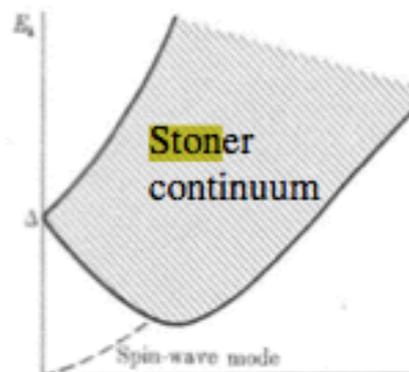
DIFFUSE SCATTERING

Crystal field exciton



K. Kuwahara et al. *PRL* **95**, 107003 (2005)

EXCITATIONS IN ITINERANT ELECTRON SYSTEMS



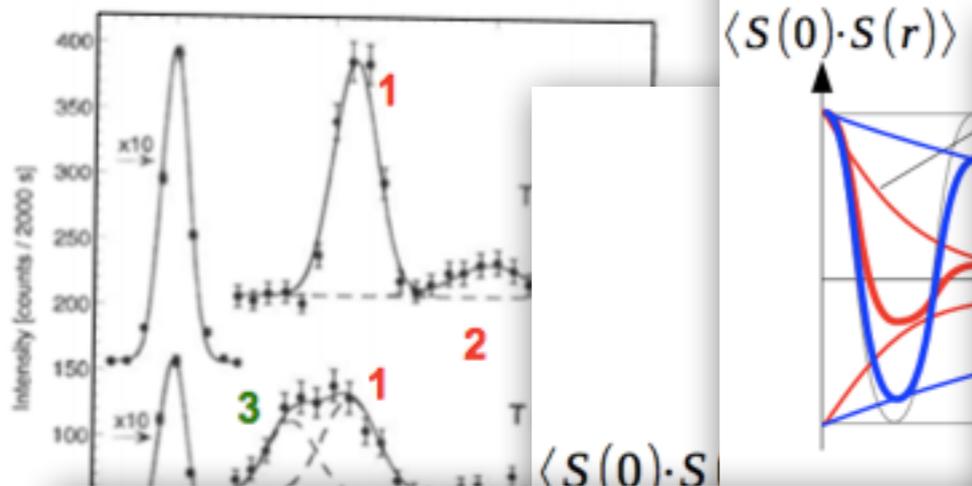
6, 207201 (2011)

M. Braden et al. *PRB* **66**, 064522 (2002)

Magnetic excitations

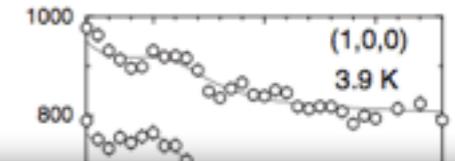
LOCAL EXCITATION

Crystal field transition



DIFFUSE SCATTERING

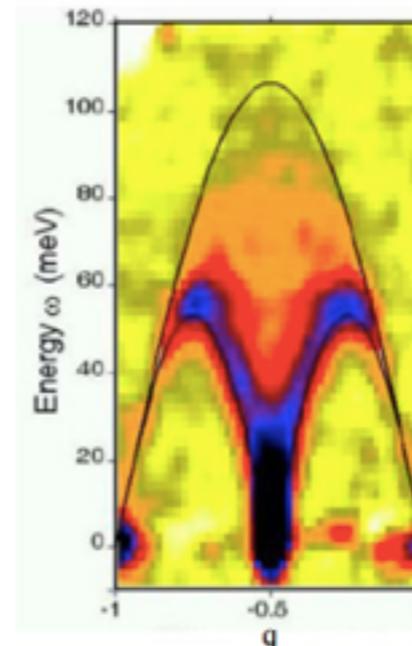
Crystal field exciton



QUANTUM EFFECT

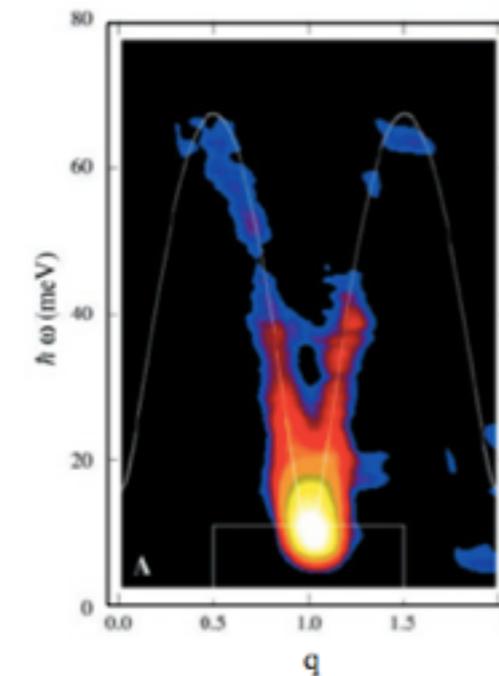
Spinon

$S = \frac{1}{2}$ KCuF_3

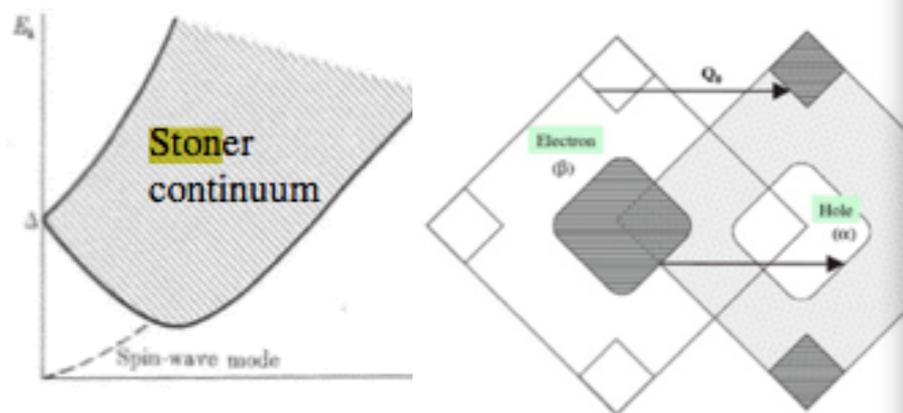


Spin gap

$S = 1$ Y_2BaNiO_5

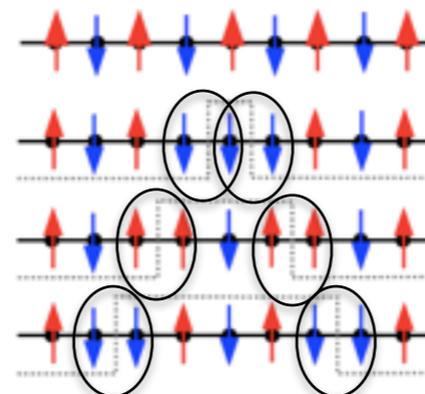


EXCITATIONS IN ITINERANT ELECTRON SYSTEMS



M. Bratakos

D.A. Tennant et al. *PRB* **70**, 4003 (1993)



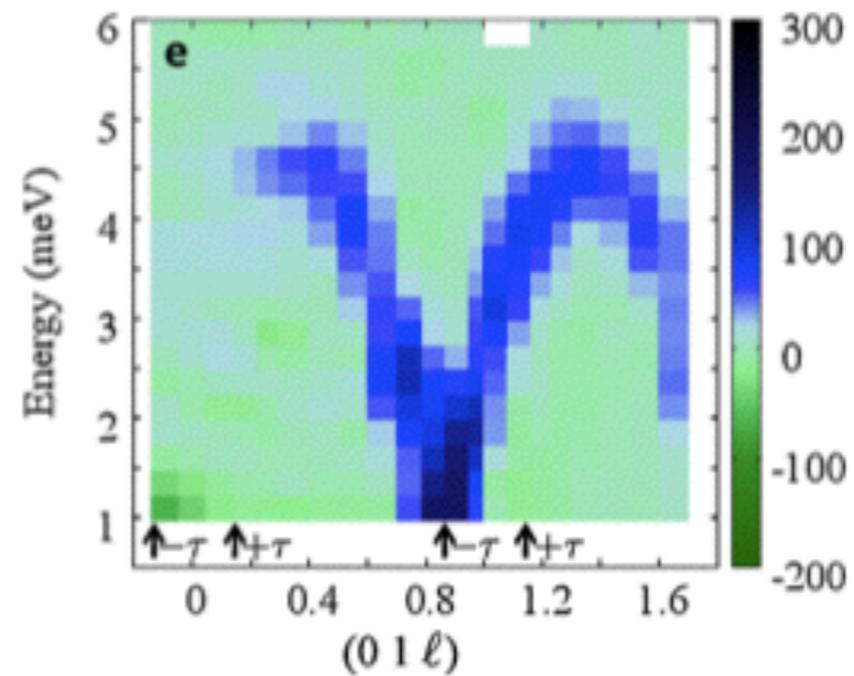
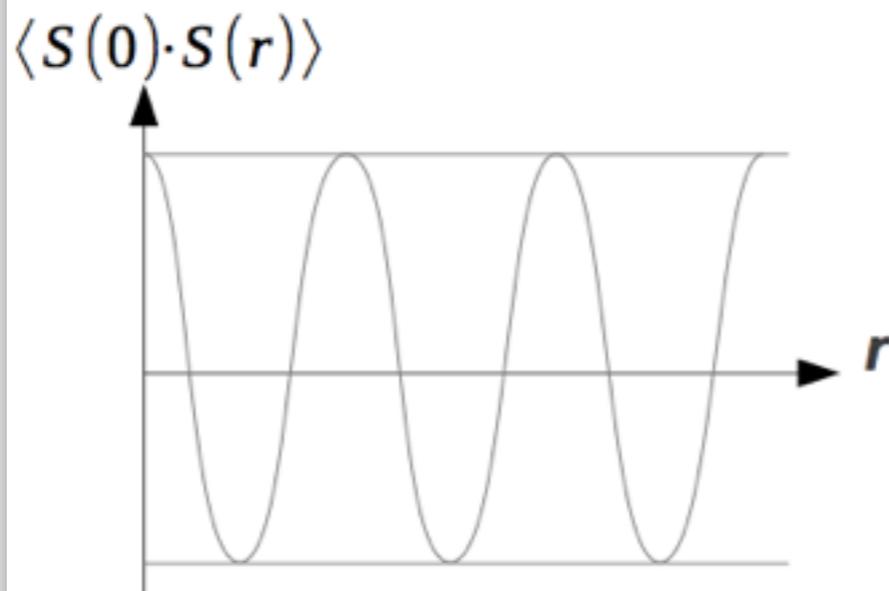
G. Xu et al. *Science* **289**, 419 (2000)



Magnon

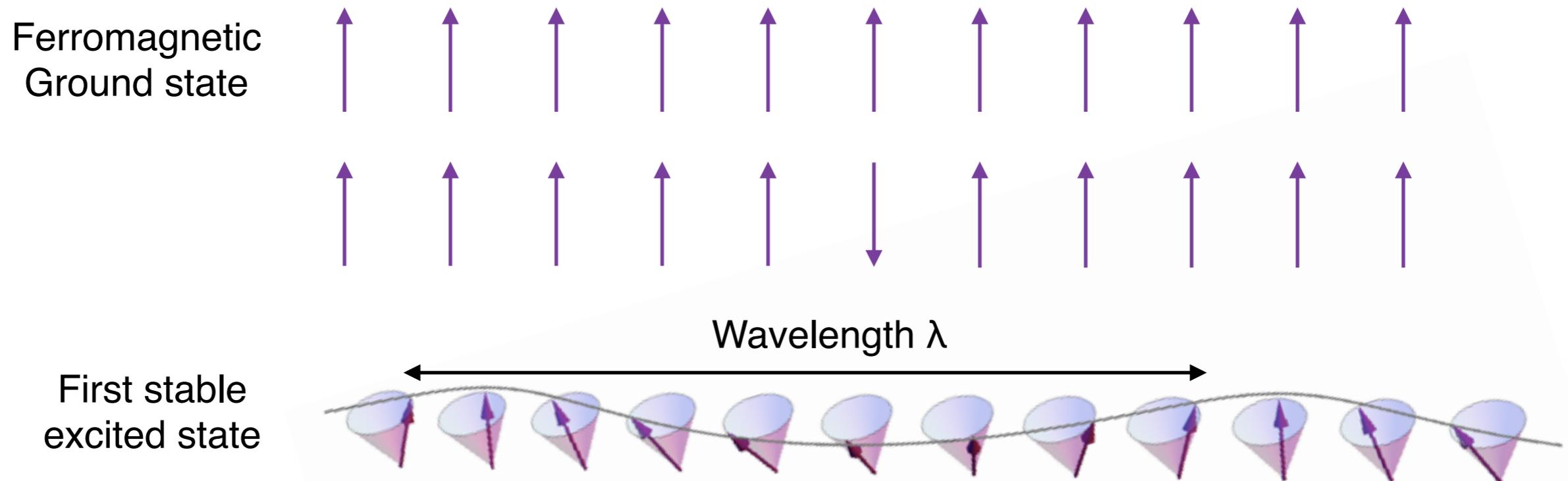
DISPERSIVE EXCITATION

Spin wave



M. Loire et al. *PRL* 106, 207201 (2011)

Magnon



Spin waves = Periodic precessions of the spins with a characteristic wavelength

QUANTUM DESCRIPTION

Like sound waves, spin waves can only take energies in discrete quanta of energy. This energy is carried by quasi particles called magnons

Magnon = quasi particle with an energy (**frequency ω**) and a momentum (**wave-vector q**)

$$E_q = \left(\langle n_q \rangle + \frac{1}{2} \right) \hbar \omega_q$$

Magnon

CLASSICAL DESCRIPTION

HYPOTHESIS

Small oscillations

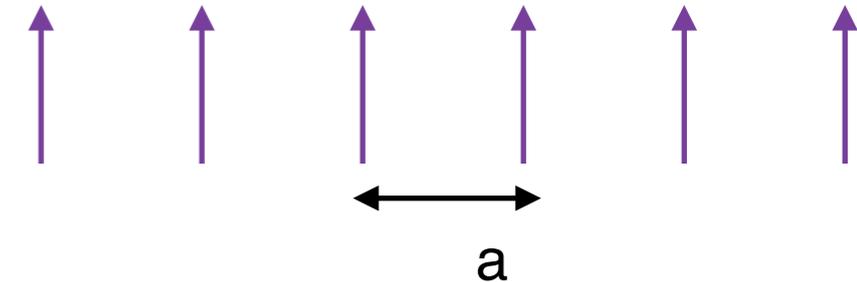
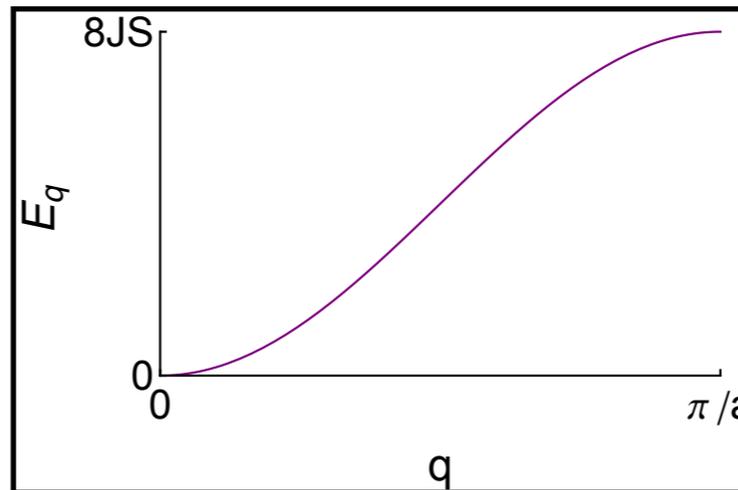
Interaction between nearest neighbors

Heisenberg Hamiltonian

$$H = -2J\vec{S}_j(\vec{S}_{j-1} + \vec{S}_{j+1})$$

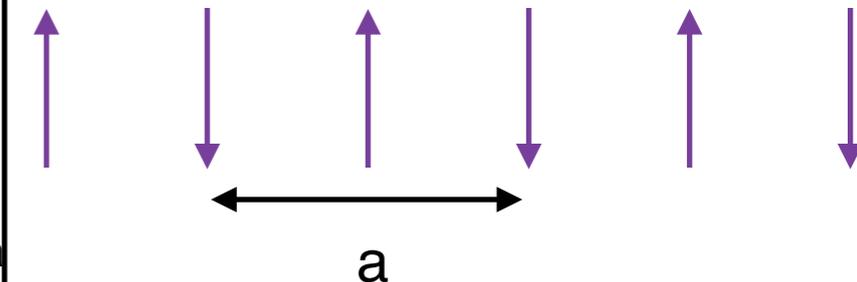
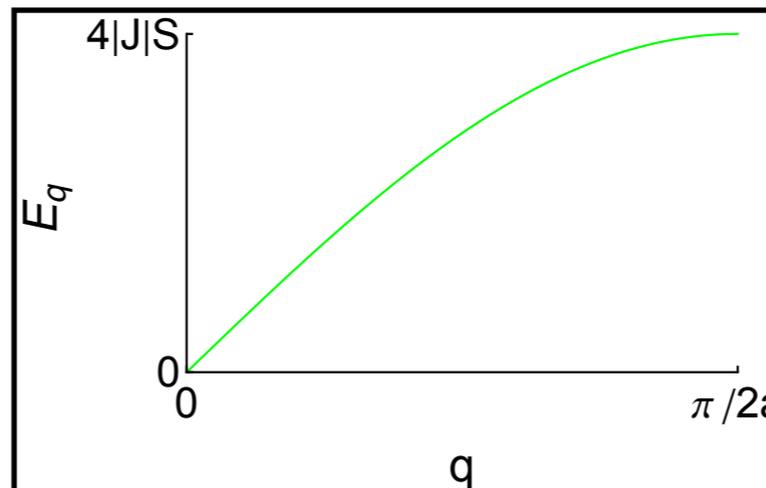
ONE DIMENSIONAL LINEAR FERROMAGNETIC CHAIN

- $J > 0$
- $E_q = \hbar\omega_q = 4JS(1 - \cos(qa))$
- For $qa \ll 1$, $E_q = 2JSq^2a^2 = Dq^2$



ONE DIMENSIONAL LINEAR ANTIFERROMAGNETIC CHAIN

- $J < 0$
- $E_q = \hbar\omega_q = -4JS|\sin(qa)|$
- For $qa \ll 1$, $E_q = -4JSaq = Dq$



Rq: Flip of one spin costs an energy $4JS^2$

Magnon

COHERENT MAGNETIC DIFFERENTIAL SCATTERING CROSS SECTION

$$\left(\frac{d^2\sigma}{d\Omega dE_f} \right) = (2p)^2 \frac{k_f}{k_i} \frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt \exp(-i\omega t) \langle \vec{D}_\perp(-\vec{Q}, 0) \cdot \vec{D}_\perp(\vec{Q}, t) \rangle_T$$

\longleftrightarrow $S(\vec{Q}, \omega)$

1 MAGNON DIFFERENTIAL SCATTERING CROSS SECTION

1 magnetic atom in magnetic unit cell, all magnetic moments aligned along the z-axis

$$\left(\frac{d^2\sigma}{d\Omega dE_f} \right)_{\pm mag} = (2p)^2 \frac{k_f}{k_i} \frac{(2\pi)^3}{V} \frac{1}{2} S(1 + \hat{Q}_z^2) \left(\frac{1}{2} g f(\vec{Q}) \right)^2 e^{-2W} \sum_{\vec{\tau}, \vec{q}} \langle n_{\pm} \rangle_T \delta(\omega \mp \omega_s) \delta(\vec{Q} \mp \vec{q} - \vec{\tau})$$

$$p = 0.2695 \times 10^{-12} \text{ cm}$$

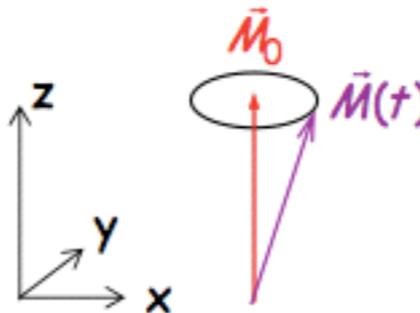
S: Spin amplitude of the atom

Intensity amplitude: $(2p)^2 S$

$1 + \hat{Q}_z^2$ ($\hat{Q}_z = \frac{\vec{Q} \cdot \vec{z}}{|\vec{Q}|}$): polarisation factor. Only the fluctuations perpendicular to \vec{Q} are observed

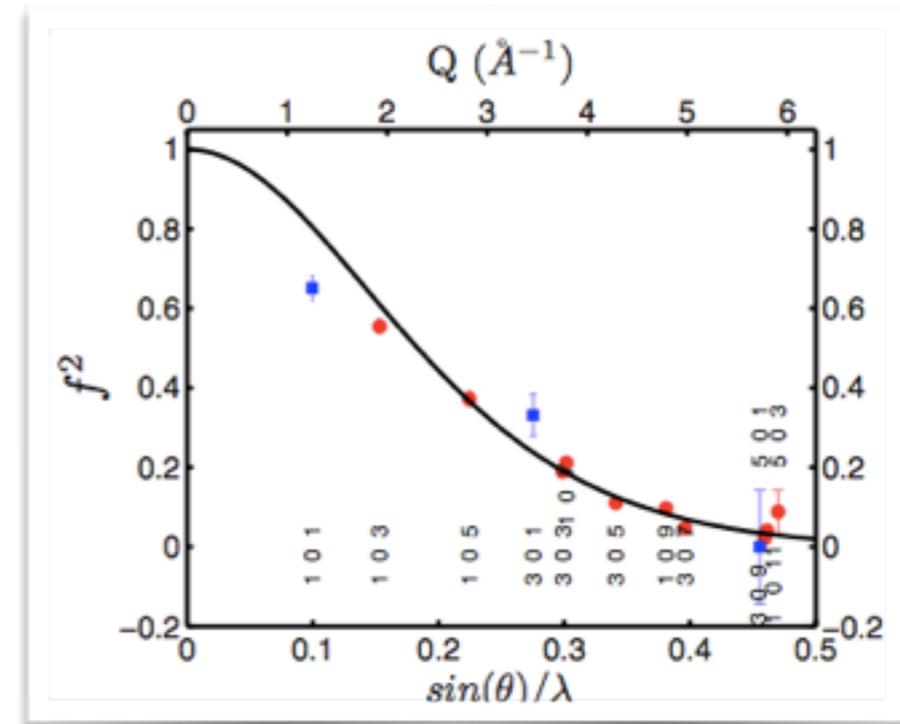
Moments // z: fluctuations // to x and y

$\vec{Q} // z: 1 + \hat{Q}_z^2 = 2 \rightarrow$ we see the fluctuations // to x and y
 $\vec{Q} // x: 1 + \hat{Q}_z^2 = 1 \rightarrow$ we see the fluctuations // to y
 $\vec{Q} // y: 1 + \hat{Q}_z^2 = 1 \rightarrow$ we see the fluctuations // to x



$f(\vec{Q})$: Magnetic form factor $\propto |f(\vec{Q})| \searrow$ when $Q \nearrow$

g: Lande factor



$f(Q)^2$ obtained by diffraction on SrFe_2As_2 (Iron based system)

Comparison Phonon / Magnon

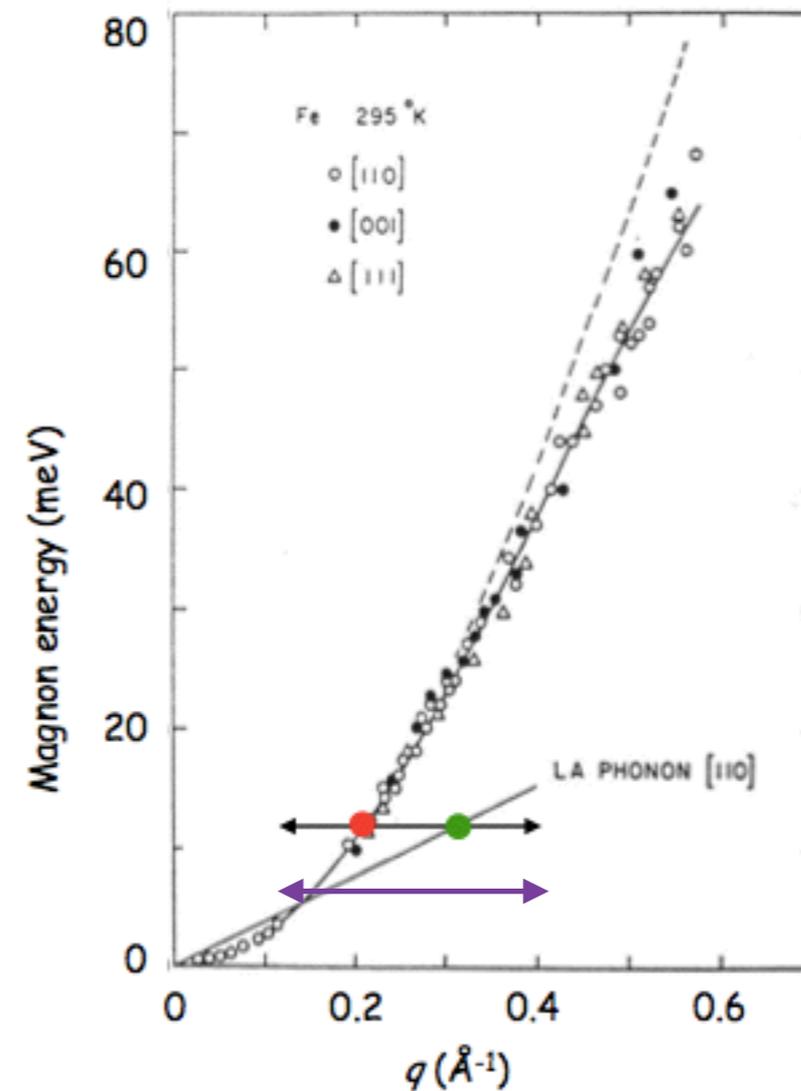
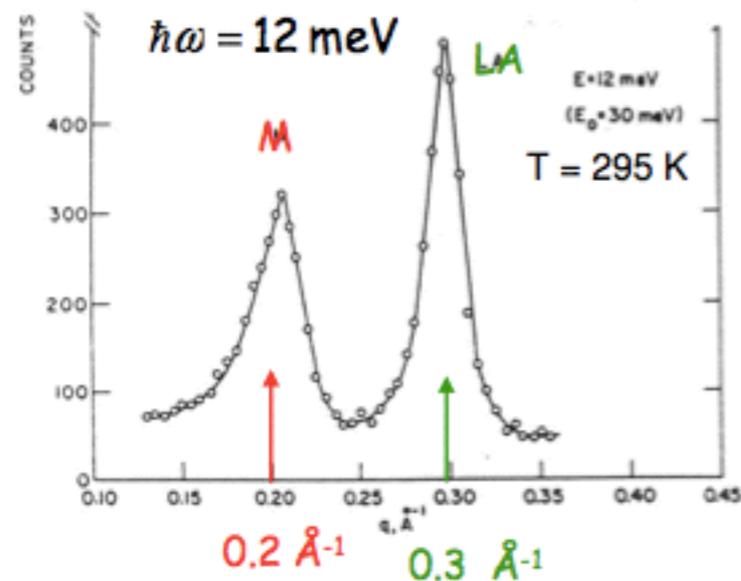
How distinguish the nuclear and magnetic intensities in the inelastic neutron scattering spectrum with unpolarized neutrons ?

	Phonons	Magnons
1. Q dependence	$I^{ph} \propto Q^2$	$I^{magnon} \propto f(Q)^2 \searrow$ when $Q \nearrow$
2. Polarisation factor	$I^{ph} \propto (\vec{Q} \cdot \vec{e}_{ds})^2$ <p>We see lattice vibrations // \vec{Q}</p>	$I^{magnon} \propto \left(1 + \frac{Q_z^2}{ \vec{Q} ^2} \right)$ <p>We see spin fluctuations $\perp \vec{Q}$</p>
3. Temperature dependence	$\forall T, I^{ph}$ increases with T	$I^{magnon} = 0$ for $T > T_c$
4. Intensity Amplitude	$I^{ph} \propto b^2$	$I^{magnon} \propto (2p)^2 S$
5. Number of branches	<p>n atoms in unit cell</p> <p>→ 3n phonon branches</p>	<p>n magnetic atoms in magnetic unit cell</p> <p>→ n magnons branches in simple cases</p>

Magnon

MAGNONS IN IRON: A 3 DIMENSIONAL METAL

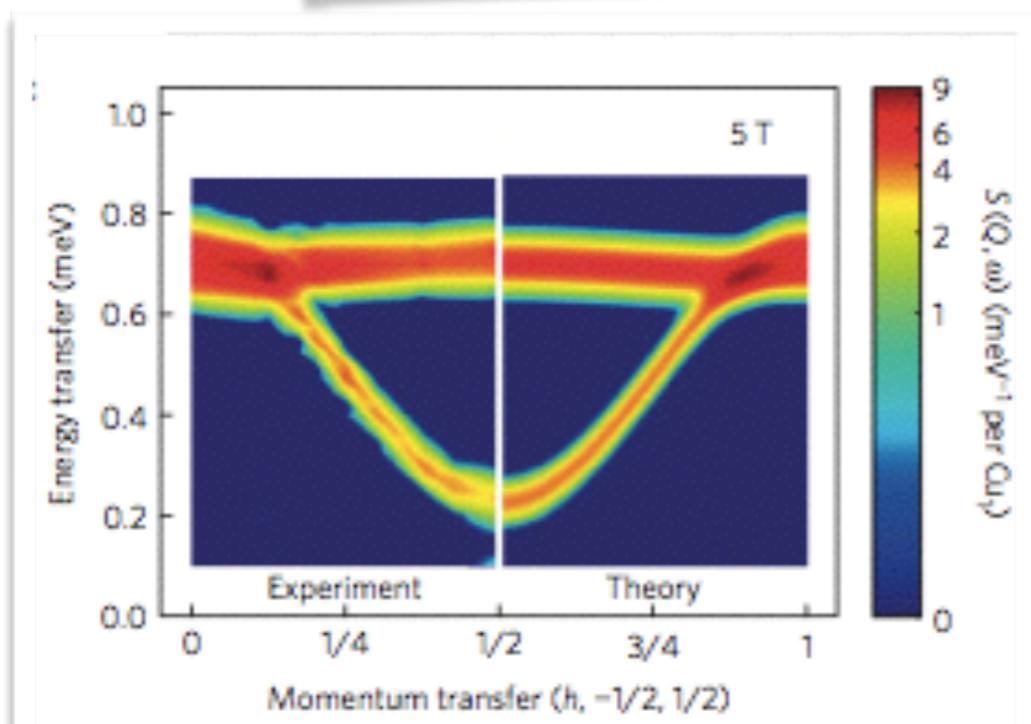
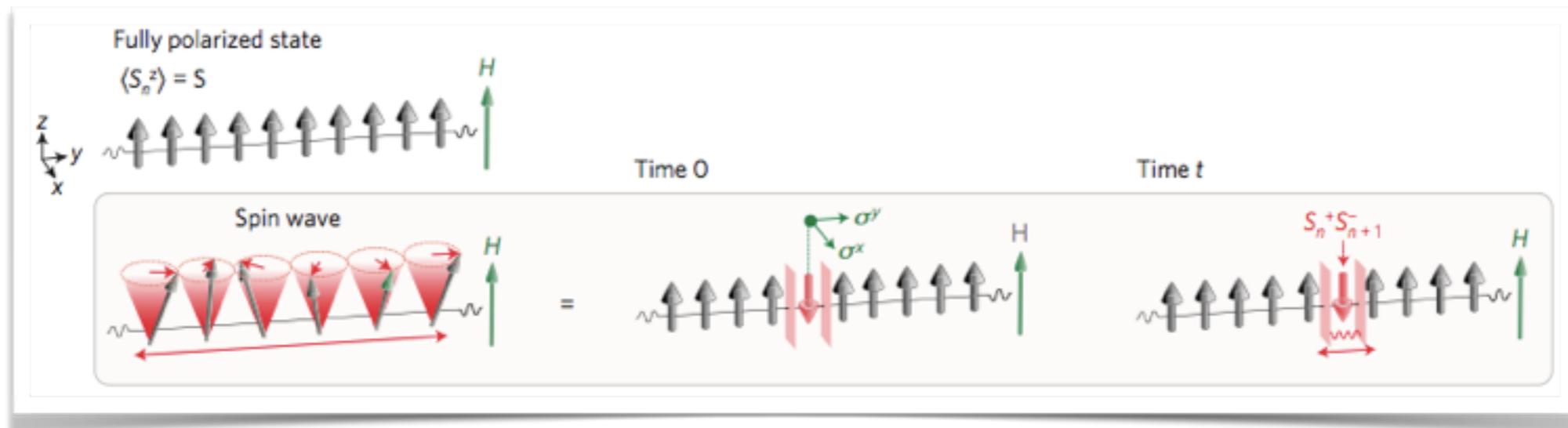
- cc single crystal
- Triple axis spectrometer at the Brookhaven High Flux Beam Reactor - $T = 295$ K ($T_c \approx 500$ K)
- Q-scan at constant ω
- Ferromagnetic: For $qa \ll 1$, $E_q = 2JSa^2q^2 = Dq^2 \rightarrow D = 281 \text{ meV } \text{\AA}^2$



Magnon

MAGNONS IN $\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$: A SPIN-1/2 HEISENBERG ANTIFERROMAGNETIC CHAIN COMPOUND

- Deuterated single crystal
- IN14 cold TAS at ILL ($T = 100\text{mK}$) T just above the Néel transition temperature to three-dimensional antiferromagnetic ordering
- High-field fully polarized state ($\mu_0 H = 5\text{T} > \mu_0 H_{\text{sat}}$)

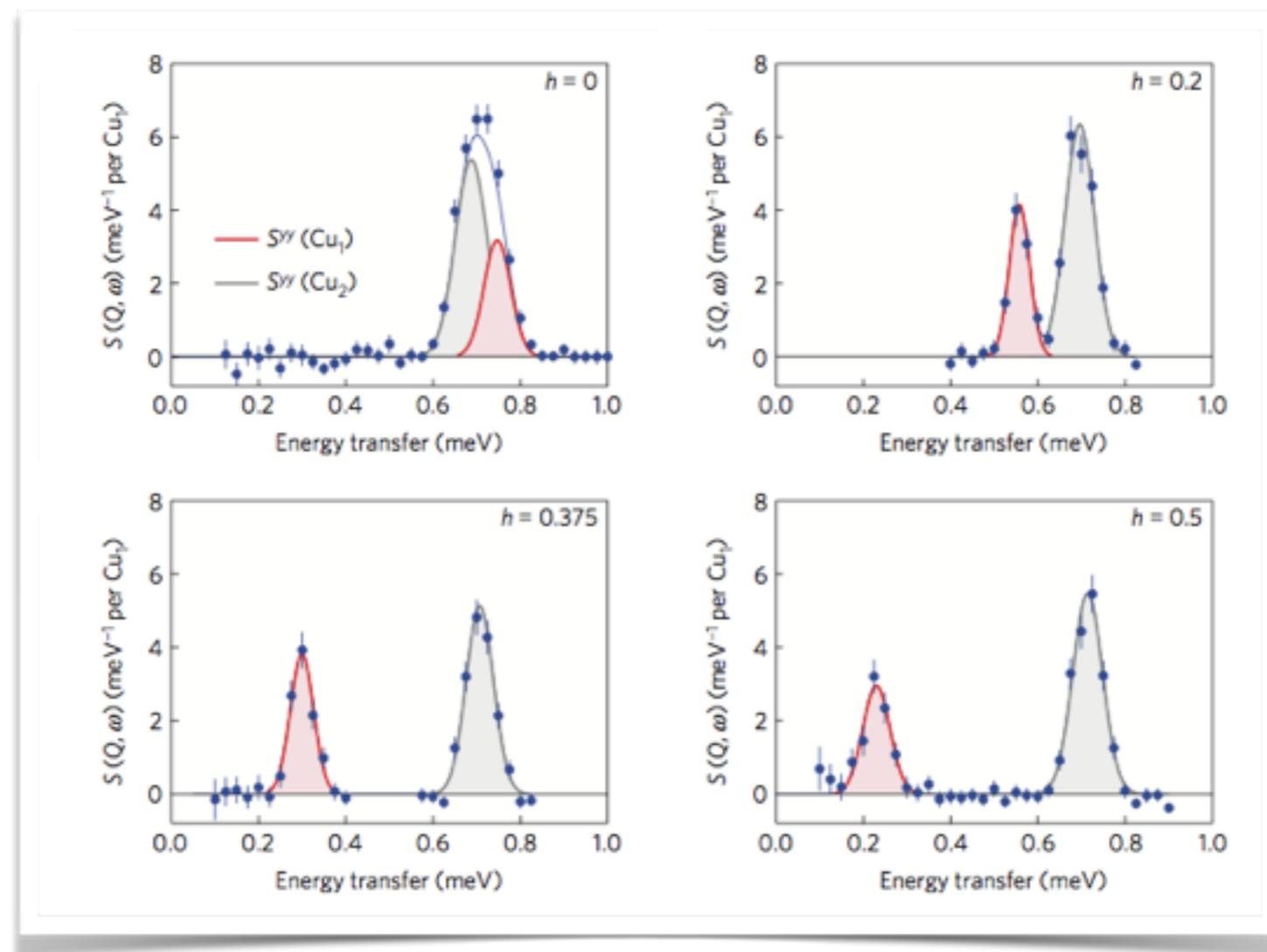


- Intensity color maps:
 - The cosine-shaped dispersion corresponds to Cu_1 chains
 - The flat branch corresponds to the decoupled Cu_2 sites

Magnon

MAGNONS IN A $\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$, A SPIN-1/2 HEISENBERG ANTIFERROMAGNETIC CHAIN COMPOUND

- Selected energy scans at constant wave vector ($h, -1/2, -1/2$)
- Spin-wave fit - theoretical magnon intensity: $S^{xx}(\mathbf{Q}, \omega) = S^{yy}(\mathbf{Q}, \omega) = (S/2)\delta(\omega - \omega(h))$ per Cu_1



Parasitic peaks

- Bragg peaks from sample holder, cryostat
- Incoherent scattering from monochromator, analyzer
higher-order reflections
- Beam on detector
- Phonons from monochromator, analyzer
 - temperature evolution
 - sample angle scans

Advantages x disadvantages of TAS

- intensity focused on specific $(\vec{Q}, \hbar\omega)$ point
 - measurements along any trajectory in scattering plane
 - constant-***Q*** or ***-E scans***, depending on type of excitation
 - focusing and other ‘tricks’ to improve the signal/noise
 - polarization analysis to separate electronic (magnetic) and phonon signals can be used – spin flip x no spin flip
-
- in principle less efficient to cover large region of $(\vec{Q}, \hbar\omega)$ space; solution – multiplex detectors
 - possible contamination of parasitic reflections

Measurement on sample - preconditions

- single crystal – sufficient size, one grain crystal
 - Laue neutron diffraction, e.g. OrientExpress
- crystal structure
- basic physical properties
- magnetic structure – at least propagation vector
 - Laue diffraction (CYCLOPS), powder diffraction
- energy scale – roughly estimated
 - ToF, susceptibility
- aligned single crystal

Conclusion

- Inelastic neutron scattering: ideal probe for phonon and magnon investigation.
- 2 approaches - 2 types of instruments: TAS and TOF spectrometer
- Be careful: before an experiment you have to think if you need a TAS or a ToF spectrometer

Advantages / Disadvantages	TOF	TAS
Sample	Powder (possibly sufficiently large single crystal) Liquid, Amorphous	Crystal (sometimes powder)
Dynamic Structure factor	$S(\mathbf{Q}, \omega)$	$S(\vec{Q}, \omega)$
Detector	Multidetector	Monodetector
Resolution	$\Delta E/E \approx 1\%$	$\Delta E/E \approx 5\%$
Typical physics	Dynamic in a liquid, amorphous Localized excitations Individual excitations 1D magnon	Collective excitations: -phonons -magnons
Particular use	To make a map to know where to go in the (\mathbf{Q}, ω) space with a TAS	To see the dependence of an excitation in temperature, magnetic field...

Main references

- Collection SFN
 - Les excitations dans la matière condensée: vibrations et phonons. H. Schober and S. Rols
 - Diffusion des neutrons par la matières cristalline ou amorphe non magnétique. H. Schober
 - Magnetic excitations. S. Raymond
- Books
 - Neutron Scattering with a Triple-Axis Spectrometer. G. Shirane, S. M. Shapiro and J. M. Tranquada
 - Introduction to the theory of thermal neutron scattering. G. L. Squires

Acknowledgements

THANK YOU FOR YOUR ATTENTION !

Help and advises

- Julien Robert
- Virginie Simonet
- Rafik Ballou
- Emilie Lefrançois
- Béatrice Grenier
- Mechthild Enderle
- Martin BOEHM
- Jacques Ollivier
- Helmut Schober